

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE EASTERN DISTRICT OF TEXAS  
SHERMAN DIVISION**

|                                    |                           |
|------------------------------------|---------------------------|
| -----                              | X                         |
| In re:                             | : Chapter 11              |
|                                    | :                         |
| NUVECTRA CORPORATION, <sup>1</sup> | : Case No. 19-43090 (BTR) |
|                                    | :                         |
| Debtor.                            | :                         |
|                                    | :                         |
| -----                              | X                         |

**STATEMENT OF FINANCIAL AFFAIRS FOR  
NUVECTRA CORPORATION (CASE NO. 19-43090)**

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<sup>1</sup> The last four digits of the Debtor's federal tax identification number are: 3847. The location of the Debtor's principal place of business and the service address for the Debtor is: 5830 Granite Parkway, Suite 1100, Plano, TX 75024.

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FOR THE EASTERN DISTRICT OF TEXAS  
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|                                    |   |                   |
|------------------------------------|---|-------------------|
| In Re:                             | § | Chapter 11        |
|                                    | § |                   |
| NUVECTRA CORPORATION, <sup>1</sup> | § | Case No. 19-43090 |
|                                    | § |                   |
| Debtor.                            | § |                   |

**GLOBAL NOTES AND STATEMENT OF LIMITATIONS, METHODOLOGY,  
AND DISCLAIMERS REGARDING THE DEBTOR'S SCHEDULES  
OF ASSETS AND LIABILITIES AND STATEMENT OF FINANCIAL AFFAIRS**

Nuvectra Corporation (the “Debtor”), as debtor in possession in the above-captioned chapter 11 case, with the assistance of its advisors, is filing its Schedules of Assets and Liabilities (the “Schedules”) and Statement of Financial Affairs (the “SOFAs”) in the Bankruptcy Court for the Eastern District of Texas, (the “Bankruptcy Court”) pursuant to section 521 of title 11 of the United States Code (the “Bankruptcy Code”) and Rule 1007 of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”).

These Global Notes and Statements of Limitations, Methodology, and Disclaimers Regarding the Debtor’s Schedules and SOFAs (collectively, the “Global Notes”) pertain to, are incorporated by reference in, and comprise an integral part of all of the Debtor’s Schedules and SOFAs. The Global Notes should be referred to, considered, and reviewed in connection with any review of the Schedules and SOFAs.

The SOFAs and Schedules do not purport to represent financial statements prepared in accordance with Generally Accepted Accounting Principles in the United States (“GAAP”), nor are they intended to be fully reconciled with the financial statements of the Debtor. Additionally, the SOFAs and Schedules contain unaudited information that is subject to further review, potential adjustment, and reflect the Debtor’s commercially reasonable efforts to report the assets and liabilities of the Debtor.

The Debtor and its agents, attorneys, and financial advisors do not guarantee or warrant the accuracy or completeness of the data that is provided herein and shall not be liable for any loss or injury arising out of or caused in whole or in part by the acts, errors, or omissions, whether negligent or otherwise, in procuring, compiling, collecting, interpreting, reporting, communicating, or delivering the information contained herein. While commercially reasonable efforts have been made to provide accurate and complete information herein, inadvertent errors or omissions may exist. The Debtor and its agents, attorneys, and financial advisors expressly does not undertake any obligation to update, modify, revise, or re-categorize the information

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<sup>1</sup> The last four digits of the Debtor’s federal tax identification number are: 3847. The location of the Debtor’s principal place of business and the service address for the Debtor is: 5830 Granite Parkway, Suite 1100, Plano, TX 75024.

provided herein, or to notify any third party should the information be updated, modified, revised, or re-categorized. In no event shall the Debtor or its agents, attorneys, and financial advisors be liable to any third party for any direct, indirect, incidental, consequential, or special damages (including, but not limited to, damages arising from the disallowance of a potential claim against any Debtor or damages to business reputation, lost business, or lost profits), whether foreseeable or not and however caused, even if the Debtor or its agents, attorneys, and financial advisors are advised of the possibility of such damages.

Chief Financial Officer Jennifer Kosharek has signed the SOFAs and Schedules. In reviewing and signing the SOFAs and Schedules, Ms. Kosharek has relied upon the efforts, statements, and representations of various personnel employed by the Debtor and its advisors. Ms. Kosharek has not (and could not have) personally verified the accuracy of each statement and representation contained in the SOFAs and Schedules, including statements and representations concerning amounts owed to creditors, classification of such amounts, and creditor addresses.

### **Global Notes and Overview of Methodology**

- 1. Reservation of Rights.** Reasonable efforts have been made to prepare and file complete and accurate SOFAs and Schedules; however, inadvertent errors or omissions may exist. The Debtor reserves all rights to (i) amend or supplement the SOFAs and Schedules from time to time, in all respects, as may be necessary or appropriate, including, without limitation, the right to amend the SOFAs and Schedules with respect to claim (“Claim”) description or designation; (ii) dispute or otherwise assert offsets or defenses to any Claim reflected in the SOFAs and Schedules as to amount, liability, priority, status or classification; (iii) subsequently designate any Claim as “disputed,” “contingent,” or “unliquidated,” or object to the extent, validity, enforceability, priority, or avoidability of any Claim. Any failure to designate a Claim in the SOFAs and Schedules as “disputed,” “contingent,” or “unliquidated” does not constitute an admission by Debtor that such Claim or amount is not “disputed,” “contingent,” or “unliquidated.” Listing a Claim does not constitute an admission of liability by the Debtor against which the Claim is listed. Furthermore, nothing contained in the SOFAs and Schedules shall constitute a waiver of rights with respect to the Debtor’s chapter 11 case, including, without limitation, issues involving Claims, substantive consolidation, defenses, equitable subordination, and/or causes of action arising under the provisions of chapter 5 of the Bankruptcy Code and any other relevant non-bankruptcy laws to recover assets or avoid transfers. Any specific reservation of rights contained elsewhere in the Global Notes does not limit in any respect the general reservation of rights contained in this paragraph. Notwithstanding the foregoing, the Debtor shall not be required to update the SOFAs and Schedules except as may be required by applicable law
- 2. Description of the Case and “As Of” Information Date.** On November 12, 2019 (the “Petition Date”), the Debtor filed its voluntary petition for relief under chapter 11 of the Bankruptcy Code. The Debtor is operating its business as a debtor in possession pursuant to sections 1107(a) and 1108 of the Bankruptcy Code. The asset information provided herein represents the asset data as of the close of business on November 12, 2019. The

liability information represents the liability data of the Debtor as of the close of business on November 12, 2019, except as otherwise noted.

3. **Net Book Value of Assets.** Unless otherwise indicated, the asset data contained in the SOFAs and Schedules reflect net book value as of November 12, 2019. Book values of assets prepared in accordance with GAAP generally do not reflect the current performance of the assets and may differ materially from the actual value of the underlying assets.
4. **Recharacterization.** Notwithstanding the Debtor's commercially reasonable efforts to properly characterize, classify, categorize, or designate certain Claims, assets, executory contracts, unexpired leases, and other items reported in the SOFAs and Schedules, the Debtor may nevertheless have improperly characterized, classified, categorized, designated, or omitted certain items due to the complexity and size of the Debtor's business. Accordingly, the Debtor reserves all of its rights to re-characterize, reclassify, recategorize, redesignate, add, or delete items reported in the SOFAs and Schedules at a later time as is necessary or appropriate as additional information becomes available, including, without limitation, whether contracts or leases listed herein were deemed executory or unexpired as of the Petition Date and remain executory and unexpired post-petition. Disclosure of information in one or more Schedules, one or more SOFAs' question, or one or more exhibits or attachments to the SOFAs and Schedules, even if incorrectly placed, shall be deemed to be disclosed in the correct Schedules, SOFAs, exhibits, or attachments.
5. **Liabilities.** The Debtor has sought to allocate liabilities between the prepetition and post-petition periods based on the information and research conducted in connection with the preparation of the SOFAs and Schedules. As additional information becomes available and further research is conducted, the allocation of liabilities between the prepetition and post-petition periods may change. Accordingly, the Debtor reserves all of its rights to amend, supplement, or otherwise modify its SOFAs and Schedules as is necessary or appropriate.

The liabilities listed on the Schedules do not reflect any analysis of Claims under section 503(b)(9) of the Bankruptcy Code. Accordingly, the Debtor reserves all of its rights to dispute or challenge the validity of any asserted Claims under section 503(b)(9) of the Bankruptcy Code or the characterization of the structure of any such transaction or any document or instrument related to any creditor's Claim.

6. **Excluded Assets and Liabilities.** The Debtor has excluded certain categories of assets, tax accruals, and liabilities from the SOFAs and Schedules, including, without limitation, accrued salaries, employee benefit accruals, and accrued accounts payable. The Debtor has also excluded rejection damage Claims of counterparties to executory contracts and unexpired leases that may or may not be rejected, to the extent such damage Claims exist. In addition, certain immaterial assets and liabilities may have been excluded.

The Bankruptcy Court has authorized (but not directed) the Debtor to pay, in its discretion, certain outstanding Claims on a post-petition basis. Prepetition liabilities that

have been paid post-petition or those that the Debtor anticipates paying via this authorization have not been included in the Schedules. Please see the notes to Schedule E/F for additional information.

- 7. Insiders.** For purposes of the SOFAs and Schedules, the Debtor defined “insiders” pursuant to section 101(31) of the Bankruptcy Code as: (a) directors; (b) officers; (c) persons in control of the Debtor; (d) relatives of the Debtor’s directors, officers or persons in control of the Debtor; and (e) debtor/non-debtor affiliates of the foregoing. Persons listed as “insiders” have been included for informational purposes only and the inclusion of them in the SOFAs and Schedules, shall not constitute an admission that those persons are insiders for purposes of section 101(31) of the Bankruptcy Code. Moreover, the Debtor does not take any position with respect to: (a) any insider’s influence over the control of the Debtor; (b) the management responsibilities or functions of any such insider; (c) the decision making or corporate authority of any such insider; or (d) whether the Debtor or any such insider could successfully argue that he or she is not an “insider” under applicable law or with respect to any theories of liability or for any other purpose.
- 8. Intellectual Property Rights.** Exclusion of any intellectual property shall not be construed as an admission that such intellectual property rights have been abandoned, terminated, assigned, expired by their terms, or otherwise transferred pursuant to a sale, acquisition, or other transaction.
- 9. Classifications.** Listing (a) a Claim on Schedule D as “secured,” (b) a Claim on Schedule E/F as “priority,” (c) a Claim on Schedule E/F as “unsecured,” or (d) a contract on Schedule G as “executory” or “unexpired,” does not constitute an admission by the Debtor of the legal rights of the claimant or a waiver of the Debtor’s rights to re-characterize or reclassify such Claims or contracts or to setoff of such Claims.
- 10. Claims Description.** Schedules D and E/F permit the Debtor to designate a Claim as “disputed,” “contingent,” and/or “unliquidated.” Any failure to designate a Claim on the SOFAs and Schedules as “disputed,” “contingent,” or “unliquidated” does not constitute an admission by any Debtor that such amount is not “disputed,” “contingent,” or “unliquidated,” or that such Claim is not subject to objection. The Debtor reserves all of its rights to dispute, or assert offsets or defenses to, any Claim reflected on its SOFAs and Schedules on any grounds, including liability or classification. Additionally, the Debtor expressly reserves all of its rights to subsequently designate such Claims as “disputed,” “contingent” or “unliquidated.” Moreover, listing a Claim does not constitute an admission of liability by the Debtor.
- 11. Causes of Action.** Despite making commercially reasonable efforts to identify all known assets, the Debtor may not have listed all of its causes of action or potential causes of action against third-parties as assets in the SOFAs and Schedules, including, without limitation, causes of actions arising under the provisions of chapter 5 of the Bankruptcy Code and any other relevant non-bankruptcy laws to recover assets or avoid transfers. The Debtor reserves all of its rights with respect to any cause of action (including avoidance actions), controversy, right of setoff, cross-claim, counterclaim, or recoupment

and any claim on contracts or for breaches of duties imposed by law or in equity, demand, right, action, lien, indemnity, guaranty, suit, obligation, liability, damage, judgment, account, defense, power, privilege, license, and franchise of any kind or character whatsoever, known, unknown, fixed or contingent, matured or unmatured, suspected or unsuspected, liquidated or unliquidated, disputed or undisputed, secured or unsecured, assertable directly or derivatively, whether arising before, on, or after the Petition Date, in contract or in tort, in law or in equity, or pursuant to any other theory of law (collectively, “Causes of Action”) it may have, and neither these Global Notes nor the SOFAs and Schedules shall be deemed a waiver of any claims or Causes of Action or in any way prejudice or impair the assertion of such claims or Causes of Action.

**12. Summary of Significant Reporting Policies.** The following is a summary of significant reporting policies

- Undetermined Amounts. The description of an amount as “unknown,” “TBD,” or “undetermined” is not intended to reflect upon the materiality of such amount.
- Totals. All totals that are included in the SOFAs and Schedules represent totals of all known amounts. To the extent there are unknown or undetermined amounts, the actual total maybe different than the listed total.
- Paid Claims. The Debtor was authorized (but not directed) to pay certain outstanding prepetition Claims pursuant to various orders entered by the Bankruptcy Court. The Debtor reserves all of its rights to amend or supplement the SOFAs and Schedules or take other action as is necessary or appropriate to avoid over-payment of or duplicate payments for any such liabilities. Please see notes to Schedule E/F for any additional information.
- Liens. Property and equipment listed in the SOFAs and Schedules are presented without consideration of any liens that may attach (or have attached) to such property and equipment.

**13. Currency.** Unless otherwise indicated, all amounts are reflected in U.S. dollars.

**14. Setoffs.** The Debtor periodically incurs certain setoffs in the ordinary course of business. Setoffs in the ordinary course can result from various items including, but not limited to, pricing discrepancies, sales tax adjustments, returns, refunds, negotiations and/or disputes between a Debtor and its customers and/or suppliers. These normal setoffs are consistent with the ordinary course of business in the Debtor’s industry and can be particularly voluminous, making it unduly burdensome and costly for the Debtor to list such ordinary course setoffs. Therefore, although such setoffs and other similar rights may have been accounted for when scheduling certain amounts, these ordinary course setoffs are not independently accounted for, and as such, are or may be excluded from the Debtor’s SOFAs and Schedules.

**15. Employee Addresses.** Current employee and director addresses have been reported as the Debtor’s business address throughout the SOFAs and Schedules, where applicable.

**16. Debtor's Addresses.** For the purposes of the SOFAs and Schedules, all addresses for the Debtor have been reported as the Debtor's headquarters address in Plano, Texas.

**17. Estimates.** To prepare and file the Schedules and SOFAs in accordance with the deadline established in the Chapter 11 Case, the Debtor was required to make certain estimates and assumptions that affected the reported amounts of these assets and liabilities. The Debtor reserves all rights to amend the reported amounts of assets and liabilities to reflect changes in those estimates or assumptions.

**18. Global Notes Control.** In the event that the Schedules or SOFAs differ from any of the foregoing Global Notes, the Global Notes shall control.

**Specific Notes with Respect to the Debtor's Schedules of Assets and Liabilities**

The Schedules neither purport to represent financial statements prepared in accordance with GAAP, nor are they intended to be fully reconciled with the financial statements of the Debtor. Additionally, the Schedules contain unaudited information that is subject to further review and potential adjustment, and reflect the Debtor's reasonable best efforts to report the assets and liabilities of the Debtor. Moreover, given, among other things, questions about the characterization of certain assets and the valuation and nature of certain liabilities, to the extent that the Debtor shows more assets than liabilities, this is not an admission that the Debtor was solvent as of the Petition Date or at any time before the Petition Date. Likewise, to the extent the Debtor shows more liabilities than assets, this is not an admission that the Debtor was insolvent as of the Petition Date or at any time before the Petition Date.

**1. Schedule A/B, Part 1, Question 3 – Checking, savings, money market or financial brokerage accounts**

The Debtor reflects closing bank balances as of 11/12/2019.

**2. Schedule A/B, Part 3, Question 11 – Accounts receivable**

The Debtor reflects gross accounts receivable balances by entity based on detailed sub-ledger information. Gross accounts receivable are reduced by an allowance for doubtful accounts. In establishing the Debtor's allowance for doubtful accounts, management considers historical collection experience, the aging of the account, the payor classification and patient payment patterns.

**3. Schedule A/B, Part 11, Question 72 – Tax Refunds and Unused Net Operating Losses**

The Debtor has not finalized the calculation of the 2019 net operating loss. Upon finalization of the calculation the amount of net operating losses may be materially higher than the amount currently listed. Amount currently listed is as of December 31, 2018.

**4. Schedule A/B, Part 11, Question 73 – Interests in insurance policies or annuities**

The Debtor maintains a variety of insurance policies including property, general liability, and workers' compensation policies and other employee-related policies. The Debtor's interest in these types of policies is limited to the amount of the premiums that the Debtor prepaid, if any, as of 11/12/2019. The amount of prepaid insurance premiums as of 11/12/2019, are listed on Exhibit A/B-P8, Q8. All policies are expected to remain active.

**5. Schedule A/B, Part 11, Question 74 – Causes of action against third parties**

The Debtor has only listed active affirmative litigation on the schedules. The Debtor may have other causes of action, and are not waiving any rights to pursue such causes of action in the future.

**6. Schedule D**

Except as specifically stated herein, real property lessors, utility companies and other parties that may hold security deposits have not been listed on Schedule D. The Debtor has not included parties that may believe their Claims are secured through setoff rights or inchoate statutory lien rights, including certain parties from whom the Debtor has received lien notices but whose notices the Debtor understands have not yet been filed or recorded. While reasonable efforts have been made, determination of the date upon which each claim in Schedule D was incurred or arose would be unduly burdensome or cost prohibitive, and therefore the Debtor may not list a date for each claim listed on Schedule D.

Finally, the Debtor is taking no position on the extent or priority of any particular creditor's lien in this document.

**7. Schedule E/F**

Certain of the claims of state and local taxing authorities set forth in Schedule E/F, ultimately may be deemed to be secured claims pursuant to state or local laws. In addition, certain of the claims owing to various taxing authorities to which the Debtor may be liable may be subject to ongoing audits. The Debtor reserves the right to dispute or challenge whether claims owing to various taxing authorities are entitled to priority and the listing of any claim on Schedule E/F does not constitute an admission that such claim is entitled to priority treatment pursuant to section 507 of the Bankruptcy Code.

The claims of individual creditors for, among other things, goods, products, services, or taxes are listed as the amounts entered on the Debtor's books and records and may not reflect credits, allowances, or other adjustments due from such creditors to the Debtor. The Debtor reserves all of its rights with regard to such credits, allowances, and other adjustments, including the right to assert claims objections and/or setoffs with respect to the same.

Pursuant to the *Order Authorizing Payment of Prepetition (I) Wages, Salaries, and Other Compensation of Personnel, (II) Medical and Other Employee Benefits, (III) Reimbursable Employee Expenses, and (IV) Granting Related Relief* [Docket No. 33] (the "Wages Order"), the Bankruptcy Court granted the Debtor authority to pay or honor



certain prepetition obligations for wages, salaries, and other compensation, and employee medical and similar benefits. The Debtor has not listed on Schedule E/F any wage or wage-related obligations that the Debtor was granted authority to pay pursuant to any order that has been entered by the Bankruptcy Court, including the Wages Order.

Schedule E/F does not include certain deferred charges, deferred liabilities, accruals, or general reserves. Such amounts are, however, reflected on the Debtor's books and records as required in accordance with GAAP. Such accruals are general estimates of liabilities and do not represent specific Claims as of the Petition Date. The Debtor has made every effort to include as contingent, unliquidated, or disputed the Claim of any vendor not included on the Debtor's open accounts payable that is associated with an account that has an accrual or receipt not invoiced.

## **8. Schedule G**

Certain of the instruments reflected on Schedule G may contain renewal options, guarantees of payments, options to purchase, rights of first refusal, rights to lease additional lands, and other miscellaneous rights. Such rights, powers, duties, and obligations are not separately set forth on Schedule G. The Debtor hereby expressly reserves the right to assert that any instrument listed on Schedule G is an executory contract or unexpired lease within the meaning of section 365 of the Bankruptcy Code. In addition, the Debtor reserves all of its rights, claims, and causes of action with respect to claims associated with any contracts and agreements listed on Schedule A/B, including their right to dispute or challenge the characterization or the structure of any transaction, document, or instrument (including any intercompany agreement).

Certain confidentiality and non-compete agreements may not be listed on Schedule G. The Debtor reserves all of their rights with respect to such agreements.

Certain of the contracts and agreements listed on Schedule G may consist of several parts, including purchase orders, amendments, restatements, waivers, letters and other documents that may not be listed on Schedule G or that may be listed as a single entry.

The contracts, agreements, and leases listed on Schedule G may have expired or may have been modified, amended, or supplemented from time to time by various amendments, restatements, waivers, estoppel certificates, letters, memoranda, and other documents, instruments, and agreements that may not be listed therein despite the Debtor's use of reasonable efforts to identify such documents. Further, unless otherwise specified on Schedule G, it is the Debtor's intent that each executory contract or unexpired lease listed thereon shall include all exhibits, schedules, riders, modifications, declarations, amendments, supplements, attachments, restatements, or other agreements made directly or indirectly by any agreement, instrument, or other document that in any manner affects such executory contract or unexpired lease, without respect to whether such agreement, instrument, or other document is listed thereon. In some cases, the same supplier or provider appears multiple times on Schedule G. This multiple listing is intended to reflect distinct agreements between the applicable Debtor and such supplier or provider.

**Specific Notes with Respect to the Debtor's Statement of Financial Affairs**

**1. SOFA Question 1 – Gross revenue from business**

The Debtor responded to this question with business revenue net of various adjustments.

**2. SOFA Question 14 – Previous addresses**

The Debtor's response to this question lists only locations that the Debtor physically occupied and vacated. The Debtor's response does not include locations for which a Debtor entered into and subsequently terminated a lease.

**3. Statement of Financial Affairs Question 20 – Off-premises storage**

In the ordinary course of business Territory Managers and Agents keep inventory at climate controlled storage units that they pay for personally should they be unable to store such inventory in their homes. These locations are not, and could not, be tracked by the Debtor, and are not included in the response to this question.

END OF GLOBAL NOTES

**Fill in this information to identify the case:**

Debtor Name: In re : Nuvectra Corporation

United States Bankruptcy Court for the: Eastern District Of Texas

Case number (if known): 19-43090 (BTR)

☐ Check if this is an amended filing

## Official Form 207

## Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy 04/19

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

### Part 1: Income

#### 1. Gross revenue from business

☐ None

| Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year                            | Sources of revenue<br>Check all that apply   | Gross revenue<br>(before deductions and exclusions) |
|--|--|---|
| <b>From the beginning of the fiscal year to filing date:</b><br>From <u>1/1/2019</u> to <u>Filing date</u><br>MM / DD / YYYY | <input checked="" type="checkbox"/> Operating a business<br><input type="checkbox"/> Other _____ | \$ <u>36,296,000.00</u>                             |
| <b>For prior year:</b><br>From <u>1/1/2018</u> to <u>12/31/2018</u><br>MM / DD / YYYY  | <input checked="" type="checkbox"/> Operating a business<br><input type="checkbox"/> Other _____ | \$ <u>48,831,000.00</u>                             |
| <b>For the year before that:</b><br>From <u>1/1/2017</u> to <u>12/31/2017</u><br>MM / DD / YYYY                              | <input checked="" type="checkbox"/> Operating a business<br><input type="checkbox"/> Other _____ | \$ <u>27,080,000.00</u>                             |

Name

## 2. Non-business revenue

Include revenue regardless of whether that revenue is taxable. Non-business income may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

☐ None

|   |      |                |    | Description of sources of revenue | Gross revenue from each source (before deductions and exclusions) |
|---|------|----------------|----|-----------------------------------|---|
| From the beginning of the fiscal year to filing date: | From | 1/1/2019       | to | Filing date                       |   |
|   |      | MM / DD / YYYY |    | Interest Income                   | \$ 1,307,000.00   |
| For prior year:                                       | From | 1/1/2018       | to | 12/31/2018                        |   |
|   |      | MM / DD / YYYY |    | Interest Income                   | \$ 1,155,000.00   |
| For the year before that:                             | From | 1/1/2017       | to | 12/31/2017                        |   |
|   |      | MM / DD / YYYY |    | Interest Income                   | \$ 300,000.00   |

Name

**Part 2: List Certain Transfers Made Before Filing for Bankruptcy**

**3. Certain payments or transfers to creditors within 90 days before filing this case**

List payments or transfers-including expense reimbursements-to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$6,825 . (This amount may be adjusted on 4/01/22 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

☐ None

| Creditor's name and address                  | Dates | Total amount or value | Reasons for payment or transfer<br>Check all that apply   |
|--|-------|-----------------------|---|
| 3.1 See SOFA 3 Attachment<br>Creditor's Name |       | \$                    | <input type="checkbox"/> Secured debt<br><input type="checkbox"/> Unsecured loan repayments<br><input type="checkbox"/> Suppliers or vendors<br><input type="checkbox"/> Services<br><input type="checkbox"/> Other |
| Street                                       |       |                       |   |
| City State ZIP Code                          |       |                       |   |
| Country                                      |       |                       |   |

**4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider**

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$6,825. (This amount may be adjusted on 4/01/22 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. Insiders include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

☐ None

| Insider's Name and Address                  | Dates | Total amount or value | Reason for payment or transfer |
|---|-------|-----------------------|--------------------------------|
| 4.1 See SOFA 4 Attachment<br>Insider's Name |       | \$                    |                                |
| Street                                      |       |                       |                                |
| City State ZIP Code                         |       |                       |                                |
| Country                                     |       |                       |                                |
| Relationship to Debtor                      |       |                       |                                |

Name

## 5. Repossessions, foreclosures, and returns

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

☒ None

| Creditor's Name and Address | Description of the Property | Date | Value of property |
|-----------------------------|-----------------------------|------|-------------------|
| 5.1<br>Creditor's Name      |                             |      | \$                |
| Street                      |                             |      |                   |
|                             |                             |      |                   |
| City State ZIP Code         |                             |      |                   |
| Country                     |                             |      |                   |

## 6. Setoffs

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

☒ None

| Creditor's Name and Address | Description of the action creditor took | Date action was taken | Amount |
|-----------------------------|---|-----------------------|--------|
| 6.1<br>Creditor's Name      |   |                       | \$     |
| Street                      |   |                       |        |
|                             | Last 4 digits of account number: XXXX-  |                       |        |
| City State ZIP Code         |   |                       |        |
| Country                     |   |                       |        |

Name

**Part 3: Legal Actions or Assignments**

**7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity—within 1 year before filing this case.

☐ None

| Case title                | Nature of case | Court or agency's name and address | Status of case                     |
|---------------------------|----------------|------------------------------------|------------------------------------|
| 7.1 See SOFA 7 Attachment |                | Name                               | <input type="checkbox"/> Pending   |
|                           |                | Street                             | <input type="checkbox"/> On appeal |
|                           |                |                                    | <input type="checkbox"/> Concluded |
| Case number               |                | City State ZIP Code                |                                    |
|                           |                | Country                            |                                    |

**8. Assignments and receivership**

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

☒ None

| Custodian's name and address | Description of the Property | Value                  |
|------------------------------|-----------------------------|------------------------|
| 8.1 Custodian's name         |                             | \$                     |
| Street                       | Case title                  | Court name and address |
|                              |                             | Name                   |
|                              |                             |                        |
| Case number                  |                             | Street                 |
| City State ZIP Code          |                             |                        |
|                              |                             |                        |
| Country                      | Date of order or assignment | City State ZIP Code    |
|                              |                             | Country                |

Name

Part 4:

Certain Gifts and Charitable Contributions

9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000

☒ None

|     | Recipient's name and address   | Description of the gifts or contributions | Dates given | Value |
|-----|--|---|-------------|-------|
| 9.1 | <div>Creditor's Name</div> <div>Street</div> <div></div> <div>CityStateZIP Code</div> <div>Country</div> |   |             | \$    |
|     | <div>Recipient's relationship to debtor</div> <div></div>  |   |             |       |



Name

Part 5: Certain Losses

10. All losses from fire, theft, or other casualty within 1 year before filing this case.

☒ None

| Description of the property lost and how the loss occurred | Amount of payments received for the loss<br>If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received.<br>List unpaid claims on Official Form 106A/B (Schedule A/B: Assets – Real and Personal Property). | Date of loss | Value of property lost |
|--|---|--------------|------------------------|
| 10.1   |   |              | \$                     |

Debtor: Nuvectra Corporation

Name

**Part 6: Certain Payments or Transfers**

**11. Payments related to bankruptcy**

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

☐ None

| Who was paid or who received the transfer? | If not money, describe any property transferred | Dates | Total amount or value |
|--|---|-------|-----------------------|
| 11.1 See SOFA 11 Attachment                |   |       | \$                    |
| <b>Address</b>                             |   |       |                       |
| Street                                     |   |       |                       |
| City State ZIP Code                        |   |       |                       |
| Country                                    |   |       |                       |
| <b>Email or website address</b>            |   |       |                       |
| Who made the payment, if not debtor?       |   |       |                       |

**12. Self-settled trusts of which the debtor is a beneficiary**

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.  
Do not include transfers already listed on this statement.

☒ None

| Name of trust or device | Describe any property transferred | Dates transfers were made | Total amount or value |
|-------------------------|-----------------------------------|---------------------------|-----------------------|
| 12.1                    |                                   |                           | \$                    |
| <b>Trustee</b>          |                                   |                           |                       |

Name

13. Transfers not already listed on this statement

List any transfers of money or other property - by sale, trade, or any other means - made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs. Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

☐ None

| Who received transfer?  | Description of property transferred or payments received or debts paid in exchange  | Date transfer was made | Total amount or value |
|---|---|------------------------|-----------------------|
| 13.1 NEL Group, Inc.  | Stock Purchase Agreement; Company sold all of the stock of its wholly owned subsidiary, NeuroNexus Technologies, Inc. (" NeuroNexus"), to NEL Group, Inc. ("Buyer"), for \$5.0 million in cash. | 12/31/2018             | \$ 5,000,000.00       |
| <div>Address</div> <div>705 Progress Avenue</div> <div>Street</div> <div>Suite 102</div> <div> <div>Scarborough</div> <div>ON</div> <div>M1H 2X1</div> </div> <div> <div>City</div> <div>State</div> <div>ZIP Code</div> </div> <div>Canada</div> <div>Country</div> <div>Relationship to Debtor</div> <div>An equityholder of NEL Group, Inc., was former president of Neuronexis Technologies, Inc.</div> |   |                        |                       |

Name

**Part 7: Previous Locations**

**14. Previous addresses**

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

☒ Does not apply

| Address |                     | Dates of occupancy |          |
|---------|---------------------|--------------------|----------|
| 14.1    | From _____ To _____ |                    |          |
|         | Street              |                    |          |
|         |                     |                    |          |
|         | City                | State              | ZIP Code |
|         |                     |                    |          |
|         | Country             |                    |          |

Debtor: Nuvectra Corporation

Name

**Part 8: Health Care Bankruptcies**

**15. Health Care bankruptcies**

Is the debtor primarily engaged in offering services and facilities for:  
 — diagnosing or treating injury, deformity, or disease, or  
 — providing any surgical, psychiatric, drug treatment, or obstetric care?

☒ No. Go to Part 9.

☐ Yes. Fill in the information below.

| Facility Name and Address | Nature of the business operation, including type of services the debtor provides  | If debtor provides meals and housing, number of patients in debtor's care   |
|---------------------------|---|---|
| 15.1<br>Facility Name     |   |   |
| Street                    | Location where patient records are maintained (if different from facility address). If electronic, identify any service provider. | How are records kept?<br>Check all that apply:<br><input type="checkbox"/> Electronically<br><input type="checkbox"/> Paper |
| City State ZIP Code       |   |   |
| Country                   |   |   |

Name

**Part 9: Personally Identifiable Information**

**16. Does the debtor collect and retain personally identifiable information of customers?**

☐ No.

☒ Yes. State the nature of the information collected and retained. Names, Addresses, and Tax IDs

Does the debtor have a privacy policy about that information?

☐ No

☒ Yes

**17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b), or other pension or profit-sharing plan made available by the debtor as an employee benefit?**

☐ No. Go to Part 10.

☒ Yes. Does the debtor serve as plan administrator?

☐ No. Go to Part 10.

☒ Yes. Fill in below:

| Name of plan |                      | Employer identification number of the plan |
|--------------|----------------------|--|
| 17.1         | 401K Plan - Vanguard | EIN: 30-0513847                            |

Has the plan been terminated?

☒ No

☐ Yes

Name

**Part 10: Certain Financial Accounts, Safe Deposit Boxes, and Storage Units**

**18. Closed financial accounts**

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor's name, or for the debtor's benefit, closed, sold, moved, or transferred?  
Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

☒ None

| Financial institution name and address                               | Last 4 digits of account number | Type of account  | Date account was closed, sold, moved, or transferred | Last balance before closing or transfer |
|--|---------------------------------|--|--|---|
| 18.1<br>Name<br><br>Street<br><br>City State ZIP Code<br><br>Country | XXXX-                           | <input type="checkbox"/> Checking<br><input type="checkbox"/> Savings<br><input type="checkbox"/> Money market<br><input type="checkbox"/> Brokerage<br><input type="checkbox"/> Other |  | \$                                      |

**19. Safe deposit boxes**

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

☒ None

| Depository institution name and address                              | Names of anyone with access to it | Description of the contents | Does debtor still have it?                                      |
|--|-----------------------------------|-----------------------------|---|
| 19.1<br>Name<br><br>Street<br><br>City State ZIP Code<br><br>Country |                                   |                             | <input type="checkbox"/> No<br><br><input type="checkbox"/> Yes |

Name

20. Off-premises storage

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

☐ None

| Facility name and address | Names of anyone with access to it | Description of the contents | Does debtor still have it? |
|---------------------------|-----------------------------------|-----------------------------|----------------------------|
|---------------------------|-----------------------------------|-----------------------------|----------------------------|

20.1 See Global Notes

☐ No

Name

☐ Yes

Street

|      |       |          |         |
|------|-------|----------|---------|
| City | State | ZIP Code | Address |
|------|-------|----------|---------|

Country



Name

Part 11:
Property the Debtor Holds or Controls That the Debtor Does Not Own

21. Property held for another

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

☐ None

|      | Owner's name and address  | Location of the property | Description of the property                          | Value         |
|------|---|--------------------------|--|---------------|
| 21.1 | <div>Aleva Neurotherapeutics SA</div> <div>Name</div> <div>EPFL Innovation Park</div> <div>Street</div> <div>Building D</div> <div>1015 Lausanne</div> <div>CityStateZIP Code</div> <div>Switzerland</div> <div>Country</div> | Nuvectra Bank Account    | Costs for Design Transfer to Integer and Minnetronix | \$ 242,152.26 |

Name

**Part 12: Details About Environmental Information**

For the purpose of Part 12, the following definitions apply:

- *Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
- *Site* means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.
- *Hazardous material* means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

**Report all notices, releases, and proceedings known, regardless of when they occurred.**

**22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law?** Include settlements and orders.

☒ No

☐ Yes. Provide details below.

| Case title         | Court or agency name and address | Nature of the case | Status of case                     |
|--------------------|----------------------------------|--------------------|------------------------------------|
| 22.1               | Name                             |                    | <input type="checkbox"/> Pending   |
|                    | Street                           |                    | <input type="checkbox"/> On appeal |
|                    |                                  |                    | <input type="checkbox"/> Concluded |
| <b>Case Number</b> |                                  |                    |                                    |
|                    | City State ZIP Code              |                    |                                    |
|                    | Country                          |                    |                                    |

**23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?**

☒ No

☐ Yes. Provide details below.

| Site name and address | Governmental unit name and address | Environmental law, if known | Date of notice |
|-----------------------|------------------------------------|-----------------------------|----------------|
| 23.1                  | Name                               |                             |                |
|                       | Street                             |                             |                |
|                       |                                    |                             |                |
|                       | City State ZIP Code                |                             |                |
|                       | Country                            |                             |                |

Name

**24. Has the debtor notified any governmental unit of any release of hazardous material?**

☒ No

☐ Yes. Provide details below.

| Site name and address | Governmental unit name and address | Environmental law, if known | Date of notice |
|-----------------------|------------------------------------|-----------------------------|----------------|
|-----------------------|------------------------------------|-----------------------------|----------------|

24.1

|         |       |          |         |       |          |  |  |
|---------|-------|----------|---------|-------|----------|--|--|
| Name    |       |          | Name    |       |          |  |  |
| Street  |       |          | Street  |       |          |  |  |
|         |       |          |         |       |          |  |  |
| City    | State | ZIP Code | City    | State | ZIP Code |  |  |
| Country |       |          | Country |       |          |  |  |

Debtor: Nuvectra Corporation

Name

**Part 13: Details About the Debtor's Business or Connections to Any Business**

**25. Other businesses in which the debtor has or has had an interest**

List any business for which the debtor was an owner, partner, member, or otherwise a person in control within 6 years before filing this case. Include this information even if already listed in the Schedules.

☐ None

| Business name and address    |       | Describe the nature of the business | Employer Identification number<br>Do not include Social Security number or ITIN. |
|------------------------------|-------|-------------------------------------|--|
| 25.1 Algotim, LLC            |       | Holding Company                     | EIN: 26-4472112  |
| Name                         |       |                                     | <b>Dates business existed</b>  |
| 5830 Granite Parkway         |       |                                     | From 1/20/2009 To 7/2/2019   |
| Street                       |       |                                     |  |
| Suite 1100                   |       |                                     |  |
| Plano                        | TX    | 75024                               |  |
| City                         | State | ZIP Code                            |  |
| Country                      |       |                                     |  |
| 25.2 NeuroNexus Technologies |       | Clinical Research                   | EIN: 20-1398605  |
| Name                         |       |                                     | <b>Dates business existed</b>  |
| 655 Fairfield Ct, Ste. 100   |       |                                     | From 3/14/2016 To 12/31/2018   |
| Street                       |       |                                     |  |
|                              |       |                                     |  |
| Ann Arbor                    | MI    | 48108                               |  |
| City                         | State | ZIP Code                            |  |
| Country                      |       |                                     |  |
| 25.3 Pelvistik, LLC          |       | Holding Company                     | EIN: 26-4472209  |
| Name                         |       |                                     | <b>Dates business existed</b>  |
| 5830 Granite Parkway         |       |                                     | From 1/20/2009 To 7/2/2019   |
| Street                       |       |                                     |  |
| Suite 1100                   |       |                                     |  |
| Plano                        | TX    | 75024                               |  |
| City                         | State | ZIP Code                            |  |
| Country                      |       |                                     |  |

Name

**26. Books, records, and financial statements**

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.

☐ None

| Name and Address |   |       | Dates of service |                         |
|------------------|---|-------|------------------|-------------------------|
| 26a.1            | Berger, Walter (COO and CFO)              |       | From             | 7/29/2015 To 5/24/2019  |
|                  | Name                                      |       |                  |                         |
|                  | 5830 Granite Parkway                      |       |                  |                         |
|                  | Street                                    |       |                  |                         |
|                  | Suite 1100                                |       |                  |                         |
|                  | Plano                                     | TX    | 75024            |                         |
|                  | City                                      | State | ZIP Code         |                         |
|                  | Country                                   |       |                  |                         |
| 26a.2            | Kosharek, Jennifer (Controller, PAO, CFO) |       | From             | 1/11/2016 To Present    |
|                  | Name                                      |       |                  |                         |
|                  | 5830 Granite Parkway                      |       |                  |                         |
|                  | Street                                    |       |                  |                         |
|                  | Suite 1100                                |       |                  |                         |
|                  | Plano                                     | TX    | 75024            |                         |
|                  | City                                      | State | ZIP Code         |                         |
|                  | Country                                   |       |                  |                         |
| 26a.3            | Maher, Stephanie (Director of Finance)    |       | From             | 6/10/2002 To 11/08/2019 |
|                  | Name                                      |       |                  |                         |
|                  | 5830 Granite Parkway                      |       |                  |                         |
|                  | Street                                    |       |                  |                         |
|                  | Suite 1100                                |       |                  |                         |
|                  | Plano                                     | TX    | 75024            |                         |
|                  | City                                      | State | ZIP Code         |                         |
|                  | Country                                   |       |                  |                         |

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

☐ None

| Name and Address |                          |       | Dates of service |                         |
|------------------|--------------------------|-------|------------------|-------------------------|
| 26b.1            | Deloitte & Touche LLP    |       | From             | 3/14/2016 To 11/12/2019 |
|                  | Name                     |       |                  |                         |
|                  | 2200 Ross Ave Suite 1600 |       |                  |                         |
|                  | Street                   |       |                  |                         |
|                  | Dallas                   | TX    | 75201            |                         |
|                  | City                     | State | ZIP Code         |                         |
|                  | Country                  |       |                  |                         |

Name

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.

☐ None

| Name and address   | If any books of account and records are unavailable, explain why |
|--|--|
| <p>26c.1 Jennifer Kosharek</p> <p>Name</p> <p>5830 Granite Parkway</p> <p>Street</p> <p>Suite 1100</p> <p>Plano TX 75024</p> <p>City State ZIP Code</p> <p>Country</p> | N/A  |

26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

☐ None

| Name and address  |
|---|
| <p>26d.1 See Note Below</p> <p>Name</p> <p>Street</p> <p>City State ZIP Code</p> <p>Country</p> |

*Note: The Debtor is Registered with the SEC and files periodic financial reports that include financial statements which are available to the public at: <http://investors.nuvectramed.com/sec-filings>. In addition, and in connection with its strategic alternatives process, the Debtor provided financial statements to certain financial stake holders and their professionals, pursuant to confidentiality agreements.*

Name

## 27. Inventories

Have any inventories of the debtor's property been taken within 2 years before filing this case?

☐ No

☒ Yes. Give the details about the two most recent inventories.

| Name of the person who supervised the taking of the inventory | Date of Inventory       | The dollar amount and basis (cost, market, or other basis) of each inventory |
|---|-------------------------|--|
| Kosharek, Jennifer  | 12/31/2018 & 12/31/2017 | \$ Standard Cost   |

### Name and address of the person who has possession of inventory records

27.1 Nuvectra Corporation

Name

5830 Granite Parkway

Street

Suite 1100

Plano

TX

75024

City

State

ZIP Code

Country

28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.

| Name                        | Address | Position and Nature of any interest | % of interest, if any |
|-----------------------------|---------|-------------------------------------|-----------------------|
| 28.1 See SOFA 28 Attachment |         |                                     |                       |

29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?

☐ No

☒ Yes. Identify below.

| Name                        | Address | Position and Nature of any interest | Period during which position or interest was held |
|-----------------------------|---------|-------------------------------------|---|
| 29.1 See SOFA 29 Attachment |         |                                     | From To   |

Name

**30. Payments, distributions, or withdrawals credited or given to insiders**

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

☐ No

☒ Yes. Identify below.

| Name and address of recipient   | Amount of money or description and value of property | Dates | Reason for providing the value |
|---|--|-------|--------------------------------|
| <p>30.1 See SOFA 4</p> <p>Name _____</p> <p>Street _____</p> <p>_____</p> <p>City _____ State _____ ZIP Code _____</p> <p>Country _____</p> <p>Relationship to debtor _____</p> |  |       |                                |

**31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?**

☐ No

☒ Yes. Identify below.

| Name of the parent corporation | Employer Identification number of the parent corporation |
|--------------------------------|--|
| 31.1 Integer/Greatbatch        | EIN: 16-1531026  |
| 31.2 Nuvectra Corporation      | EIN: 30-0513847  |

**32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?**

☒ No

☐ Yes. Identify below.

| Name of the pension fund | Employer Identification number of the pension fund |
|--------------------------|--|
| 32.1 _____               | EIN: _____   |



**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both.

18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 12/10/2019  
MM / DD / YYYY

**x** / s / Jennifer Kosharek \_\_\_\_\_

Printed name Jennifer Kosharek

Signature of individual signing on behalf of the debtor

Position or relationship to debtor Chief Financial Officer

**Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy* (Official Form 207) attached?**

☐ No

☒ Yes

Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name                         | Notice name                   | Address 1                    | Address 2 | Address 3 | City             | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|---|-------------------------------|------------------------------|-----------|-----------|------------------|-------|------------|---------|------------|-----------------------|---|
| 105 EDGEVIEW OWNER LP                   |                               | PO BOX 207381                |           |           | DALLAS           | TX    | 75320-7381 |         | 9/3/2019   | \$40,154.89           | Colorado Lease  |
| 105 EDGEVIEW OWNER LP                   |                               | PO BOX 207381                |           |           | DALLAS           | TX    | 75320-7381 |         | 9/24/2019  | \$40,152.57           | Colorado Lease  |
| 105 EDGEVIEW OWNER LP                   |                               | PO BOX 207381                |           |           | DALLAS           | TX    | 75320-7381 |         | 11/8/2019  | \$40,241.17           | Colorado Lease  |
| ADVANCED MEDICAL SOLUTIONS, LLC         |                               | 16337 STONEWOLF BLVD         |           |           | NOBLESVILLE      | IN    | 46060      |         | 8/20/2019  | \$20,533.25           | Agent Commission  |
| ADVANCED MEDICAL SOLUTIONS, LLC         |                               | 16337 STONEWOLF BLVD         |           |           | NOBLESVILLE      | IN    | 46060      |         | 8/20/2019  | \$39,203.00           | Agent Commission  |
| ADVANCED MEDICAL SOLUTIONS, LLC         |                               | 16337 STONEWOLF BLVD         |           |           | NOBLESVILLE      | IN    | 46060      |         | 9/26/2019  | \$57,910.83           | Agent Commission  |
| ADVANCED MEDICAL SOLUTIONS, LLC         |                               | 16337 STONEWOLF BLVD         |           |           | NOBLESVILLE      | IN    | 46060      |         | 10/30/2019 | \$33,918.75           | Agent Commission  |
| ADVANTAGE STORAGE - LEGACY              |                               | 3540 LEGACY DR               |           |           | FRISCO           | TX    | 75034      |         | 9/18/2019  | \$202.00              | Storage for marketing supplies  |
| AIRWAYS FREIGHT                         |                               | PO BOX 1888                  |           |           | FAYETTEVILLE     | AR    | 72702      |         | 8/20/2019  | \$490.92              | Shipping/freight  |
| ALABAMA DEPT OF REVENUE                 | BUSINESS PRIVILEGE TAX UNIT   | PO BOX 327320                |           |           | MONTGOMERY       | AL    | 36132-7320 |         | 8/21/2019  | \$3,758.60            | Tax Payment   |
| ALABAMA DEPT OF REVENUE                 | BUSINESS PRIVILEGE TAX UNIT   | PO BOX 327320                |           |           | MONTGOMERY       | AL    | 36132-7320 |         | 9/20/2019  | \$2,284.36            | Tax Payment   |
| ALABAMA DEPT OF REVENUE                 | BUSINESS PRIVILEGE TAX UNIT   | PO BOX 327320                |           |           | MONTGOMERY       | AL    | 36132-7320 |         | 10/21/2019 | \$2,649.20            | Tax Payment   |
| ALABAMA DEPT OF REVENUE                 | BUSINESS PRIVILEGE TAX UNIT   | PO BOX 327320                |           |           | MONTGOMERY       | AL    | 36132-7320 |         | 10/28/2019 | \$186.00              | Tax Payment   |
| ALLEGHENY SINGER RESEARCH INST          | DBA AHN RESEARCH INSTITUTE    | PO BOX 951765                |           |           | CLEVELAND        | OH    | 44193      |         | 10/29/2019 | \$6,067.55            | Health Care Provider Services   |
| AMERICAN MANAGEMENT ASSOCIATION         |                               | PO BOX 785161                |           |           | Philadelphia     | PA    | 19178-5161 |         | 8/19/2019  | \$25,689.67           | Management Consulting Services  |
| AMERICAN SOCIETY OF REGIONAL ANESTHESIA |                               | EAST HOLLY AVE BOX 56        |           |           | PITMAN           | NJ    | 08071      |         | 10/30/2019 | \$3,450.00            | Health Care Provider Services   |
| ANATOMIC GIFT FOUNDATION INC            | DBA ANATOMY GIFTS REGISTRY    | 7522 CONNELLEY DR STE M      |           |           | HANOVER          | MD    | 21076      |         | 8/16/2019  | \$8,615.00            | Cadaver   |
| ANATOMIC GIFT FOUNDATION INC            | DBA ANATOMY GIFTS REGISTRY    | 7522 CONNELLEY DR STE M      |           |           | HANOVER          | MD    | 21076      |         | 10/15/2019 | \$3,191.00            | Cadaver   |
| ANATOMIC GIFT FOUNDATION INC            | DBA ANATOMY GIFTS REGISTRY    | 7522 CONNELLEY DR STE M      |           |           | HANOVER          | MD    | 21076      |         | 11/1/2019  | \$3,136.00            | Cadaver   |
| ANDREW C WILKINS                        |                               | 14807 WINTERGRASS RD         |           |           | FRISCO           | TX    | 75035      |         | 9/13/2019  | \$1,625.00            | Health Care Provider Services   |
| ANDREW C WILKINS                        |                               | 14807 WINTERGRASS RD         |           |           | FRISCO           | TX    | 75035      |         | 10/29/2019 | \$325.00              | Health Care Provider Services   |
| ANJUM BUX                               |                               | 1655 LEXINGTON RD            |           |           | DANVILLE         | KY    | 40422      |         | 8/22/2019  | \$1,125.00            | Health Care Provider Services   |
| ANJUM BUX                               |                               | 1655 LEXINGTON RD            |           |           | DANVILLE         | KY    | 40422      |         | 10/22/2019 | \$562.50              | Health Care Provider Services   |
| ANNIE LAYNO-MOSES                       |                               | 12211 IDAHO AVE APT #307     |           |           | LOS ANGELES      | CA    | 90025      |         | 8/27/2019  | \$258.25              | Health Care Provider Services   |
| ANOKA COUNTY                            |                               | 2100 3RD AVE                 |           |           | ANOKA            | MN    | 55303      |         | 10/9/2019  | \$38,760.92           | Property Taxes - Blaine, MN   |
| ANTHONY P BERG                          |                               | 816 WINDLAKE CIRCLE          |           |           | HEATH            | TX    | 75032      |         | 10/30/2019 | \$1,406.25            | Health Care Provider Services   |
| AR DFA REVENUE PAYMENT                  | Arizona Department of Revenue | PO BOX 29085                 |           |           | PHOENIX          | AZ    | 85038-9085 |         | 8/21/2019  | \$351.00              | Tax Payment   |
| AR DFA REVENUE PAYMENT                  | Arizona Department of Revenue | PO BOX 29085                 |           |           | PHOENIX          | AZ    | 85038-9085 |         | 9/20/2019  | \$429.00              | Tax Payment   |
| AR DFA REVENUE PAYMENT                  | Arizona Department of Revenue | PO BOX 29085                 |           |           | PHOENIX          | AZ    | 85038-9085 |         | 10/21/2019 | \$1,144.00            | Tax Payment   |
| ARENA SOLUTIONS INC                     |                               | 989 E HILLSDALE BLVD STE 250 |           |           | FOSTER CITY      | CA    | 94404      |         | 8/16/2019  | \$16,000.00           | Software  |
| ARK CONSULTING PLLC                     |                               | 38500 WOODWARD AVE           | SUITE 300 |           | BLOOMFIELD HILLS | MI    | 48304      |         | 8/30/2019  | \$600.00              | Consulting Services   |
| ARMENIA SURGERY CENTER                  | ATTN MONICA KILGORE           | PO BOX 2248                  |           |           | BRENTWOOD        | TN    | 37027      |         | 9/11/2019  | \$800.00              | Health Care Provider Services   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 8/20/2019  | \$2,071.31            | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 8/20/2019  | \$69,787.48           | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 9/3/2019   | \$69.23               | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 9/4/2019   | \$48,305.61           | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 9/5/2019   | \$2,925.81            | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 9/9/2019   | \$187.50              | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 9/17/2019  | \$745.08              | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 9/17/2019  | \$38,709.02           | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 10/2/2019  | \$775.49              | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 10/2/2019  | \$70,997.72           | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 10/15/2019 | \$705.41              | 401K Plan   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 10/15/2019 | \$37,882.68           | Employee Benefits   |
| ASCENSUS TRUST RETIREMENT PLAN          | Vanguard c/o Ascensus         | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 10/29/2019 | \$647.90              | 401K Plan   |
| AT&T MOBILITY                           |                               | PO BOX 28067                 |           |           | NEW YORK         | NY    | 10087      |         | 10/31/2019 | \$79,115.81           | 401K Plan   |
| AT&T MOBILITY                           |                               | PO BOX 6463                  |           |           | CAROL STREAM     | IL    | 60197-6463 |         | 8/28/2019  | \$7,004.33            | Utilities   |
| AT&T MOBILITY                           |                               | PO BOX 6463                  |           |           | CAROL STREAM     | IL    | 60197-6463 |         | 9/25/2019  | \$7,401.38            | Utilities   |
| AT&T MOBILITY                           |                               | PO BOX 6463                  |           |           | CAROL STREAM     | IL    | 60197-6463 |         | 10/30/2019 | \$5,567.55            | Utilities   |
| AUDIO POST GROUP LP                     | DALLAS AUDIO POST             | 2445 LACY LANE               |           |           | CARROLLTON       | TX    | 75006      |         | 8/27/2019  | \$895.00              | Vendor  |
| AVAILITY LLC                            |                               | PO BOX 844781                |           |           | DALLAS           | TX    | 75284-4781 |         | 8/27/2019  | \$106.60              | Vendor  |
| AVAILITY LLC                            |                               | PO BOX 844781                |           |           | DALLAS           | TX    | 75284-4781 |         | 10/8/2019  | \$53.30               | Vendor  |
| AVALAR INC                              |                               | DEPT CH 16781                |           |           | PALATINE         | IL    | 60055      |         | 8/29/2019  | \$15,980.28           | Software  |
| AWESOME OFFICE INC                      |                               | 3534 HAYDEN AVE              |           |           | CULVER CITY      | CA    | 90232      |         | 10/17/2019 | \$209.99              | Supplies  |
| AWESOME OFFICE INC                      |                               | 3534 HAYDEN AVE              |           |           | CULVER CITY      | CA    | 90232      |         | 10/22/2019 | \$209.99              | Supplies  |
| AZ DEPT OF REVENUE                      |                               | P.O. Box 29010               |           |           | Phoenix          | AZ    | 85036-9010 |         | 8/21/2019  | \$74.07               | Tax Payment   |
| AZ DEPT OF REVENUE                      |                               | P.O. Box 29010               |           |           | Phoenix          | AZ    | 85036-9010 |         | 9/20/2019  | \$46.95               | Tax Payment   |

Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name   | Notice name   | Address 1                           | Address 2           | Address 3 | City         | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|---|---|-------------------------------------|---------------------|-----------|--------------|-------|------------|---------|------------|-----------------------|---|
| AZ DEPT OF REVENUE                                      |   | P.O. Box 29010                      |                     |           | Phoenix      | AZ    | 85036-9010 |         | 10/21/2019 | \$1,901.30            | Tax Payment   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 9/3/2019   | \$82.94               | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 9/3/2019   | \$790.27              | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 9/3/2019   | \$7,348.64            | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 9/4/2019   | \$19.95               | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 10/3/2019  | \$19.95               | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 10/3/2019  | \$81.94               | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 10/3/2019  | \$1,063.07            | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 10/3/2019  | \$10,140.96           | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 11/4/2019  | \$198.12              | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 11/4/2019  | \$1,148.18            | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 11/4/2019  | \$10,593.93           | Merchant Fees   |
| BANK OF AMERICA   | BANK OF AMERICA-MERCHANT SERVICES                   | PO BOX 18566                        |                     |           | AUSTIN       | TX    | 78760-8566 |         | 11/5/2019  | \$19.95               | Merchant Fees   |
| BANNER HEALTH   |   | P. O. BOX 2977                      |                     |           | PHOENIX      | AZ    | 85062-2977 |         | 8/22/2019  | \$975.94              | Health Care Provider Services   |
| BAYLOR SURGICARE CARROLLTON BDO                         |   | 4780 N. Josey Lane<br>PO BOX 677973 |                     |           | Carrollton   | TX    | 75010      |         | 10/8/2019  | \$89.48               | Customer Refund   |
| BIOPOLICY INNOVATIONS LLC                               |   | 4340 PACIFIC HIGHWAY 2ND FLOOR      |                     |           | DALLAS       | TX    | 75267-7973 |         | 9/18/2019  | \$5,000.00            | Accounting Services   |
| BOARD OF TRUSTEES OF UNIV                               | BOARD OF TRUSTEES OF UNIV IL                        | GRANTS & CONTRACTS                  | 28395 NETWORK PLACE |           | BELLINGHAM   | WA    | 98226      |         | 9/5/2019   | \$3,999.00            | Health Care Provider Services   |
| BRAAS COMPANY   |   | 7970 WALLACE ROAD                   |                     |           | CHICAGO      | IL    | 60673-1283 |         | 8/22/2019  | \$9,150.00            | Health Care Provider Services   |
| BRAAS COMPANY   |   | 7970 WALLACE ROAD                   |                     |           | EDEN PRAIRIE | MN    | 55344      |         | 8/28/2019  | \$9,879.22            | Supply vendor   |
| BRAAS COMPANY   |   | 7970 WALLACE ROAD                   |                     |           | EDEN PRAIRIE | MN    | 55344      |         | 9/4/2019   | \$2,418.86            | Supply vendor   |
| BRAAS COMPANY   |   | 7970 WALLACE ROAD                   |                     |           | EDEN PRAIRIE | MN    | 55344      |         | 9/10/2019  | \$1,796.01            | Supply vendor   |
| BRAAS COMPANY   |   | 7970 WALLACE ROAD                   |                     |           | EDEN PRAIRIE | MN    | 55344      |         | 9/18/2019  | \$238.71              | Supply vendor   |
| BRANDON VAN NOORD                                       |   | 3900 Fifth Ave #110                 |                     |           | San Diego    | CA    | 92103      |         | 9/26/2019  | \$50.00               | Health Care Provider Services   |
| BRANDORA LLC  |   | 3008 E HEBRON PKWY #300             |                     |           | CARROLLTON   | TX    | 75010      |         | 8/19/2019  | \$757.75              | Sales and marketing services  |
| BRANDORA LLC  |   | 3008 E HEBRON PKWY #300             |                     |           | CARROLLTON   | TX    | 75010      |         | 10/15/2019 | \$5,082.34            | Sales and marketing services  |
| BRIAN JOVES MD  |   | 1528 EUREKA ROAD # 103              |                     |           | ROSEVILLE    | CA    | 95661      |         | 10/23/2019 | \$562.50              | Health Care Provider Services   |
| BRIGHT RESEARCH PARTNERS                                | Attn Director or Officer                            | 730 Second Avenue South, Suite 500  |                     |           | Minneapolis  | MN    | 55402      |         | 8/28/2019  | \$120,088.86          | Vendor Services   |
| BRIGHT RESEARCH PARTNERS                                | Attn Director or Officer                            | 730 Second Avenue South, Suite 500  |                     |           | Minneapolis  | MN    | 55402      |         | 10/9/2019  | \$66,177.28           | Vendor Services   |
| BURCH BUSINESS SOLUTIONS LLC                            | RICHARD BURCH                                       | 2325 ROADRUNNER DRIVE               |                     |           | FLOWER MOUND | TX    | 75022      |         | 9/3/2019   | \$18,848.50           | R&D Contractor Services   |
| CA DEPT TAX FEE CDTFA EPMT 3181272 NUVECTRA CORPORATION | California Department of Tax and Fee Administration | 450 N St                            |                     |           | Sacramento   | CA    | 95814      |         | 8/21/2019  | \$355.74              | Tax Payment   |
| CA DEPT TAX FEE CDTFA EPMT 3314462 NUVECTRA CORPORATION | California Department of Tax and Fee Administration | 450 N St                            |                     |           | Sacramento   | CA    | 95814      |         | 8/21/2019  | \$2,163.19            | Tax Payment   |
| CA DEPT TAX FEE CDTFA EPMT 3468231 NUVECTRA CORPORATION | California Department of Tax and Fee Administration | 450 N St                            |                     |           | Sacramento   | CA    | 95814      |         | 9/17/2019  | \$15,942.41           | Tax Payment   |
| CA DEPT TAX FEE CDTFA EPMT 3607834 NUVECTRA CORPORATION | California Department of Tax and Fee Administration | 450 N St                            |                     |           | Sacramento   | CA    | 95814      |         | 9/20/2019  | \$1,817.90            | Tax Payment   |
| CA DEPT TAX FEE CDTFA EPMT 3695411 NUVECTRA CORPORATION | California Department of Tax and Fee Administration | 450 N St                            |                     |           | Sacramento   | CA    | 95814      |         | 10/21/2019 | \$1,900.91            | Tax Payment   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 8/19/2019  | \$40,000.00           | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 8/20/2019  | \$1,224.00            | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 8/29/2019  | \$616.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 9/5/2019   | \$640.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 9/11/2019  | \$592.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 9/23/2019  | \$512.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 9/30/2019  | \$624.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 10/10/2019 | \$632.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 10/10/2019 | \$632.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 10/17/2019 | \$632.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 10/30/2019 | \$616.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 10/30/2019 | \$632.00              | R&D Contractor Services   |
| CALVIN SIPHAPASERTH                                     |   | 10512 EAGLE ST NW                   |                     |           | COON RAPIDS  | MN    | 55433      |         | 11/7/2019  | \$632.00              | R&D Contractor Services   |
| CAMTECHNOLOGIES LLC                                     |   | 3550 W TACOMA ST                    |                     |           | BROKEN ARROW | OK    | 74012      |         | 9/30/2019  | \$800.00              | R&D Contractor Services   |
| CAMTECHNOLOGIES LLC                                     |   | 3550 W TACOMA ST                    |                     |           | BROKEN ARROW | OK    | 74012      |         | 10/9/2019  | \$800.00              | R&D Contractor Services   |
| CAMTECHNOLOGIES LLC                                     |   | 3550 W TACOMA ST                    |                     |           | BROKEN ARROW | OK    | 74012      |         | 10/23/2019 | \$800.00              | R&D Contractor Services   |
| CAPITOL PAIN INSTITUTE PA                               |   | 7951 SHOAL CREEK BLVD               | STE 300             |           | AUSTIN       | TX    | 78757      |         | 10/30/2019 | \$246.59              | Customer Refund   |

SOFA 3 Attachment

Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name                                      | Notice name                     | Address 1                    | Address 2                      | Address 3                   | City         | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|--|---------------------------------|------------------------------|--------------------------------|-----------------------------|--------------|-------|------------|---------|------------|-----------------------|---|
| CAREER BUILDER LLC                                   |                                 | 13047 COLLECTIONS CENTER DR  |                                |                             | Chicago      | IL    | 60693-0130 |         | 9/4/2019   | \$1,084.65            | HR Services   |
| CAREER BUILDER LLC                                   |                                 | 13047 COLLECTIONS CENTER DR  |                                |                             | Chicago      | IL    | 60693-0130 |         | 10/15/2019 | \$1,084.65            | HR Services   |
| CARL MOSLEY  |                                 | 3954 SUNSET COVE DR          |                                |                             | PORT ORANGE  | FL    | 32129      |         | 8/20/2019  | \$2,916.00            | R&D Contractor Services   |
| CARL MOSLEY  |                                 | 3954 SUNSET COVE DR          |                                |                             | PORT ORANGE  | FL    | 32129      |         | 8/20/2019  | \$3,060.00            | R&D Contractor Services   |
| CARL MOSLEY  |                                 | 3954 SUNSET COVE DR          |                                |                             | PORT ORANGE  | FL    | 32129      |         | 9/4/2019   | \$2,844.00            | R&D Contractor Services   |
| CARL MOSLEY  |                                 | 3954 SUNSET COVE DR          |                                |                             | PORT ORANGE  | FL    | 32129      |         | 10/16/2019 | \$2,880.00            | R&D Contractor Services   |
| CARL MOSLEY  |                                 | 3954 SUNSET COVE DR          |                                |                             | PORT ORANGE  | FL    | 32129      |         | 10/16/2019 | \$2,952.00            | R&D Contractor Services   |
| CARL MOSLEY  |                                 | 3954 SUNSET COVE DR          |                                |                             | PORT ORANGE  | FL    | 32129      |         | 10/16/2019 | \$3,651.98            | R&D Contractor Services   |
| CARL MOSLEY  |                                 | 3954 SUNSET COVE DR          |                                |                             | PORT ORANGE  | FL    | 32129      |         | 11/5/2019  | \$2,736.00            | R&D Contractor Services   |
| CARROLL BIOMEDICAL LLC                               | JEFF WEISGARBER                 | 5855 POST ROAD               |                                |                             | JEWETT       | OH    | 43986      |         | 8/20/2019  | \$10,050.00           | R&D Contractor Services   |
| CARROLL BIOMEDICAL LLC                               | JEFF WEISGARBER                 | 5855 POST ROAD               |                                |                             | JEWETT       | OH    | 43986      |         | 9/16/2019  | \$5,400.00            | R&D Contractor Services   |
| CARROLL BIOMEDICAL LLC                               | JEFF WEISGARBER                 | 5855 POST ROAD               |                                |                             | JEWETT       | OH    | 43986      |         | 9/24/2019  | \$4,250.00            | R&D Contractor Services   |
| CARROLL BIOMEDICAL LLC                               | JEFF WEISGARBER                 | 5855 POST ROAD               |                                |                             | JEWETT       | OH    | 43986      |         | 10/21/2019 | \$14,350.00           | R&D Contractor Services   |
| CARROLL BIOMEDICAL LLC                               | JEFF WEISGARBER                 | 5855 POST ROAD               |                                |                             | JEWETT       | OH    | 43986      |         | 11/5/2019  | \$6,850.00            | R&D Contractor Services   |
| CATHOLIC HEALTH INITIATIVES                          | C/O SPENDMEND                   | 2680 HORIZON DR SE           |                                |                             | GRAND RAPIDS | MI    | 49546      |         | 8/30/2019  | \$1,500.00            | Customer Refund   |
| CDW DIRECT LLC                                       |                                 | PO BOX 75723                 |                                |                             | Chicago      | IL    | 60675-5723 |         | 8/30/2019  | \$3,544.45            | IT Services   |
| CDW DIRECT LLC                                       |                                 | PO BOX 75723                 |                                |                             | Chicago      | IL    | 60675-5723 |         | 9/5/2019   | \$6,547.63            | IT Services   |
| CDW DIRECT LLC                                       |                                 | PO BOX 75723                 |                                |                             | Chicago      | IL    | 60675-5723 |         | 9/12/2019  | \$433.18              | IT Services   |
| CDW DIRECT LLC                                       |                                 | PO BOX 75723                 |                                |                             | Chicago      | IL    | 60675-5723 |         | 10/9/2019  | \$3,544.45            | IT Services   |
| CENTER FOR INTERVENTIONAL PAIN SPINE LLC             |                                 | 3401 BRANDYWINE PKWY STE 203 |                                |                             | WILMINGTON   | DE    | 19803      |         | 10/11/2019 | \$3,673.00            | Health Care Provider Services   |
| CENTERPOINT ENERGY                                   |                                 | P.O. Box 4567                |                                |                             | Houston      | TX    | 77210-4567 |         | 8/21/2019  | \$51.41               | Utilities   |
| CENTERPOINT ENERGY                                   |                                 | P.O. Box 4567                |                                |                             | Houston      | TX    | 77210-4567 |         | 9/19/2019  | \$51.40               | Utilities   |
| CENTERPOINT ENERGY                                   |                                 | P.O. Box 4567                |                                |                             | Houston      | TX    | 77210-4567 |         | 10/15/2019 | \$59.88               | Utilities   |
| CENTURY LINK   |                                 | 100 CenturyLink Drive        |                                |                             | Monroe       | LA    | 71203      |         | 8/19/2019  | \$1,110.82            | IT Services   |
| CENTURY LINK   |                                 | 100 CenturyLink Drive        |                                |                             | Monroe       | LA    | 71203      |         | 9/12/2019  | \$1,847.54            | Utilities   |
| CENTURY LINK   |                                 | 100 CenturyLink Drive        |                                |                             | Monroe       | LA    | 71203      |         | 10/24/2019 | \$736.52              | Utilities   |
| CENTURY LINK   |                                 | 100 CenturyLink Drive        |                                |                             | Monroe       | LA    | 71203      |         | 10/31/2019 | \$0.00                | IT Services   |
| CENTURY LINK   |                                 | 100 CenturyLink Drive        |                                |                             | Monroe       | LA    | 71203      |         | 10/31/2019 | \$1,110.92            | IT Services   |
| CIGNA LIFE INSURANCE COMPANY OF NEW YORK             |                                 | PO BOX 787941                |                                |                             | Philadelphia | PA    | 19178-7941 |         | 10/15/2019 | \$9.60                | Insurance Payment   |
| CIGNA LIFE INSURANCE COMPANY OF NEW YORK             |                                 | PO BOX 787941                |                                |                             | Philadelphia | PA    | 19178-7941 |         | 10/15/2019 | \$35.25               | Insurance Payment   |
| CIGNA OF NEW YORK                                    |                                 | PO BOX 787941                |                                |                             | Philadelphia | PA    | 19178-7941 |         | 8/20/2019  | \$235,185.13          | Employee Health Insurance   |
| CIGNA OF NEW YORK                                    |                                 | PO BOX 787941                |                                |                             | Philadelphia | PA    | 19178-7941 |         | 9/20/2019  | \$218,738.88          | Employee Health Insurance   |
| CIGNA OF NEW YORK                                    |                                 | PO BOX 787941                |                                |                             | Philadelphia | PA    | 19178-7941 |         | 10/22/2019 | \$174,933.79          | Employee Health Insurance   |
| CIRCUIT CHECK INC                                    |                                 | 6550 WEDGWOOD ROAD           | SUITE 120                      |                             | MAPLE GROVE  | MN    | 55311      |         | 10/8/2019  | \$10,631.25           | Supply vendor   |
| City and County Sales Tax 09841                      |                                 |                              |                                |                             |              |       |            |         | 10/22/2019 | \$342.17              | Tax Payment   |
| CITY OF BLAINE                                       | C/O 21ST CENTURY BANK           | 9380 CENTRAL AVE NE          |                                |                             | BLANE        | MN    | 55434      |         | 8/20/2019  | \$215.13              | Utilities   |
| CITY OF BLAINE                                       | C/O 21ST CENTURY BANK           | 9380 CENTRAL AVE NE          |                                |                             | BLANE        | MN    | 55434      |         | 9/18/2019  | \$236.41              | Utilities   |
| CITY OF BLAINE                                       | C/O 21ST CENTURY BANK           | 9380 CENTRAL AVE NE          |                                |                             | BLANE        | MN    | 55434      |         | 10/29/2019 | \$194.18              | Utilities   |
| CO DPT OF REV CO.GO CO DPT OF REV                    | Colorado Department of Revenue  | 1375 Sherman St              |                                |                             | Denver       | CO    | 80203      |         | 9/20/2019  | \$417.58              | Tax Payment   |
| CO DPT OF REV CO.GO CO DPT OF REV                    | Colorado Department of Revenue  | 1375 Sherman St              |                                |                             | Denver       | CO    | 80203      |         | 10/21/2019 | \$411.87              | Tax Payment   |
| CO DPT OF REV CO.GO CO DPT OF REV                    | Colorado Department of Revenue  | 1375 Sherman St              |                                |                             | Denver       | CO    | 80203      |         | 10/21/2019 | \$1,288.00            | Tax Payment   |
| COMMERCIAL RECEIVERS INC                             |                                 | PO BOX 29478                 |                                |                             | HENRICO      | VA    | 23242      |         | 9/10/2019  | \$3,638.41            | A/R Collection Agency   |
| COMMERCIAL RECEIVERS INC                             |                                 | PO BOX 29478                 |                                |                             | HENRICO      | VA    | 23242      |         | 9/17/2019  | \$400.00              | A/R Collection Agency   |
| COMMERCIAL RECEIVERS INC                             |                                 | PO BOX 29478                 |                                |                             | HENRICO      | VA    | 23242      |         | 10/8/2019  | \$4,276.87            | A/R Collection Agency   |
| COMMERCIAL RECEIVERS INC                             |                                 | PO BOX 29478                 |                                |                             | HENRICO      | VA    | 23242      |         | 10/22/2019 | \$842.32              | A/R Collection Agency   |
| COMMUNITY WEST FOUNDATION                            | FUND 301.00 ATTN MARY INTORCIO  | 1730 WEST 25TH STREET        |                                |                             | CLEVELAND    | OH    | 44113      |         | 9/26/2019  | \$6,707.00            | Health Care Provider Services   |
| COMMWLTH OF PA INT PAST SALE TX                      | Commonwealth of Pennsylvania    | Office of Open Records       | Commonwealth Keystone Building | 400 North Street, 4th Floor | Harrisburg   | PA    | 17120-0225 |         | 8/21/2019  | \$218.89              | Tax Payment   |
| COMMWLTH OF PA INT PAST SALE TX                      | Commonwealth of Pennsylvania    | Office of Open Records       | Commonwealth Keystone Building | 400 North Street, 4th Floor | Harrisburg   | PA    | 17120-0225 |         | 9/23/2019  | \$223.94              | Tax Payment   |
| COMMWLTH OF PA INT PAST SALE TX                      | Commonwealth of Pennsylvania    | Office of Open Records       | Commonwealth Keystone Building | 400 North Street, 4th Floor | Harrisburg   | PA    | 17120-0225 |         | 10/22/2019 | \$335.91              | Health Care Provider Services   |
| COMP OF MARYLAND DIR DB RAD 004819233012112          | Revenue Administration Division | 110 Carroll Street           |                                |                             | Annapolis    | MD    | 21411-0001 |         | 8/23/2019  | \$5.99                | Tax Payment   |
| COMP OF MARYLAND DIR DB RAD 004819264012957          | Revenue Administration Division | 110 Carroll Street           |                                |                             | Annapolis    | MD    | 21411-0001 |         | 9/24/2019  | \$896.61              | Tax Payment   |
| COMP OF MARYLAND DIR DB RAD 004819294015181          | Revenue Administration Division | 110 Carroll Street           |                                |                             | Annapolis    | MD    | 21411-0001 |         | 10/23/2019 | \$11.92               | Tax Payment   |
| COMPENSIA INC  |                                 | 125 S. MARKET SREET          | SUITE 1000                     |                             | SAN JOSE     | CA    | 95113      |         | 10/17/2019 | \$4,028.00            | HR Services   |
| COMPUCOM SYSTEMS INC                                 |                                 | PO BOX 951654                |                                |                             | DALLAS       | TX    | 75395-1654 |         | 8/26/2019  | \$4,574.40            | IT Services   |
| COMPUCOM SYSTEMS INC                                 |                                 | PO BOX 951654                |                                |                             | DALLAS       | TX    | 75395-1654 |         | 10/8/2019  | \$9,327.52            | IT Services   |
| COMPUCOM SYSTEMS INC                                 |                                 | PO BOX 951654                |                                |                             | DALLAS       | TX    | 75395-1654 |         | 10/28/2019 | \$1,690.00            | IT Services   |
| COMPUTER SCIENCES CORPORATION TRIBRIDGE HOLDINGS LLC |                                 | PO BOX 829848                |                                |                             | Philadelphia | PA    | 19182-9848 |         | 8/20/2019  | \$22,621.85           | IT Services   |
| COMPUTER SCIENCES CORPORATION TRIBRIDGE HOLDINGS LLC |                                 | PO BOX 829848                |                                |                             | Philadelphia | PA    | 19182-9848 |         | 9/18/2019  | \$2,550.00            | IT Services   |
| COMPUTERSHARE INC                                    |                                 | DEPT CH 16934                |                                |                             | PALATINE     | IL    | 60055-6934 |         | 9/12/2019  | \$2,274.22            | Transfer Agent  |
| COMPUTERSHARE INC                                    |                                 | DEPT CH 16934                |                                |                             | PALATINE     | IL    | 60055-6934 |         | 10/25/2019 | \$1,308.58            | Transfer Agent  |

In re: Nuvectra Corporation  
Case No. 19-43090  
SOFA 3 Attachment  
Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name                              | Notice name                                    | Address 1                            | Address 2                  | Address 3 | City           | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|--|--|--------------------------------------|----------------------------|-----------|----------------|-------|------------|---------|------------|-----------------------|---|
| CONCUR TECHNOLOGIES INC                      |  | 62157 COLLECTIONS CENTER DRIVE       |                            |           | Chicago        | IL    | 60693      |         | 8/26/2019  | \$5,570.74            | Travel & Expense System   |
| CONCUR TECHNOLOGIES INC                      |  | 62157 COLLECTIONS CENTER DRIVE       |                            |           | Chicago        | IL    | 60693      |         | 10/8/2019  | \$5,392.06            | IT Services   |
| CONNEXUS ENERGY                              |  | 14601 Ramsey Blvd                    |                            |           | Ramsey         | MN    | 55303      |         | 8/28/2019  | \$4,838.10            | Utilities   |
| CONNEXUS ENERGY                              |  | 14601 Ramsey Blvd                    |                            |           | Ramsey         | MN    | 55303      |         | 9/25/2019  | \$4,249.37            | Utilities   |
| CONNEXUS ENERGY                              |  | 14601 Ramsey Blvd                    |                            |           | Ramsey         | MN    | 55303      |         | 10/28/2019 | \$3,507.16            | Utilities   |
| CORDIRECT                                    |  | 2809 NATIONAL DR                     |                            |           | GARLAND        | TX    | 75041      |         | 9/17/2019  | \$2,610.19            | Sales and marketing services  |
| CRUCIAL DATA SOLUTIONS INC                   |  | 18124 WEDGE PKWY STE 139             |                            |           | RENO           | NV    | 89511      |         | 8/22/2019  | \$1,549.00            | Clinical Consulting   |
| CRUCIAL DATA SOLUTIONS INC                   |  | 18124 WEDGE PKWY STE 139             |                            |           | RENO           | NV    | 89511      |         | 9/18/2019  | \$1,549.00            | Clinical Consulting   |
| CRUCIAL DATA SOLUTIONS INC                   |  | 18124 WEDGE PKWY STE 139             |                            |           | RENO           | NV    | 89511      |         | 10/23/2019 | \$1,549.00            | Clinical Consulting   |
| CSI MODR TAX DOR PAYMENT                     | Missouri Department of Revenue                 | Harry S Truman State Office Building | 301 West High Street       |           | Jefferson City | MO    | 65101      |         | 10/22/2019 | \$36.41               | Tax Payment   |
| CZARNOWSKI DISPLAY SERVICE INC               |  | 6067 EAGLE WAY                       |                            |           | Chicago        | IL    | 60678-1060 |         | 9/5/2019   | \$377.50              | Sales and marketing services  |
| CZARNOWSKI DISPLAY SERVICE INC               |  | 6067 EAGLE WAY                       |                            |           | Chicago        | IL    | 60678-1060 |         | 10/11/2019 | \$403.35              | Sales and marketing services  |
| DALLAS SECURITY SYSTEMS INC                  |  | PO BOX 550939                        |                            |           | DALLAS         | TX    | 75355-0939 |         | 8/19/2019  | \$156.96              | Vendor Services   |
| DALLAS SECURITY SYSTEMS INC                  |  | PO BOX 550939                        |                            |           | DALLAS         | TX    | 75355-0939 |         | 10/9/2019  | \$156.96              | Vendor Services   |
| DANIEL JUDKINS                               |  | 133 BEAVERFORK RD                    |                            |           | CONWAY         | AR    | 72032      |         | 10/29/2019 | \$412.50              | Sales and marketing services  |
| DAVID C JOHNSON                              |  | 3916 AZURE LANE                      |                            |           | ADDISON        | TX    | 75001      |         | 8/16/2019  | \$9,592.00            | Contractor Services   |
| DAVID C JOHNSON                              |  | 3916 AZURE LANE                      |                            |           | ADDISON        | TX    | 75001      |         | 9/9/2019   | \$6,550.00            | Contractor Services   |
| DAVID C JOHNSON                              |  | 3916 AZURE LANE                      |                            |           | ADDISON        | TX    | 75001      |         | 10/10/2019 | \$8,750.00            | Contractor Services   |
| DAVID C JOHNSON                              |  | 3916 AZURE LANE                      |                            |           | ADDISON        | TX    | 75001      |         | 11/8/2019  | \$9,430.00            | Contractor Services   |
| DAX TRUJILLO                                 |  | 1500 S. White Mountain Rd            | #300                       |           | Show Low       | AZ    | 85901      |         | 11/8/2019  | \$4,725.00            | Sales and marketing services  |
| DBA BUDDY COHEN CONNECTS                     |  | 4326 BLAISDELL AVE SO                |                            |           | Minneapolis    | MN    | 55409      |         | 10/30/2019 | \$600.00              | Sales and marketing services  |
| BUDMAN ISLAND LLC                            |  | 2525 MCKINNON STE 800                |                            |           | DALLAS         | TX    | 75201      |         | 9/18/2019  | \$1,017.42            | Fixed asset   |
| DBA DLR GROUP STAFFELBACH                    |  | 2525 MCKINNON STE 800                |                            |           | DALLAS         | TX    | 75201      |         | 10/23/2019 | \$1,325.00            | Fixed asset   |
| DBA EVIDOX A QDISCOVERY COMPANY              |  | 125 EUGENE ONEILL DR                 | SUITE 140                  |           | NEW LONDON     | CT    | 06320      |         | 10/9/2019  | \$250.00              | Legal Services  |
| DDL INC                                      |  | 10200 VALLEY VIEW ROAD #101          |                            |           | EDEN PRAIRIE   | MN    | 55344      |         | 8/28/2019  | \$5,301.00            | Vendor  |
| DEL SOL MEDICAL CENTER                       |  | 10301 Gateway Blvd W                 |                            |           | El Paso        | TX    | 79925-7701 |         | 10/15/2019 | \$148.50              | Customer Refund   |
| DELOITTE & TOUCHE LLP                        |  | PO BOX 844708                        |                            |           | DALLAS         | TX    | 75284-4708 |         | 9/9/2019   | \$42,460.00           | Auditor   |
| DELOITTE & TOUCHE LLP                        |  | PO BOX 844708                        |                            |           | DALLAS         | TX    | 75284-4708 |         | 9/16/2019  | \$61,015.00           | Auditor   |
| DELOITTE & TOUCHE LLP                        |  | PO BOX 844708                        |                            |           | DALLAS         | TX    | 75284-4708 |         | 11/8/2019  | \$88,001.00           | Auditor   |
| DEMO ARTS                                    | MFN CONSULTING INC                             | 1777 OAKDALE AVENUE                  |                            |           | WEST ST. PAUL  | MN    | 55118      |         | 9/9/2019   | \$13,462.50           | Sales and marketing services  |
| DENIS LEVIN                                  |  | 102 CANTAL CT                        |                            |           | WHEELING       | IL    | 60090      |         | 8/19/2019  | \$4,388.95            | Agent Commission  |
| DENIS LEVIN                                  |  | 102 CANTAL CT                        |                            |           | WHEELING       | IL    | 60090      |         | 9/17/2019  | \$4,320.00            | Agent Commission  |
| DENIS LEVIN                                  |  | 102 CANTAL CT                        |                            |           | WHEELING       | IL    | 60090      |         | 9/24/2019  | \$3,631.73            | Agent Commission  |
| DENIS LEVIN                                  |  | 102 CANTAL CT                        |                            |           | WHEELING       | IL    | 60090      |         | 11/4/2019  | \$16.10               | Agent Commission  |
| DENIS LEVIN                                  |  | 102 CANTAL CT                        |                            |           | WHEELING       | IL    | 60090      |         | 11/4/2019  | \$1,380.00            | Agent Commission  |
| DENVERGOV.ORG SALES TAX                      | Treasury Division                              | Wellington Webb Building             | 201 W Colfax Ave Dept 1009 |           | Denver         | CO    | 80202      |         | 9/20/2019  | \$148.00              | Tax Payment   |
| DENVERGOV.ORG SALES TAX                      | Treasury Division                              | Wellington Webb Building             | 201 W Colfax Ave Dept 1009 |           | Denver         | CO    | 80202      |         | 9/30/2019  | \$320.00              | Tax Payment   |
| DENVERGOV.ORG SALES TAX                      | Treasury Division                              | Wellington Webb Building             | 201 W Colfax Ave Dept 1009 |           | Denver         | CO    | 80202      |         | 10/21/2019 | \$1,154.00            | Tax Payment   |
| DEPT OF REVENUE SERVICES CONNECTICUT         |  | PO BOX 5089                          |                            |           | HARTFORD       | CT    | 06102-5089 |         | 9/11/2019  | \$80.50               | Tax Payment   |
| Dept. of Revenue Tax Paymnt COL TAX007751788 | Colorado Department of Revenue                 | 1375 Sherman St                      |                            |           | Denver         | CO    | 80203      |         | 8/21/2019  | \$395.33              | Tax Payment   |
| DORA E CARRANZA                              |  | 9813 SANDHILL UNIT 85                |                            |           | LAREDO         | TX    | 78045      |         | 8/28/2019  | \$992.03              | Clinical Specialist Contractor  |
| DORA E CARRANZA                              |  | 9813 SANDHILL UNIT 85                |                            |           | LAREDO         | TX    | 78045      |         | 9/4/2019   | \$550.00              | Clinical Specialist Contractor  |
| DORA E CARRANZA                              |  | 9813 SANDHILL UNIT 85                |                            |           | LAREDO         | TX    | 78045      |         | 10/23/2019 | \$550.00              | Clinical Specialist Contractor  |
| DUN & BRADSTREET                             |  | 75 REMITTANCE DRIVE                  | SUITE 1096                 |           | Chicago        | IL    | 60675-1096 |         | 10/10/2019 | \$4,532.98            | Finance Services  |
| DUVAL AND ASSOCIATES PA                      |  | 1820 MEDICAL ARTS BUILDING 825       |                            |           | Minneapolis    | MN    | 55402      |         | 9/23/2019  | \$5,000.00            | Legal Services  |
| DYNAMIC MANUFACTURING SOLUTIONS              |  | SUITE 102 5661-99 STREET             |                            |           | EDMONTON       | AB    | T6E 3N8    | Canada  | 9/4/2019   | \$92.50               | IT Services   |
| ELEMENT MATERIALS TECHNOLOGY MINNETONKA INC  |  | 5929 BAKER ROAD STE 430              |                            |           | MINNETONKA     | MN    | 55345      |         | 8/19/2019  | \$2,400.00            | R&D Services  |
| ELEMENT MATERIALS TECHNOLOGY MINNETONKA INC  |  | 5929 BAKER ROAD STE 430              |                            |           | MINNETONKA     | MN    | 55345      |         | 10/9/2019  | \$4,240.00            | R&D Services  |
| EMERGO GROUP INC                             |  | 2500 BEE CAVE ROAD                   | BLDG 1 SUITE 300           |           | AUSTIN         | TX    | 78746      |         | 10/16/2019 | \$250.00              | R&D Services  |
| EMPLOYMENT SCREENING SERVICES INC            |  | DEPT K PO BOX 830520                 |                            |           | BIRMINGHAM     | AL    | 35283      |         | 8/30/2019  | \$1,066.20            | HR Services   |
| EMPLOYMENT SCREENING SERVICES INC            |  | DEPT K PO BOX 830520                 |                            |           | BIRMINGHAM     | AL    | 35283      |         | 9/17/2019  | \$998.00              | HR Services   |
| ENAVATE MANAGED SERVICES INC                 |  | 7887 E BELLEVIEW AVE STE 600         |                            |           | ENGLEWOOD      | CO    | 80111      |         | 11/6/2019  | \$6,963.94            | R&D Services  |
| EPIMED INTERNATIONAL INC                     |  | 141 SAL LANDRIO DRIVE                |                            |           | JOHNSTOWN      | NY    | 12095      |         | 8/20/2019  | \$9,314.00            | Inventory Purchase  |
| EPIMED INTERNATIONAL INC                     |  | 141 SAL LANDRIO DRIVE                |                            |           | JOHNSTOWN      | NY    | 12095      |         | 10/10/2019 | \$14,465.60           | Inventory Purchase  |
| ERIC Y CHANG MD INC                          |  | 2549 EAST BLUFF DR                   | UNIT 183                   |           | NEWPORT BEACH  | CA    | 92660      |         | 8/21/2019  | \$1,593.75            | Health Care Provider Services   |
| FDA User Fee Payment                         | FOOD AND DRUG ADMINISTRATION                   | PO BOX 979033                        |                            |           | ST LOUIS       | MO    | 63197-9000 |         | 10/11/2019 | \$51,149.00           | Vendor Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd                    | Module G, 3rd Floor        |           | Memphis        | TN    | 38116-5017 |         | 8/19/2019  | \$9,276.17            | Product Shipment / Courier Services   |



Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name  | Notice name                                    | Address 1                      | Address 2            | Address 3 | City                 | State | Zip        | Country        | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|--|--|--------------------------------|----------------------|-----------|----------------------|-------|------------|----------------|------------|-----------------------|---|
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 8/29/2019  | \$198.47              | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 8/29/2019  | \$6,051.42            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 8/29/2019  | \$11,280.09           | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 9/6/2019   | \$8,451.17            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 9/11/2019  | \$9,072.40            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 9/23/2019  | \$7,341.13            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 10/10/2019 | \$218.29              | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 10/10/2019 | \$7,939.51            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 10/10/2019 | \$18,380.03           | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 10/17/2019 | \$7,987.92            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 10/24/2019 | \$5,783.75            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 10/31/2019 | \$7,609.13            | Product Shipment / Courier Services   |
| FEDEX  | Attn Melissa K Fitzgerald or Michael D Gahagan | 3965 Airways Blvd              | Module G, 3rd Floor  |           | Memphis              | TN    | 38116-5017 |                | 10/31/2019 | \$0.00                | Shipping/freight  |
| FLA DEPT REVENUE C01   |  | 5050 W. Tennessee Street       |                      |           | Tallahassee          | FL    | 32399-0100 |                | 8/20/2019  | \$1,045.49            | Tax Payment   |
| FLA DEPT REVENUE C01   |  | 5050 W. Tennessee Street       |                      |           | Tallahassee          | FL    | 32399-0100 |                | 10/21/2019 | \$352.40              | Tax Payment   |
| FOLEY HOAG LLP   | ATTN ACCOUNTS RECEIVABLE                       | 155 SEAPORT BLVD               |                      |           | BOSTON               | MA    | 02210-2600 |                | 10/29/2019 | \$500.00              | Legal Services  |
| FOOD AND DRUG ADMINISTRATION                                       |  | PO BOX 979033                  |                      |           | ST LOUIS             | MO    | 63197-9000 |                | 8/16/2019  | \$2,577.00            | Food & Drug Administration  |
| FOOD AND DRUG ADMINISTRATION<br>FORT BELVOIR COMMUNITY<br>HOSPITAL |  | PO BOX 979033                  |                      |           | ST LOUIS             | MO    | 63197-9000 |                | 9/13/2019  | \$2,577.00            | Food & Drug Administration  |
| FREEDOM SALES  | JC GLOBAL INC                                  | 1414 Jackson Loop Bay F        |                      |           | Fort Belvoir         | VA    | 22060      |                | 10/29/2019 | \$550.00              | Customer Refund   |
| FUEL STUDIOS INC   |  | 11225 CHALLENGER AVE           |                      |           | ODESSA               | FL    | 33556      |                | 8/20/2019  | \$6,004.95            | Sales and marketing services  |
| GARNER GROUP LLC   |  | 7668 DALLAS LANE NORTH         |                      |           | MAPLE GROVE          | MN    | 55311      |                | 10/10/2019 | \$2,185.00            | Sales and marketing services  |
| GEOFFREY PETER BREMNER   |  | 104 GLASS SPRINGS DR           |                      |           | FRANKLIN             | TN    | 37064      |                | 9/17/2019  | \$18,000.00           | HR Services   |
|  |  | 17436 BRIDGEWATER CIRCLE       |                      |           | MINNETONKA           | MN    | 55345      |                | 8/19/2019  | \$3,000.00            | Health Care Provider Services   |
| GEORGIA INTERVENTIONAL PAIN-B                                      |  | 455 PHILIP BLVD                | BLDG 100 STE 138     |           | LAWRENCEVILLE        | GA    | 30046      |                | 8/30/2019  | \$402.14              | Customer Refund   |
| GEORGIA INTERVENTIONAL PAIN-D<br>LLC                               |  | 455 PHILIP BLVD                | BLDG 100 STE 138     |           | LAWRENCEVILLE        | GA    | 30046      |                | 9/30/2019  | \$1,128.31            | Customer Refund   |
| GEORGIA ITS TAX GA TX PYMT   | PROCESSING CENTER                              | PO BOX 740320                  |                      |           | ATLANTA              | GA    | 30374-0320 |                | 8/21/2019  | \$99.89               | Tax Payment   |
| GEORGIA ITS TAX GA TX PYMT   | PROCESSING CENTER                              | PO BOX 740320                  |                      |           | ATLANTA              | GA    | 30374-0320 |                | 9/20/2019  | \$95.65               | Tax Payment   |
| GEORGIA ITS TAX GA TX PYMT   | PROCESSING CENTER                              | PO BOX 740320                  |                      |           | ATLANTA              | GA    | 30374-0320 |                | 10/21/2019 | \$864.97              | Tax Payment   |
| GILBERT ALDEN PLLC   |  | 2801 CLIFF RD E STE 200        |                      |           | BURNSVILLE           | MN    | 55337      |                | 9/26/2019  | \$19,185.39           | R&D Services  |
| GILBERT ALDEN PLLC   |  | 2801 CLIFF RD E STE 200        |                      |           | BURNSVILLE           | MN    | 55337      |                | 10/7/2019  | \$19,185.39           | R&D Services  |
| GMA CONSULTING ENGINEERING   | GLORIA MARTINEZ-ARIZALA                        | 433 MAPLE LANE                 |                      |           | SHOREVIEW            | MN    | 55126      |                | 8/22/2019  | \$17,655.00           | R&D Services  |
| GMA CONSULTING ENGINEERING   | GLORIA MARTINEZ-ARIZALA                        | 433 MAPLE LANE                 |                      |           | SHOREVIEW            | MN    | 55126      |                | 9/11/2019  | \$13,200.00           | R&D Services  |
| GMA CONSULTING ENGINEERING   | GLORIA MARTINEZ-ARIZALA                        | 433 MAPLE LANE                 |                      |           | SHOREVIEW            | MN    | 55126      | United Kingdom | 10/9/2019  | \$10,560.00           | R&D Services  |
| GMDN AGENCY  |  | 11C PARK HOUSE                 | MILTON PARK ABINGDON |           | OXFORDSHIRE          |       | OX14 4RS   |                | 8/22/2019  | \$1,337.16            | Vendor  |
| GOOD GRAY  | PHILIP GREY                                    | 43 SE MAIN ST #509             |                      |           | Minneapolis          | MN    | 55414      |                | 10/8/2019  | \$13,000.00           | Sales and marketing services  |
| GOODPRINTER CORPORATION  |  | 3769 DUNLAP STREET NORTH       |                      |           | ST PAUL              | MN    | 55112      |                | 10/23/2019 | \$292.73              | Sales and marketing services  |
| GRABLE MARTIN FULTON PLLC  |  | PO BOX 822482                  |                      |           | NORTH RICHLAND HILLS | TX    | 76182      |                | 8/27/2019  | \$2,535.00            | Legal Services  |
| HAYNES AND BOONE LLP   | Attn Director or Officer                       | 2323 Victory Avenue, Suite 700 |                      |           | Dallas               | TX    | 75219      |                | 8/26/2019  | \$34,155.52           | Legal Counsel   |
| HAYNES AND BOONE LLP   | Attn Director or Officer                       | 2323 Victory Avenue, Suite 700 |                      |           | Dallas               | TX    | 75219      |                | 9/9/2019   | \$44,329.25           | Legal Counsel   |
| HAYNES AND BOONE LLP   | Attn Director or Officer                       | 2323 Victory Avenue, Suite 700 |                      |           | Dallas               | TX    | 75219      |                | 10/28/2019 | \$13,135.30           | Legal Counsel   |
| Higginbotham   |  | PO Box 908                     | 500 W 13th St        |           | Ft Worth             | TX    | 76102      |                | 8/16/2019  | \$5.00                | Health Reimbursement Arrangement (HRA) Fee  |
| Higginbotham   |  | PO Box 908                     | 500 W 13th St        |           | Ft Worth             | TX    | 76102      |                | 9/9/2019   | \$1,000.00            | Health Reimbursement Arrangement (HRA) Fee  |
| Higginbotham   |  | PO Box 908                     | 500 W 13th St        |           | Ft Worth             | TX    | 76102      |                | 9/13/2019  | \$5.00                | Health Reimbursement Arrangement (HRA) Fee  |
| Higginbotham   |  | PO Box 908                     | 500 W 13th St        |           | Ft Worth             | TX    | 76102      |                | 10/7/2019  | \$1,000.00            | Health Reimbursement Arrangement (HRA) Fee  |
| Higginbotham   |  | PO Box 908                     | 500 W 13th St        |           | Ft Worth             | TX    | 76102      |                | 10/9/2019  | \$5.00                | Health Reimbursement Arrangement (HRA) Fee  |
| Higginbotham   |  | PO Box 908                     | 500 W 13th St        |           | Ft Worth             | TX    | 76102      |                | 11/7/2019  | \$917.95              | Health Reimbursement Arrangement (HRA) Fee  |
| Higginbotham   |  | PO Box 908                     | 500 W 13th St        |           | Ft Worth             | TX    | 76102      |                | 11/8/2019  | \$5.00                | Health Reimbursement Arrangement (HRA) Fee  |
| HIGH PROFILE INC   |  | 4851 LBJ FRWY STE 500          |                      |           | DALLAS               | TX    | 75244      |                | 10/17/2019 | \$1,014.00            | HR Services   |
| HIGH PROFILE INC   |  | 4851 LBJ FRWY STE 500          |                      |           | DALLAS               | TX    | 75244      |                | 10/29/2019 | \$1,690.00            | HR Services   |
| HIGH PROFILE INC   |  | 4851 LBJ FRWY STE 500          |                      |           | DALLAS               | TX    | 75244      |                | 10/31/2019 | \$0.00                | HR Services   |
| HOWARD BRIAN GOLDMAN   |  | 23534 RANCH RD                 |                      |           | BEACHWOOD            | OH    | 44122      |                | 10/9/2019  | \$225.00              | Health Care Provider Services   |
| HSA BANK PLAN FUND   | HSA BANK                                       | 605 North 8th Street           | Suite 320            |           | Sheboygan            | WI    | 53081      |                | 9/17/2019  | \$6,050.64            | Employee Benefits   |

In re: Nuvectra Corporation  
Case No. 19-43090  
SOFA 3 Attachment  
Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name                      | Notice name                    | Address 1                           | Address 2           | Address 3 | City             | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|--------------------------------------|--------------------------------|-------------------------------------|---------------------|-----------|------------------|-------|------------|---------|------------|-----------------------|---|
| HSA BANK PLAN FUND                   | HSA BANK                       | 605 North 8th Street                | Suite 320           |           | Sheboygan        | WI    | 53081      |         | 10/1/2019  | \$5,626.61            | Employee Benefits   |
| HSA BANK PLAN FUND                   | HSA BANK                       | 605 North 8th Street                | Suite 320           |           | Sheboygan        | WI    | 53081      |         | 10/16/2019 | \$5,720.08            | Employee Benefits   |
| HSA BANK PLAN FUND                   | HSA BANK                       | 605 North 8th Street                | Suite 320           |           | Sheboygan        | WI    | 53081      |         | 10/29/2019 | \$5,535.47            | Employee Benefits   |
| HSA BANK PLAN FUND                   | HSA BANK                       | 605 North 8th Street                | Suite 320           |           | Sheboygan        | WI    | 53081      |         | 8/20/2019  | \$7,339.31            | Employee Benefits   |
| HSA BANK PLAN FUND                   | HSA BANK                       | 605 North 8th Street                | Suite 320           |           | Sheboygan        | WI    | 53081      |         | 9/4/2019   | \$7,431.61            | Employee Benefits   |
| HUAGUANG DAVID QU MD                 |                                | 825 SPRING HOUSE FARM LN            |                     |           | LOWER GWYNEDD    | PA    | 19002      |         | 8/19/2019  | \$1,050.00            | Health Care Provider Services   |
| HUAGUANG DAVID QU MD                 |                                | 825 SPRING HOUSE FARM LN            |                     |           | LOWER GWYNEDD    | PA    | 19002      |         | 9/5/2019   | \$1,500.00            | Health Care Provider Services   |
| HUAGUANG DAVID QU MD                 |                                | 825 SPRING HOUSE FARM LN            |                     |           | LOWER GWYNEDD    | PA    | 19002      |         | 10/24/2019 | \$525.00              | Health Care Provider Services   |
| IA DEPT OF REV IA REV PAY            | Iowa Department of Revenue     | 1305 E Walnut St Fourth Floor, 0107 |                     |           | Des Moines       | IA    | 50319      |         | 10/22/2019 | \$7.00                | Tax Payment   |
| IIAD LIFESCIENCES LLC                |                                | 588 BOSTON POST ROAD STE 345        |                     |           | WESTON           | MA    | 02493      |         | 9/24/2019  | \$85.85               | Health Care Provider Services   |
| IL DEPT OF REVEN EDI PYMNTS          | Illinois Department of Revenue | 2309 W Main St #114                 |                     |           | Marion           | IL    | 62959      |         | 8/22/2019  | \$1,332.00            | Tax Payment   |
| IL DEPT OF REVEN EDI PYMNTS          | Illinois Department of Revenue | 2309 W Main St #114                 |                     |           | Marion           | IL    | 62959      |         | 9/23/2019  | \$1,836.00            | Tax Payment   |
| IL DEPT OF REVEN EDI PYMNTS          | Illinois Department of Revenue | 2309 W Main St #114                 |                     |           | Marion           | IL    | 62959      |         | 10/22/2019 | \$1,641.00            | Tax Payment   |
| Indiana Department of Revenue        |                                | 100 N Senate Ave                    |                     |           | Indianapolis     | IN    | 46204      |         | 8/21/2019  | \$8,705.57            | Tax Payment   |
| Indiana Department of Revenue        |                                | 100 N Senate Ave                    |                     |           | Indianapolis     | IN    | 46204      |         | 9/20/2019  | \$5,081.87            | Tax Payment   |
| Indiana Department of Revenue        |                                | 100 N Senate Ave                    |                     |           | Indianapolis     | IN    | 46204      |         | 10/21/2019 | \$5,885.95            | Tax Payment   |
| INSTRON ILLINOIS TOOL WORKS INC      |                                | 825 UNIVERSITY AVE                  |                     |           | NORWOOD          | MA    | 02062      |         | 8/30/2019  | \$54,326.69           | R&D Services  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 8/15/2019  | \$836,799.00          | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 8/22/2019  | \$622,085.60          | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 8/30/2019  | \$111,153.63          | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 9/5/2019   | \$279,993.00          | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 9/12/2019  | \$462,573.00          | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 9/18/2019  | \$394,111.63          | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 9/19/2019  | \$44,082.20           | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 10/1/2019  | \$1,395,306.50        | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 10/17/2019 | \$50.78               | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 10/24/2019 | \$2,015,172.00        | Inventory Purchase  |
| INTEGER                              | GreatBatch                     | 10000 Wehrle Dr                     |                     |           | Clarence         | NY    | 14031      |         | 11/1/2019  | \$44,082.20           | Inventory Purchase  |
| JACK DIEP                            |                                | 646 GRAND ISLAND DR                 |                     |           | LAKE HAVASU CITY | AZ    | 86403      |         | 10/9/2019  | \$975.00              | Sales and marketing services  |
| JACKSON WALKER LLP                   |                                | PO BOX 130989                       |                     |           | DALLAS           | TX    | 75313-0989 |         | 8/29/2019  | \$4,928.17            | Legal Services  |
| JACKSON WALKER LLP                   |                                | PO BOX 130989                       |                     |           | DALLAS           | TX    | 75313-0989 |         | 9/11/2019  | \$3,039.81            | Legal Services  |
| JACKSON WALKER LLP                   |                                | PO BOX 130989                       |                     |           | DALLAS           | TX    | 75313-0989 |         | 10/10/2019 | \$1,327.56            | Legal Services  |
| JENNIFER L PINO                      |                                | 6406 S Himes Ave                    |                     |           | Tampa            | FL    | 33611      |         | 8/22/2019  | \$3,661.68            | Clinical Specialist Contractor  |
| JENNIFER L PINO                      |                                | 6406 S Himes Ave                    |                     |           | Tampa            | FL    | 33611      |         | 9/5/2019   | \$376.00              | Clinical Specialist Contractor  |
| JENNIFER L PINO                      |                                | 6406 S Himes Ave                    |                     |           | Tampa            | FL    | 33611      |         | 9/17/2019  | \$2,750.99            | Clinical Specialist Contractor  |
| JENNIFER L PINO                      |                                | 6406 S Himes Ave                    |                     |           | Tampa            | FL    | 33611      |         | 9/24/2019  | \$3,392.78            | Clinical Specialist Contractor  |
| JENNIFER L PINO                      |                                | 6406 S Himes Ave                    |                     |           | Tampa            | FL    | 33611      |         | 10/17/2019 | \$4,648.56            | Clinical Specialist Contractor  |
| JENNIFER L PINO                      |                                | 6406 S Himes Ave                    |                     |           | Tampa            | FL    | 33611      |         | 10/23/2019 | \$246.06              | Clinical Specialist Contractor  |
| JENNIFER RASNER                      |                                | 2335 WATT STREET                    |                     |           | RENO             | NV    | 89509      |         | 8/20/2019  | \$49,382.45           | Clinical Specialist Contractor  |
| JENNIFER RASNER                      |                                | 2335 WATT STREET                    |                     |           | RENO             | NV    | 89509      |         | 8/28/2019  | \$3,855.00            | Clinical Specialist Contractor  |
| JENNIFER RASNER                      |                                | 2335 WATT STREET                    |                     |           | RENO             | NV    | 89509      |         | 9/10/2019  | \$5,199.75            | Clinical Specialist Contractor  |
| JENNIFER RASNER                      |                                | 2335 WATT STREET                    |                     |           | RENO             | NV    | 89509      |         | 10/3/2019  | \$2,100.00            | Clinical Specialist Contractor  |
| JLT SPECIALTY INSURANCE SERVICES INC |                                | PO BOX 5587                         |                     |           | CAROL STREAM     | IL    | 60197-5587 |         | 9/30/2019  | \$61,001.00           | Insurance Payment   |
| JLT SPECIALTY INSURANCE SERVICES INC |                                | PO BOX 5587                         |                     |           | CAROL STREAM     | IL    | 60197-5587 |         | 10/10/2019 | \$53,509.00           | Insurance Payment   |
| JMBM LLP                             |                                | LOCKBOX FILE 1263                   | 1801 W OLYMPIC BLVD |           | PASADENA         | CA    | 91199-1263 |         | 10/22/2019 | \$743.00              | Legal Services  |
| JOBVITE INC                          |                                | 1300 S EL CAMINO REAL               | SUITE 400           |           | SAN MATEO        | CA    | 94402      |         | 9/6/2019   | \$3,393.08            | HR Services   |
| JODIE MCDONALD                       |                                | 10263 JENNY LYNN WAY                |                     |           | ELK GROVE        | CA    | 95757      |         | 8/23/2019  | \$13,867.91           | Clinical Specialist Contractor  |
| JODIE MCDONALD                       |                                | 10263 JENNY LYNN WAY                |                     |           | ELK GROVE        | CA    | 95757      |         | 9/16/2019  | \$13,601.88           | Clinical Specialist Contractor  |
| JODIE MCDONALD                       |                                | 10263 JENNY LYNN WAY                |                     |           | ELK GROVE        | CA    | 95757      |         | 10/7/2019  | \$14,218.05           | Clinical Specialist Contractor  |
| JODIE MCDONALD                       |                                | 10263 JENNY LYNN WAY                |                     |           | ELK GROVE        | CA    | 95757      |         | 10/28/2019 | \$12,409.78           | Clinical Specialist Contractor  |
| JOEIE FRANK ASSOCIATES               |                                | 622 Third Avenue                    |                     |           | New York         | NY    | 10017      |         | 11/8/2019  | \$85,000.00           | Restructuring Communications  |
| JOSHUA B KENNEDY                     |                                | 17128 PIERCE RD                     |                     |           | MOSS POINT       | MS    | 39562      |         | 8/27/2019  | \$12,514.36           | Sales and marketing services  |
| JOSHUA B KENNEDY                     |                                | 17128 PIERCE RD                     |                     |           | MOSS POINT       | MS    | 39562      |         | 9/6/2019   | \$5,276.58            | Sales and marketing services  |
| JOSHUA ELLIOTT                       |                                | 15216 DIRKS BAY                     |                     |           | BLUFFDALE        | UT    | 84065      |         | 8/19/2019  | \$9,311.54            | Sales and marketing services  |
| JOSHUA ELLIOTT                       |                                | 15216 DIRKS BAY                     |                     |           | BLUFFDALE        | UT    | 84065      |         | 9/17/2019  | \$9,182.32            | Sales and marketing services  |
| JOSHUA ELLIOTT                       |                                | 15216 DIRKS BAY                     |                     |           | BLUFFDALE        | UT    | 84065      |         | 10/16/2019 | \$11,402.16           | Sales and marketing services  |
| JR ASSOCIATES INC                    |                                | 6833 BERTRAND AVE                   |                     |           | RESEDA           | CA    | 91335      |         | 9/18/2019  | \$1,500.00            | Sales and marketing services  |
| JR ASSOCIATES INC                    |                                | 6833 BERTRAND AVE                   |                     |           | RESEDA           | CA    | 91335      |         | 9/19/2019  | \$1,500.00            | Sales and marketing services  |
| JUSTIN CAMPBELL                      |                                | 10649 BRUNSWICK AVE N               |                     |           | Minneapolis      | MN    | 55443      |         | 9/27/2019  | \$11,000.00           | Sales and marketing services  |
| KAMP ASSOCIATES LLC                  | MATTHEW SCHOETTLER             | 452 WEST VALLEY ROAD                |                     |           | WAYNE            | PA    | 19087      |         | 11/1/2019  | \$1,728.68            | Sales and marketing services  |
| KATHRYN L FLORENCE LLC               |                                | 2519 HUMBOLDT AVE SOUTH             | #207                |           | Minneapolis      | MN    | 55405      |         | 10/29/2019 | \$440.00              | Sales and marketing services  |
| KENTUCKY STATE TREASURER             | KENTUCKY DEPT OF REVENUE       | PO BOX 856910                       |                     |           | LOUISVILLE       | KY    | 40285-6910 |         | 10/28/2019 | \$681.00              | Tax Payment   |
| KERN SALES LLC                       |                                | 7320 E PORTLAND ST                  |                     |           | SCOTTSDALE       | AZ    | 85257      |         | 9/30/2019  | \$1,090.42            | Training  |
| KIM GORDON                           |                                | 3249 47TH AVE S                     |                     |           | Minneapolis      | MN    | 55406      |         | 10/24/2019 | \$455.00              | Vendor Services   |
| KNOWBE4 INC                          |                                | PO BOX 392286                       |                     |           | PITTSBURGH       | PA    | 15251-9286 |         | 8/28/2019  | \$6,072.83            | Vendor Services   |
| KS DEPT OF REVENUE TAXDRAFTS         | Kansas Dept Of Revenue         | 1883 W 21st St                      |                     |           | Wichita          | KS    | 67203      |         | 10/21/2019 | \$1,420.19            | Tax Payment   |
| KUNJ PATEL                           |                                | 18 S KINGSHIGHWAY BLVD #8N          |                     |           | ST LOUIS         | MO    | 63108      |         | 10/23/2019 | \$527.26              | Vendor Services   |
| KYLA ANDERSON                        |                                | 909 LILY DR                         |                     |           | LEXINGTON        | KY    | 40504      |         | 10/15/2019 | \$1,500.00            | Vendor Services   |
| LARRY CAMERON                        |                                | 2500 NATHAN LANE N                  | APT 313             |           | PLYMOUTH         | MN    | 55441      |         | 9/5/2019   | \$4,160.00            | R&D Services  |
| LARRY CAMERON                        |                                | 2500 NATHAN LANE N                  | APT 313             |           | PLYMOUTH         | MN    | 55441      |         | 9/23/2019  | \$4,420.00            | R&D Services  |

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| Creditor's name                         | Notice name                       | Address 1                          | Address 2 | Address 3 | City                | State | Zip        | Country        | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|---|-----------------------------------|------------------------------------|-----------|-----------|---------------------|-------|------------|----------------|------------|-----------------------|---|
| LARRY CAMERON                           |                                   | 2500 NATHAN LANE N                 |           |           | PLYMOUTH            | MN    | 55441      |                | 10/3/2019  | \$4,485.00            | R&D Services  |
| LARRY CAMERON                           |                                   | 2500 NATHAN LANE N                 | APT 313   |           | PLYMOUTH            | MN    | 55441      |                | 10/24/2019 | \$4,062.50            | R&D Services  |
| LARRY YAUK                              |                                   | 11004 BROOKSIDE TRAIL              |           |           | CHAMPLIN            | MN    | 55316      |                | 8/28/2019  | \$18,400.00           | R&D Services  |
| LARRY YAUK                              |                                   | 11004 BROOKSIDE TRAIL              |           |           | CHAMPLIN            | MN    | 55316      |                | 9/23/2019  | \$17,600.00           | Tax Payment   |
| LARRY YAUK                              |                                   | 11004 BROOKSIDE TRAIL              |           |           | CHAMPLIN            | MN    | 55316      |                | 10/24/2019 | \$21,600.00           | R&D Services  |
| LEGACY 401K PARTNERS                    |                                   | 1701 W NORTHWEST HWY STE 100       |           |           | GRAPEVINE           | TX    | 76051      |                | 9/19/2019  | \$7,932.76            | Advisor - 401k  |
| LESNESKI MEDICAL LEGAL CONSULTING LLC   | MATTHEW LESNESKI                  | 753 STONEHOUSE ROAD                |           |           | MOORESTOWN          | NJ    | 08057      |                | 10/28/2019 | \$562.50              | Consulting Services   |
| LIBRA MEDICAL INC                       |                                   | PO BOX 47948                       |           |           | PLYMOUTH            | MN    | 55447      |                | 8/29/2019  | \$10,631.25           | R&D Services  |
| LIBRA MEDICAL INC                       |                                   | PO BOX 47948                       |           |           | PLYMOUTH            | MN    | 55447      |                | 10/30/2019 | \$13,300.00           | R&D Services  |
| LIFE INSURANCE COMPANY OF NORTH AMERICA |                                   | PO BOX 13701                       |           |           | Philadelphia        | PA    | 19101-3701 |                | 8/19/2019  | \$12,685.84           | Insurance Payment   |
| LIFE INSURANCE COMPANY OF NORTH AMERICA |                                   | PO BOX 13701                       |           |           | Philadelphia        | PA    | 19101-3701 |                | 9/5/2019   | \$12,703.49           | Insurance Payment   |
| LIFE INSURANCE COMPANY OF NORTH AMERICA |                                   | PO BOX 13701                       |           |           | Philadelphia        | PA    | 19101-3701 |                | 10/11/2019 | \$12,785.11           | Insurance Payment   |
| LIFE INSURANCE COMPANY OF NORTH AMERICA |                                   | PO BOX 13701                       |           |           | Philadelphia        | PA    | 19101-3701 |                | 11/8/2019  | \$9,634.00            | Insurance Payment   |
| LINDSAY SPIERS                          |                                   | 1129 CARRIAGE LOOP                 |           |           | NEW BRAUNFELS       | TX    | 78132      |                | 10/8/2019  | \$24.04               | New hire candidate interview expense  |
| MAIDENHEAD MEDICAL                      |                                   | 21 BATTLEHEAD CLOSE                |           |           | MAIDENHEAD          |       | SL6 8LB    | Great Britain  | 8/15/2019  | \$10,323.80           | Outside US Liason with Distributors   |
| MAIDENHEAD MEDICAL                      |                                   | 21 BATTLEHEAD CLOSE                |           |           | MAIDENHEAD          |       | SL6 8LB    | Great Britain  | 9/26/2019  | \$12,500.00           | Outside US Liason with Distributors   |
| MAIDENHEAD MEDICAL                      |                                   | 21 BATTLEHEAD CLOSE                |           |           | MAIDENHEAD          |       | SL6 8LB    | Great Britain  | 10/3/2019  | \$12,566.02           | Outside US Liason with Distributors   |
| MAIDENHEAD MEDICAL LTD                  |                                   | 21 BATTLEHEAD CLOSE                |           |           | MAIDENHEAD          |       | SL6 8LB    | United Kingdom | 8/15/2019  | \$1,829.94            | Outside US Liason with Distributors   |
| MAIDENHEAD MEDICAL LTD                  |                                   | 21 BATTLEHEAD CLOSE                |           |           | MAIDENHEAD          |       | SL6 8LB    | United Kingdom | 9/5/2019   | \$555.69              | Outside US Liason with Distributors   |
| MAIDENHEAD MEDICAL LTD                  |                                   | 21 BATTLEHEAD CLOSE                |           |           | MAIDENHEAD          |       | SL6 8LB    | United Kingdom | 10/3/2019  | \$1,045.25            | Outside US Liason with Distributors   |
| MARK J WORST                            |                                   | 13634 HEATHER ST NW                |           |           | ANDOVER             | MN    | 55304      |                | 8/20/2019  | \$2,600.00            | R&D Services  |
| MARK J WORST                            |                                   | 13634 HEATHER ST NW                |           |           | ANDOVER             | MN    | 55304      |                | 9/11/2019  | \$2,697.50            | R&D Services  |
| MARK J WORST                            |                                   | 13634 HEATHER ST NW                |           |           | ANDOVER             | MN    | 55304      |                | 10/3/2019  | \$2,372.50            | R&D Services  |
| MARK J WORST                            |                                   | 13634 HEATHER ST NW                |           |           | ANDOVER             | MN    | 55304      |                | 10/10/2019 | \$1,170.00            | R&D Services  |
| MARK MALINOWSKI                         |                                   | 180 EAST KOSSUTH ST                |           |           | COLUMBUS            | OH    | 43206      |                | 10/30/2019 | \$800.00              | Vendor Services   |
| MARSH USA                               | Marsh USA Inc                     | 1600 West Seventh St               |           |           | FT Worth            | TX    | 76102      |                | 11/8/2019  | \$2,625,686.60        | Inventory Purchase / Lease payments   |
| MARTIN CALIBRATION                      |                                   | 11965 12TH AVE S                   |           |           | BURNSVILLE          | MN    | 55337      |                | 8/21/2019  | \$5,030.19            | R&D Services  |
| MARTIN CALIBRATION                      |                                   | 11965 12TH AVE S                   |           |           | BURNSVILLE          | MN    | 55337      |                | 10/15/2019 | \$3,544.65            | R&D Services  |
| MARTIN F QUIROGA DO                     |                                   | 62834 SOMERSET BLVD                |           |           | WASHINGTON TOWNSHIP | MI    | 48094      |                | 10/3/2019  | \$0.00                | Health Care Provider Services   |
| MAXIMUM MEDICAL SOLUTIONS MVP LLC       |                                   | 4129 LONG LEAF DRIVE               |           |           | MEMPHIS             | TN    | 38117      |                | 8/20/2019  | \$165.00              | Agent Commission  |
| MAXIMUM MEDICAL SOLUTIONS MVP LLC       |                                   | 4129 LONG LEAF DRIVE               |           |           | MEMPHIS             | TN    | 38117      |                | 9/24/2019  | \$3,474.00            | Agent Commission  |
| MAXIMUM MEDICAL SOLUTIONS MVP LLC       |                                   | 4129 LONG LEAF DRIVE               |           |           | MEMPHIS             | TN    | 38117      |                | 10/29/2019 | \$6,251.10            | Agent Commission  |
| MEDITECH COMMUNICATIONS INC             |                                   | 533 PHALEN BLVD                    |           |           | ST PAUL             | MN    | 55130      |                | 8/29/2019  | \$2,535.00            | Sales and marketing services  |
| MEDITECH COMMUNICATIONS INC             |                                   | 533 PHALEN BLVD                    |           |           | ST PAUL             | MN    | 55130      |                | 10/21/2019 | \$4,578.00            | Sales and marketing services  |
| MI Business Tax Payment                 |                                   | P.O. Box 78000                     |           |           | Detroit             | MI    | 48278-0172 |                | 8/21/2019  | \$3,346.56            | Tax Payment   |
| MI Business Tax Payment                 |                                   | P.O. Box 78000                     |           |           | Detroit             | MI    | 48278-0172 |                | 9/20/2019  | \$83.83               | Tax Payment   |
| MI Business Tax Payment                 |                                   | P.O. Box 78000                     |           |           | Detroit             | MI    | 48278-0172 |                | 10/21/2019 | \$284.24              | Tax Payment   |
| MICHAEL J EHLERT                        |                                   | 222 VALLEYVIEW PL                  |           |           | Minneapolis         | MN    | 55419      |                | 10/9/2019  | \$875.00              | Health Care Provider Services   |
| MIDWEST SPINE & PAIN CONSULTANTS        | JIMMY HENRY                       | 5051 FOREST DRIVE                  |           |           | NEW ALBANY          | OH    | 43054      |                | 9/23/2019  | \$2,625.00            | Health Care Provider Services   |
| MINNETRONIX                             |                                   | 1635 Energy Park Dr                |           |           | St Paul             | MN    | 55108      |                | 8/20/2019  | \$99,359.18           | Inventory Purchase  |
| MINNETRONIX                             |                                   | 1635 Energy Park Dr                |           |           | St Paul             | MN    | 55108      |                | 8/27/2019  | \$38,152.40           | Inventory Purchase  |
| MINNETRONIX                             |                                   | 1635 Energy Park Dr                |           |           | St Paul             | MN    | 55108      |                | 9/4/2019   | \$1,059,220.89        | Inventory Purchase  |
| MINNETRONIX                             |                                   | 1635 Energy Park Dr                |           |           | St Paul             | MN    | 55108      |                | 9/18/2019  | \$97,452.36           | Inventory Purchase  |
| MINNETRONIX                             |                                   | 1635 Energy Park Dr                |           |           | St Paul             | MN    | 55108      |                | 10/11/2019 | \$1,551,514.67        | Inventory Purchase  |
| MN Department of Revenue                |                                   | MAIL STATION 1275                  |           |           | ST PAUL             | MN    | 55145-1250 |                | 10/21/2019 | \$26,451.00           | Tax Payment   |
| MODERN ORTHOPAEDICS LLC                 | JOSEPH FIORAVANTE                 | 221 ERROGIE STREET                 |           |           | HENDERSON           | NV    | 89012      |                | 8/19/2019  | \$7,530.00            | Agent Commission  |
| MODERN ORTHOPAEDICS LLC                 | JOSEPH FIORAVANTE                 | 221 ERROGIE STREET                 |           |           | HENDERSON           | NV    | 89012      |                | 9/24/2019  | \$7,950.60            | Agent Commission  |
| MODERN ORTHOPAEDICS LLC                 | JOSEPH FIORAVANTE                 | 221 ERROGIE STREET                 |           |           | HENDERSON           | NV    | 89012      |                | 10/30/2019 | \$15,227.40           | Agent Commission  |
| MODUS ENGAGEMENT INC                    |                                   | 2829 UNIVERSITY AVENUE SE          | #800      |           | Minneapolis         | MN    | 55414      |                | 8/27/2019  | \$3,040.00            | Sales and marketing services  |
| MODUS ENGAGEMENT INC                    |                                   | 2829 UNIVERSITY AVENUE SE          | #800      |           | Minneapolis         | MN    | 55414      |                | 10/8/2019  | \$3,040.00            | Sales and marketing services  |
| MORGAN STANLEY                          | ATTN BNKG OPS STK OPTIONS         | 1300 THAMES STREET WHARF 4TH FLOOR |           |           | BALTIMORE           | MD    | 21231      |                | 9/5/2019   | \$6,250.00            | Stock administration service  |
| MRI SAFETY MODELING                     | JI CHEN                           | 4771 SWEETWATER BLVD #236          |           |           | SUGARLAND           | TX    | 77479      |                | 10/15/2019 | \$169,000.00          | Employee Health Insurance   |
| MRI DT INC                              | MRI DEVICE TESTING INC            | 19 SHETLAND CRESCENT               |           |           | LONDON              | ON    | N5X 3P6    | Canada         | 9/16/2019  | \$18,975.00           | Software  |
| MS DEPT OF REVENUE TAX PAYMENT          | Mississippi Department of Revenue | 500 Clinton Center Dr              |           |           | Clinton             | MS    | 39056      |                | 8/21/2019  | \$165.61              | Tax Payment   |
| MS DEPT OF REVENUE TAX PAYMENT          | Mississippi Department of Revenue | 500 Clinton Center Dr              |           |           | Clinton             | MS    | 39056      |                | 9/20/2019  | \$165.61              | Tax Payment   |
| MS DEPT OF REVENUE TAX PAYMENT          | Mississippi Department of Revenue | 500 Clinton Center Dr              |           |           | Clinton             | MS    | 39056      |                | 10/21/2019 | \$156.96              | Tax Payment   |



In re: Nuvector Corporation  
Case No. 19-43090  
SOFA 3 Attachment  
Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name                      | Notice name                       | Address 1                              | Address 2 | Address 3 | City          | State   | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|--------------------------------------|-----------------------------------|--|-----------|-----------|---------------|---------|------------|---------|------------|-----------------------|---|
| MS DEPT OF REVENUE TAX PAYMENT       | Mississippi Department of Revenue | 500 Clinton Center Dr                  |           |           | Clinton       | MS      | 39056      |         | 10/21/2019 | \$165.61              | Tax Payment   |
| MS DEPT OF REVENUE TAX PAYMENT       | Mississippi Department of Revenue | 500 Clinton Center Dr                  |           |           | Clinton       | MS      | 39056      |         | 10/29/2019 | \$156.96              | Tax Payment   |
| MUNSCH HARDT KOPF & HARR PC          |                                   | 500 N AKARD ST STE 3800                |           |           | DALLAS        | TX      | 75201-6659 |         | 8/29/2019  | \$5,545.09            | Legal Services  |
| MUNSCH HARDT KOPF & HARR PC          |                                   | 500 N AKARD ST STE 3800                |           |           | DALLAS        | TX      | 75201-6659 |         | 9/10/2019  | \$4,105.75            | Legal Services  |
| MUNSCH HARDT KOPF & HARR PC          |                                   | 500 N AKARD ST STE 3800                |           |           | DALLAS        | TX      | 75201-6659 |         | 10/29/2019 | \$4,455.00            | Legal Services  |
| NAGY MEKHAIL MD                      |                                   | 17350 HAWKSVIEW LN                     |           |           | CHAGRIN FALLS | OH      | 44023      |         | 9/17/2019  | \$1,575.00            | Health Care Provider Services   |
| NAMELY                               |                                   | DEPT CH 17603                          |           |           | PALATINE      | IL      | 60055-7603 |         | 8/20/2019  | \$26.78               | Payroll provider  |
| NAMELY                               |                                   | DEPT CH 17603                          |           |           | PALATINE      | IL      | 60055-7603 |         | 8/26/2019  | \$267.15              | Payroll provider  |
| NAMELY                               |                                   | DEPT CH 17603                          |           |           | PALATINE      | IL      | 60055-7603 |         | 9/6/2019   | \$6,162.25            | Payroll provider  |
| NAMELY                               |                                   | DEPT CH 17603                          |           |           | PALATINE      | IL      | 60055-7603 |         | 10/7/2019  | \$896.81              | Payroll provider  |
| NAMELY                               |                                   | DEPT CH 17603                          |           |           | PALATINE      | IL      | 60055-7603 |         | 10/23/2019 | \$267.15              | Payroll provider  |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 8/21/2019  | \$5,030.00            | Vendor Services   |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 8/27/2019  | \$1,785.00            | Vendor Services   |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 8/27/2019  | \$20,990.00           | Vendor Services   |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 9/5/2019   | \$555.00              | Vendor Services   |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 9/18/2019  | \$5,210.00            | Vendor Services   |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 10/9/2019  | \$58,680.61           | Vendor Services   |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 10/17/2019 | \$36,215.89           | Vendor Services   |
| NAMSA USA                            |                                   | PO BOX 710970                          |           |           | CINCINNATI    | OH      | 45271      |         | 10/23/2019 | \$150.00              | Vendor Services   |
| NATIONAL INSTRUMENTS CORPORATION     |                                   | PO BOX 202262                          |           |           | DALLAS        | TX      | 75320-2262 |         | 9/18/2019  | \$905.00              | Payroll provider  |
| NATIONAL INSTRUMENTS CORPORATION     |                                   | PO BOX 202262                          |           |           | DALLAS        | TX      | 75320-2262 |         | 10/8/2019  | \$141,236.00          | Broomfield, CO Lease  |
| NAVEX GLOBAL                         |                                   | PO BOX 60941                           |           |           | CHARLOTTE     | NC      | 28260-0941 |         | 9/13/2019  | \$7,995.00            | Vendor Services   |
| NEUROMUSCULAR MEDICAL ASSOC          |                                   | 2840 WEST AIRLINE HWY                  | STE A     |           | LAPLACE       | LA      | 70068      |         | 8/21/2019  | \$15,108.80           | Health Care Provider Services   |
| NEUROMUSCULAR MEDICAL ASSOC          |                                   | 2840 WEST AIRLINE HWY                  | STE A     |           | LAPLACE       | LA      | 70068      |         | 9/4/2019   | \$209.94              | Health Care Provider Services   |
| NEVADA ADVANCED PAIN SPECIALISTS     | DENIS PATTERSON                   | 5578 LONGLEY LANE                      |           |           | RENO          | NV      | 89511      |         | 10/30/2019 | \$799.00              | Health Care Provider Services   |
| NEVADA PAIN AND SPINE SPECIALISTS    |                                   | 605 SIERRA ROSE DR STE 4               |           |           | RENO          | NV      | 89511-2093 |         | 8/15/2019  | \$508.26              | Health Care Provider Services   |
| NEVADA TAX                           |                                   | 1550 COLLEGE PARKWAY STE 115           |           |           | CARSON CITY   | NV      | 89706      |         | 10/22/2019 | \$970.58              | Tax Payment   |
| NEW JERSEY DIVISION OF TAXATION      |                                   | PO BOX 666                             |           |           | TRENTON       | NJ      | 08646-0666 |         | 10/14/2019 | \$0.00                | Tax Payment   |
| NITEL INC                            |                                   | LOCKBOX DEPT 4929                      |           |           | CAROL STREAM  | IL      | 60122-4929 |         | 8/22/2019  | \$551.70              | IT Services   |
| NITEL INC                            |                                   | LOCKBOX DEPT 4929                      |           |           | CAROL STREAM  | IL      | 60122-4929 |         | 8/28/2019  | \$3,730.19            | IT Services   |
| NITEL INC                            |                                   | LOCKBOX DEPT 4929                      |           |           | CAROL STREAM  | IL      | 60122-4929 |         | 9/20/2019  | \$3,730.19            | IT Services   |
| NITEL INC                            |                                   | LOCKBOX DEPT 4929                      |           |           | CAROL STREAM  | IL      | 60122-4929 |         | 10/30/2019 | \$3,730.19            | IT Services   |
| NJ S&U WEB PMT NJWEB55               | Sales & Use Tax                   | P.O. Box 999                           |           |           | Trenton       | NJ      | 08646-0999 |         | 10/15/2019 | \$100.00              | Tax Payment   |
| NJ S&U WEB PMT NJWEB55               | Sales & Use Tax                   | P.O. Box 999                           |           |           | Trenton       | NJ      | 08646-0999 |         | 10/21/2019 | \$1,237.49            | Tax Payment   |
| NORTHWELL HEALTH ALLIANCE GPO        | ATTN SR DIRECTOR OF ANALYTICS     | 1979 MARCUS AVE STE E124               |           |           | LAKE SUCCESS  | NY      | 11042      |         | 11/4/2019  | \$432.96              | Group Purchasing Organization Admin Fee   |
| NORTHWEST SURGICAL SYSTEMS LLC       | ATTN NELS HAGE                    | 8995 SW MILEY RD STE 203               |           |           | WILSONVILLE   | OR      | 97070      |         | 9/16/2019  | \$5,540.40            | Agent Commission  |
| NORTHWEST SURGICAL SYSTEMS LLC       | ATTN NELS HAGE                    | 8995 SW MILEY RD STE 203               |           |           | WILSONVILLE   | OR      | 97070      |         | 10/10/2019 | \$5,899.32            | Agent Commission  |
| NORTHWEST SURGICAL SYSTEMS LLC       | ATTN NELS HAGE                    | 8995 SW MILEY RD STE 203               |           |           | WILSONVILLE   | OR      | 97070      |         | 10/24/2019 | \$0.00                | Agent Commission  |
| ODYSSEY INFORMATION SYSTEMS          |                                   | 5801 TENNYSON PKWY                     | SUITE 200 |           | Plano         | TX      | 75024      |         | 8/28/2019  | \$9,520.00            | R&D Services  |
| ODYSSEY INFORMATION SYSTEMS          |                                   | 5801 TENNYSON PKWY                     | SUITE 200 |           | Plano         | TX      | 75024      |         | 10/15/2019 | \$10,570.00           | R&D Services  |
| OHIO-TAXOCAT OH CAT RTN              | Ohio Department of Taxation       | 30 E Broad St #22                      |           |           | Columbus      | OH      | 43215      |         | 10/22/2019 | \$510.00              | Tax Payment   |
| OHIO-TAXOSUT OH SALES TX             | Ohio Department of Taxation       | 30 E Broad St #22                      |           |           | Columbus      | OH      | 43215      |         | 8/22/2019  | \$6,476.57            | Tax Payment   |
| OHIO-TAXOSUT OH SALES TX             | Ohio Department of Taxation       | 30 E Broad St #22                      |           |           | Columbus      | OH      | 43215      |         | 9/23/2019  | \$5,418.00            | Tax Payment   |
| OHIO-TAXOSUT OH SALES TX             | Ohio Department of Taxation       | 30 E Broad St #22                      |           |           | Columbus      | OH      | 43215      |         | 10/22/2019 | \$14.82               | Tax Payment   |
| OMT GMBH AND CO. KG (refund)         |                                   | DAIMTERSTR 25                          |           |           | Frittingen    | D-78665 |            | Germany | 10/3/2019  | \$2,716.85            | Customer Refund   |
| OPEN PIXEL STUDIOS LLC               | KATHRYN TACCONE                   | 1607 MAIN STREET STE B507              |           |           | SPRINGFIELD   | MA      | 01103      |         | 9/16/2019  | \$81.25               | Sales and marketing services  |
| ORTHOPEDIC & SPINAL TECHNOLOGIES INC | ATTN BRAD BOULDEN                 | 355 E AVANTE AVE                       |           |           | FRESNO        | CA      | 93720      |         | 11/6/2019  | \$1,322.70            | Health Care Provider Services   |
| OXFORD FINANCE                       | Garrett Henn                      | 4370 La Jolla Village Drive, Suite 850 |           |           | San Diego     | CA      | 92122      |         | 9/3/2019   | \$51,935.76           | Interest Payment  |
| OXFORD FINANCE                       | Garrett Henn                      | 4370 La Jolla Village Drive, Suite 850 |           |           | San Diego     | CA      | 92122      |         | 9/3/2019   | \$62,322.92           | Interest Payment  |
| OXFORD FINANCE                       | Garrett Henn                      | 4370 La Jolla Village Drive, Suite 850 |           |           | San Diego     | CA      | 92122      |         | 9/3/2019   | \$72,710.07           | Interest Payment  |
| OXFORD FINANCE                       | Garrett Henn                      | 4370 La Jolla Village Drive, Suite 850 |           |           | San Diego     | CA      | 92122      |         | 10/1/2019  | \$48,958.33           | Interest Payment  |
| OXFORD FINANCE                       | Garrett Henn                      | 4370 La Jolla Village Drive, Suite 850 |           |           | San Diego     | CA      | 92122      |         | 10/1/2019  | \$58,750.00           | Interest Payment  |
| OXFORD FINANCE                       | Garrett Henn                      | 4370 La Jolla Village Drive, Suite 850 |           |           | San Diego     | CA      | 92122      |         | 10/1/2019  | \$68,541.66           | Interest Payment  |

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Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name                                    | Notice name                | Address 1                              | Address 2   | Address 3 | City           | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|--|----------------------------|--|-------------|-----------|----------------|-------|------------|---------|------------|-----------------------|---|
| OXFORD FINANCE                                     | Garrett Henn               | 4370 La Jolla Village Drive, Suite 850 |             |           | San Diego      | CA    | 92122      |         | 11/1/2019  | \$49,244.79           | Interest Payment  |
| OXFORD FINANCE                                     | Garrett Henn               | 4370 La Jolla Village Drive, Suite 850 |             |           | San Diego      | CA    | 92122      |         | 11/1/2019  | \$59,093.75           | Interest Payment  |
| OXFORD FINANCE                                     | Garrett Henn               | 4370 La Jolla Village Drive, Suite 850 |             |           | San Diego      | CA    | 92122      |         | 11/1/2019  | \$68,942.71           | Interest Payment  |
| OXFORD FINANCE - PRINCIPAL PAYMENT                 | Garrett Henn               | 4370 La Jolla Village Drive, Suite 850 |             |           | San Diego      | CA    | 92122      |         | 11/8/2019  | \$17,500,000.00       | Secured Debt Repayment  |
| PAIN & SPINE INSTITUTE                             |                            | 744 Essington Rd                       |             |           | Joliet         | IL    | 60435-4912 |         | 9/30/2019  | \$912.18              | Customer Refund   |
| PAIN INSTITUTE OF SOUTHERN ARIZONA PC              |                            | 4881 E GRANT ROAD                      | SUITE 101   |           | TUSCON         | AZ    | 85712      |         | 10/30/2019 | \$3,888.56            | Health Care Provider Services   |
| PATRICK TOMPKINS                                   |                            | 3275 HIGH POINT DR                     |             |           | CHASKA         | MN    | 55318      |         | 9/9/2019   | \$104.81              | New hire candidate interview expense  |
| PAUL J HUBBELL                                     | SOUTHERN PAIN & ANESTHESIA | 3348 W ESPLANADE S STE A               |             |           | METAIRIE       | LA    | 70002      |         | 10/25/2019 | \$1,400.00            | Health Care Provider Services   |
| PAYDIP INC   | DIPAN PATEL MD             | 30 OAK RIDGE ROAD                      |             |           | PARSIPPANY     | NJ    | 07054      |         | 10/29/2019 | \$375.00              | Vendor Services   |
| PCM INC  |                            | FILE 55327                             |             |           | LOS ANGELES    | CA    | 90074-5327 |         | 8/19/2019  | \$937.36              | IT Services   |
| PCM INC  |                            | FILE 55327                             |             |           | LOS ANGELES    | CA    | 90074-5327 |         | 8/27/2019  | \$1,067.13            | IT Services   |
| PCM INC  |                            | FILE 55327                             |             |           | LOS ANGELES    | CA    | 90074-5327 |         | 9/4/2019   | \$952.17              | IT Services   |
| PCM INC  |                            | FILE 55327                             |             |           | LOS ANGELES    | CA    | 90074-5327 |         | 9/17/2019  | \$20,699.86           | IT Services   |
| PEAK MEDICAL DEVICES INC                           | DAVID SINENSE              | PO BOX 451                             |             |           | ELM GROVE      | WI    | 53122      |         | 9/25/2019  | \$7.43                | Health Care Provider Services   |
| PHASE 3 MARKETING & COMMUNICATIONS                 |                            | DEPT #7052                             | PO BOX 2153 |           | BIRMINGHAM     | AL    | 35287-7062 |         | 10/9/2019  | \$97.51               | Sales and marketing services  |
| PHILADELPHIA SURGI CENTER                          |                            | 9500 Roosevelt Blvd                    |             |           | Philadelphia   | PA    | 19115-3926 |         | 10/10/2019 | \$2,050.00            | Customer Refund   |
| PIPER JAFFRAY                                      | ATTN TREASURY JO9STR       | 800 NICOLLET AVE STE 1000              |             |           | Minneapolis    | MN    | 55402      |         | 10/3/2019  | \$25,000.00           | Investment Bank Fee   |
| PRAVEEN MAMBALAM                                   |                            | 1900 116th Ave NE #100                 |             |           | Bellevue       | WA    | 98005      |         | 11/8/2019  | \$800.00              | Health Care Provider Services   |
| PRECISION MEDICINE CRO LLC                         |                            | 1508 GENESEE STREET                    |             |           | UTICA          | NY    | 13502      |         | 8/30/2019  | \$1,050.00            | Health Care Provider Services   |
| PREFERRED SURGICENTER LLC                          |                            | 10 ORLAND SQUARE DRIVE                 | STE 10-C    |           | ORLAND PARK    | IL    | 60462      |         | 10/31/2019 | \$7,744.46            | Customer Refund   |
| PRESTIGE NATIONWIDE LLC                            |                            | 3257 SAND MARSH LANE                   |             |           | MOUNT PLEASANT | SC    | 29466      |         | 8/19/2019  | \$4,079.50            | Agent Commission  |
| PRESTIGE NATIONWIDE LLC                            |                            | 3257 SAND MARSH LANE                   |             |           | MOUNT PLEASANT | SC    | 29466      |         | 9/24/2019  | \$4,570.75            | Agent Commission  |
| PRESTIGE NATIONWIDE LLC                            |                            | 3257 SAND MARSH LANE                   |             |           | MOUNT PLEASANT | SC    | 29466      |         | 11/6/2019  | \$3,554.40            | Agent Commission  |
| PROMED MOLDED PRODUCTS                             |                            | 15600 MEDINA ROAD                      |             |           | PLYMOUTH       | MN    | 55447      |         | 9/11/2019  | \$63,500.00           | Vendor Services   |
| QC GROUP LLC                                       |                            | 5950 CLEARWATER DR                     | SUITE 300   |           | MINNETONKA     | MN    | 55343      |         | 8/27/2019  | \$1,920.00            | R&D Services  |
| QUALITY CIRCUITS INC                               |                            | 1102 PROGRESS DR                       |             |           | FERGUS FALLS   | MN    | 56537      |         | 10/30/2019 | \$5,000.00            | R&D Services  |
| QUALITY CIRCUITS INC                               |                            | 1102 PROGRESS DR                       |             |           | FERGUS FALLS   | MN    | 56537      |         | 10/31/2019 | \$12,005.00           | R&D Services  |
| QUINISK ENTERPRISES LLC                            |                            | 486 WILLOW DR                          |             |           | PITTSBURGH     | PA    | 15243      |         | 10/31/2019 | \$7,794.00            | Agent Commission  |
| RDG FILINGS  |                            | 816 ROANOKE BLVD                       |             |           | SALEM          | VA    | 24153      |         | 11/12/2019 | \$0.00                | Finance Services  |
| REED SMITH LLP                                     |                            | PO BOX 844487                          |             |           | DALLAS         | TX    | 75284-4487 |         | 8/19/2019  | \$1,780.00            | Legal Services  |
| REED SMITH LLP                                     |                            | PO BOX 844487                          |             |           | DALLAS         | TX    | 75284-4487 |         | 10/7/2019  | \$3,010.00            | Legal Services  |
| REGULATORY & CLINICAL RESEARCH INSTITUTE INC       |                            | 5353 WAYZATA BLVD STE 505              |             |           | Minneapolis    | MN    | 55416-1334 |         | 9/10/2019  | \$3,000.00            | R&D Services  |
| REGULATORY AND QUALITY SOLUTIONS LLC               |                            | 2790 MOSSIDE BLVD STE 800              |             |           | MONROEVILLE    | PA    | 15146      |         | 10/8/2019  | \$12,225.95           | R&D Services  |
| REGULATORY AND QUALITY SOLUTIONS LLC               |                            | 2790 MOSSIDE BLVD STE 800              |             |           | MONROEVILLE    | PA    | 15146      |         | 10/30/2019 | \$1,625.00            | R&D Services  |
| RESOLUTION MEDICAL SOLUTIONS INC                   | ATTN JOE STOCKMAN          | 73 ACADEMY RD                          |             |           | BALA CYNWYD    | PA    | 19004      |         | 8/20/2019  | \$32,181.15           | Agent Commission  |
| RESOLUTION MEDICAL SOLUTIONS INC                   | ATTN JOE STOCKMAN          | 73 ACADEMY RD                          |             |           | BALA CYNWYD    | PA    | 19004      |         | 9/25/2019  | \$25,871.50           | Agent Commission  |
| RESOLUTION MEDICAL SOLUTIONS INC                   | ATTN JOE STOCKMAN          | 73 ACADEMY RD                          |             |           | BALA CYNWYD    | PA    | 19004      |         | 10/30/2019 | \$62,154.48           | Utilities   |
| RESOURCE OPTIMIZATION & INNOVATION LLC             |                            | PO BOX 504280                          |             |           | ST LOUIS       | MO    | 63150-4280 |         | 8/28/2019  | \$585.63              | Admin Fee   |
| RESOURCE OPTIMIZATION & INNOVATION LLC             |                            | PO BOX 504280                          |             |           | ST LOUIS       | MO    | 63150-4280 |         | 9/16/2019  | \$585.63              | Admin Fee   |
| RESOURCE OPTIMIZATION & INNOVATION LLC             |                            | PO BOX 504280                          |             |           | ST LOUIS       | MO    | 63150-4280 |         | 10/15/2019 | \$585.63              | Admin Fee   |
| RHODA OJWANG                                       |                            | 1475 GULL COVE #316                    |             |           | SAN DIEGO      | CA    | 92154      |         | 8/19/2019  | \$1,045.25            | Health Care Provider Services   |
| RICHARD B NORTH MD LLC                             |                            | 1100 COPPER HILL RD                    |             |           | Baltimore      | MD    | 21209      |         | 10/23/2019 | \$28,543.10           | Royalty Fee   |
| RICHARD PAICIUS MD                                 |                            | 3601 SEABREEZE LANE                    |             |           | CORONA DEL MAR | CA    | 92625      |         | 8/30/2019  | \$4,200.00            | Health Care Provider Services   |
| RINGCENTRAL INC                                    |                            | DEPT CH 19585                          |             |           | PALATINE       | IL    | 60055-9585 |         | 9/5/2019   | \$8,438.63            | Utilities   |
| RINGCENTRAL INC                                    |                            | DEPT CH 19585                          |             |           | PALATINE       | IL    | 60055-9585 |         | 10/11/2019 | \$8,447.66            | Utilities   |
| RIVER CITIES INTERVENTIONAL PAIN SPECIALISTS       | ATTN CLINICAL RESEARCH     | 8731 PARK PLAZA DR                     |             |           | SHREVEPORT     | LA    | 71105      |         | 8/28/2019  | \$3,200.00            | Health Care Provider Services   |
| RIVERBEND MEDICAL LLC                              | DANIEL UFFENS              | 4432 E 318 N                           |             |           | RIGBY          | ID    | 83442      |         | 8/21/2019  | \$320.00              | Training  |
| ROBERT B BOLASH MD                                 |                            | 11471 EUCLID AVE #211                  |             |           | CLEVELAND      | OH    | 44106      |         | 10/24/2019 | \$525.00              | Health Care Provider Services   |
| RSM US LLP   |                            | 5155 PAYSPPHERE CIRCLE                 |             |           | Chicago        | IL    | 60674      |         | 9/3/2019   | \$41,800.70           | Finance Services  |
| RSM US LLP   |                            | 5155 PAYSPPHERE CIRCLE                 |             |           | Chicago        | IL    | 60674      |         | 10/15/2019 | \$10,500.00           | Finance Services  |
| RSM US LLP   |                            | 5155 PAYSPPHERE CIRCLE                 |             |           | Chicago        | IL    | 60674      |         | 10/22/2019 | \$30,500.00           | Finance Services  |
| RSM US LLP   |                            | 5155 PAYSPPHERE CIRCLE                 |             |           | Chicago        | IL    | 60674      |         | 10/28/2019 | \$10,750.00           | Finance Services  |
| RSM US LLP   |                            | 5155 PAYSPPHERE CIRCLE                 |             |           | Chicago        | IL    | 60674      |         | 11/8/2019  | \$118,436.50          | Courier   |
| SC DEPT REVENUE                                    |                            | SCDOR, Corporation                     |             |           | Columbia       | SC    | 29214-0006 |         | 9/20/2019  | \$1,603.28            | Tax Payment   |
| SC DEPT REVENUE DEBIT 6060615 NUVECTRA CORPORATION |                            | SCDOR, Corporation                     |             |           | Columbia       | SC    | 29214-0006 |         | 10/21/2019 | \$387.37              | Tax Payment   |
| SCENIC GROUNDS MAINTENANCE LLC                     |                            | 8700 Xylite St NE                      |             |           | Blaine         | MN    | 55449      |         | 9/10/2019  | \$70.00               | Utilities   |

In re: Nuvectra Corporation  
Case No. 19-43090  
SOFA 3 Attachment  
Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name  | Notice name          | Address 1                               | Address 2 | Address 3 | City         | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|--|----------------------|---|-----------|-----------|--------------|-------|------------|---------|------------|-----------------------|---|
| SCENIC GROUNDS MAINTENANCE LLC                             |                      | 8700 Xylite St NE                       |           |           | Blaine       | MN    | 55449      |         | 9/25/2019  | \$551.70              | Landscaping Services  |
| SCENIC GROUNDS MAINTENANCE LLC                             |                      | 8700 Xylite St NE                       |           |           | Blaine       | MN    | 55449      |         | 10/15/2019 | \$70.00               | Utilities   |
| SCENIC GROUNDS MAINTENANCE LLC                             |                      | 8700 Xylite St NE                       |           |           | Blaine       | MN    | 55449      |         | 10/24/2019 | \$0.00                | Landscaping Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 9/26/2019  | \$3,600.00            | R&D Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 9/26/2019  | \$8,685.00            | R&D Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 10/15/2019 | \$3,600.00            | R&D Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 10/15/2019 | \$3,636.00            | R&D Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 10/21/2019 | \$4,005.00            | R&D Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 10/24/2019 | \$3,735.00            | R&D Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 10/31/2019 | \$0.00                | R&D Services  |
| SCOTT LAMBERT  |                      | 19477 ROCHESTER ST NE                   |           |           | EAST BETHEL  | MN    | 55011      |         | 10/31/2019 | \$3,645.00            | R&D Services  |
| SHEFFIELD KENT   |                      | 42 SOUTHSHORE LN                        |           |           | CONWAY       | AR    | 72032      |         | 11/6/2019  | \$525.00              | Health Care Provider Services   |
| SHERRIE SPIELMAKER   |                      | 4220 DRISCOLL                           |           |           | THE COLONY   | TX    | 75056      |         | 8/19/2019  | \$985.50              | Sales Operations Contractor   |
| SHERRIE SPIELMAKER   |                      | 4220 DRISCOLL                           |           |           | THE COLONY   | TX    | 75056      |         | 8/27/2019  | \$1,403.00            | Sales Operations Contractor   |
| SHERRIE SPIELMAKER   |                      | 4220 DRISCOLL                           |           |           | THE COLONY   | TX    | 75056      |         | 9/6/2019   | \$1,081.00            | Sales Operations Contractor   |
| SHORETECH MEDICAL LLC                                      |                      | 2307 FENTON PKWY #107-228               |           |           | SAN DIEGO    | CA    | 92108      |         | 8/22/2019  | \$4,051.15            | Agent Commission  |
| SHORETECH MEDICAL LLC                                      |                      | 2307 FENTON PKWY #107-228               |           |           | SAN DIEGO    | CA    | 92108      |         | 8/28/2019  | \$7,920.54            | Agent Commission  |
| SHORETECH MEDICAL LLC                                      |                      | 2307 FENTON PKWY #107-228               |           |           | SAN DIEGO    | CA    | 92108      |         | 11/1/2019  | \$4,051.08            | Agent Commission  |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 9/3/2019   | \$20,774.31           | Interest Payment  |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 9/3/2019   | \$51,935.76           | Interest Payment  |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 9/3/2019   | \$114,258.68          | Lender Interest Payment   |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 10/1/2019  | \$19,583.33           | Interest Payment  |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 10/1/2019  | \$48,958.34           | Interest Payment  |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 10/1/2019  | \$107,708.34          | Lender Interest Payment   |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 11/1/2019  | \$19,697.92           | Interest Payment  |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 11/1/2019  | \$49,244.79           | Interest Payment  |
| SILICON VALLEY BANK  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 11/1/2019  | \$108,338.54          | Lender Interest Payment   |
| SILICON VALLEY BANK - CREDIT CARD PAYMENT                  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 8/22/2019  | \$97,998.64           | Credit Card Payment   |
| SILICON VALLEY BANK - CREDIT CARD PAYMENT                  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 9/25/2019  | \$61,501.19           | Credit Card Payment   |
| SILICON VALLEY BANK - CREDIT CARD PAYMENT                  | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 10/24/2019 | \$49,387.85           | Credit Card Payment   |
| SILICON VALLEY BANK - CREDIT CARD PAYMENT - CHARLES HARVEY | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 9/10/2019  | \$4,408.89            | Credit Card Payment   |
| SILICON VALLEY BANK - BANK SERVICES                        | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 8/26/2019  | \$1,435.67            | Bank fee  |
| SILICON VALLEY BANK - BANK SERVICES                        | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 9/26/2019  | \$1,147.96            | Bank fee  |
| SILICON VALLEY BANK - BANK SERVICES                        | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 10/28/2019 | \$1,145.79            | Bank fee  |
| SILICON VALLEY BANK - PRINCIPAL PAYMENT                    | Attn Anthony Flores  | 4370 La Jolla Village Drive, Suite 1050 |           |           | San Diego    | CA    | 92122      |         | 11/8/2019  | \$17,500,000.00       | Secured Debt Repayment  |
| SOURCE SURGERY CENTER LLC                                  |                      | 2801 WILSHIRE BLVD STE B                |           |           | SANTA MONICA | CA    | 90403      |         | 10/25/2019 | \$4,500.00            | Sales and marketing services  |
| SOUTH FLORIDA CLINICAL RESEARCH LLC                        |                      | 7000 SW 62 AVE STE 590                  |           |           | SOUTH MIAMI  | FL    | 33143      |         | 9/26/2019  | \$925.00              | Health Care Provider Services   |
| SOUTH FLORIDA CLINICAL RESEARCH LLC                        |                      | 7000 SW 62 AVE STE 590                  |           |           | SOUTH MIAMI  | FL    | 33143      |         | 10/30/2019 | \$50.00               | Health Care Provider Services   |
| SPECIALTY LAB INC  |                      | 1454 WEST COUNTY ROAD C                 |           |           | ROSEVILLE    | MN    | 55113      |         | 8/16/2019  | \$615.00              | R&D Services  |
| SPECIALTY LAB INC  |                      | 1454 WEST COUNTY ROAD C                 |           |           | ROSEVILLE    | MN    | 55113      |         | 9/9/2019   | \$885.00              | R&D Services  |
| SPINE STABILITY SURGICAL LLC                               | ATTN BAINE SUDBECK   | 1640 LELIA DRIVE STE 160                |           |           | JACKSON      | MS    | 39216      |         | 10/2/2019  | \$978.70              | Training  |
| SPINE STABILITY SURGICAL LLC                               | ATTN BAINE SUDBECK   | 1640 LELIA DRIVE STE 160                |           |           | JACKSON      | MS    | 39216      |         | 10/24/2019 | \$0.00                | Training  |
| STATE COMPTRLR TEXAS NET                                   | PUBLIC ACCOUNTS      | PO BOX 149348                           |           |           | AUSTIN       | TX    | 78714-9348 |         | 8/20/2019  | \$5,919.99            | Tax Payment   |
| STATE COMPTRLR TEXAS NET                                   | PUBLIC ACCOUNTS      | PO BOX 149348                           |           |           | AUSTIN       | TX    | 78714-9348 |         | 9/20/2019  | \$8,789.60            | Tax Payment   |
| STATE COMPTRLR TEXAS NET                                   | PUBLIC ACCOUNTS      | PO BOX 149348                           |           |           | AUSTIN       | TX    | 78714-9348 |         | 10/21/2019 | \$8,404.77            | Tax Payment   |
| STATE OF IDAHO DEBIT TAX COMMISSION                        |                      | PO BOX 83784                            |           |           | BOISE        | ID    | 83707-3784 |         | 10/21/2019 | \$0.12                | Tax Payment   |
| STATE OF LOUISIA EPOS PYMNTS REVENUE                       |                      | PO BOX 91011                            |           |           | BATON ROUGE  | LA    | 70821-9011 |         | 8/21/2019  | \$3,979.00            | Tax Payment   |
| STATE OF LOUISIA EPOS PYMNTS REVENUE                       |                      | PO BOX 91011                            |           |           | BATON ROUGE  | LA    | 70821-9011 |         | 9/23/2019  | \$1,082.00            | Tax Payment   |
| STATE OF LOUISIA EPOS PYMNTS REVENUE                       |                      | PO BOX 91011                            |           |           | BATON ROUGE  | LA    | 70821-9011 |         | 10/22/2019 | \$344.00              | Tax Payment   |
| STATE OF RI TAX PMT  | Division of Taxation | One Capitol Hill                        |           |           | Providence   | RI    | 02908-5800 |         | 10/22/2019 | \$1,669.45            | Tax Payment   |
| STERIS/ISOMEDIX  |                      | 380 90TH AVE NW                         |           |           | Minneapolis  | MN    | 55433      |         | 8/23/2019  | \$6,106.80            | R&D Services  |
| STEVEN SIEGEL CONSULTING LLC                               |                      | 17 NORTH OAKS ROAD                      |           |           | NORTH OAKS   | MN    | 55127      |         | 10/15/2019 | \$1,350.00            | Health Care Provider Services   |

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|---|--|-------------------------------|----------------------|-----------|----------------|-------|------------|---------|------------|-----------------------|---|
| STEVEN SIEGEL CONSULTING LLC                |  | 17 NORTH OAKS ROAD            |                      |           | NORTH OAKS     | MN    | 55127      |         | 10/24/2019 | \$225.00              | Health Care Provider Services   |
| STEWART PRINTING AND ADVERTISING INC        |  | 10775 SANDEN DR               |                      |           | DALLAS         | TX    | 75238      |         | 9/9/2019   | \$151.55              | Vendor Services   |
| STEWART PRINTING AND ADVERTISING INC        |  | 10775 SANDEN DR               |                      |           | DALLAS         | TX    | 75238      |         | 10/10/2019 | \$2,397.35            | Sales and marketing services  |
| STEWART PRINTING AND ADVERTISING INC        |  | 10775 SANDEN DR               |                      |           | DALLAS         | TX    | 75238      |         | 10/24/2019 | \$0.00                | Sales and marketing services  |
| STEWART C SMITH MD                          |  | 4701 FLINT RIDGE CIRCLE       |                      |           | NORMAN         | OK    | 73072      |         | 8/19/2019  | \$5,250.00            | Sales and marketing services  |
| STEWART C SMITH MD                          |  | 4701 FLINT RIDGE CIRCLE       |                      |           | NORMAN         | OK    | 73072      |         | 8/27/2019  | \$2,000.00            | Sales and marketing services  |
| STEWART C SMITH MD                          |  | 4701 FLINT RIDGE CIRCLE       |                      |           | NORMAN         | OK    | 73072      |         | 10/25/2019 | \$4,000.00            | Sales and marketing services  |
| SURGCENTER CAMELBACK LLC                    |  | 6245 N 24TH PKWY STE 112      |                      |           | PHOENIX        | AZ    | 85016      |         | 10/3/2019  | \$0.00                | Health Care Provider Services   |
| SUSAN MECHACHE                              |  | 7101 88TH AVE N               |                      |           | BROOKLYN PARK  | MN    | 55445      |         | 10/23/2019 | \$46,447.86           | R&D Services  |
| TAX PAYMENTS OK TAX PMT                     | INCOME TAX                                 | PO BOX 26890                  |                      |           | Oklahoma City  | OK    | 73126-0890 |         | 8/22/2019  | \$20,866.57           | Tax Payment   |
| TAX PAYMENTS OK TAX PMT                     | INCOME TAX                                 | PO BOX 26890                  |                      |           | Oklahoma City  | OK    | 73126-0890 |         | 9/23/2019  | \$2,243.28            | Tax Payment   |
| TAX PAYMENTS OK TAX PMT                     | INCOME TAX                                 | PO BOX 26890                  |                      |           | Oklahoma City  | OK    | 73126-0890 |         | 10/22/2019 | \$131.02              | Tax Payment   |
| TAX PAYMENTS OK TAX PMT                     | INCOME TAX                                 | PO BOX 26890                  |                      |           | Oklahoma City  | OK    | 73126-0890 |         | 10/22/2019 | \$1,311.64            | Tax Payment   |
| TECH CONSULTING LLC                         | ERIK PRESTON                               | 9784 IRONSTONE PL             |                      |           | PARKER         | CO    | 80134      |         | 8/20/2019  | \$146.25              | Vendor Services   |
| TECHNICAL SERVICES FOR ELECTRONICS INC      |  | BOX 681080                    |                      |           | Chicago        | IL    | 60695      |         | 9/19/2019  | \$408.00              | R&D Services  |
| TECHNICAL SERVICES FOR ELECTRONICS INC      |  | BOX 681080                    |                      |           | Chicago        | IL    | 60695      |         | 10/9/2019  | \$2,992.00            | R&D Services  |
| TECHNICAL SERVICES FOR ELECTRONICS INC      |  | BOX 681080                    |                      |           | Chicago        | IL    | 60695      |         | 10/24/2019 | \$280.00              | R&D Services  |
| TECHNICAL SERVICES FOR ELECTRONICS INC      |  | BOX 681080                    |                      |           | Chicago        | IL    | 60695      |         | 10/30/2019 | \$2,500.00            | R&D Services  |
| TENNESSEE DEPT OF REVENUE                   |  | ANDREW JACKSON STATE OFF BLDG | 500 DEADERICK STREET |           | NASHVILLE      | TN    | 37242      |         | 10/16/2019 | \$295.00              | Tax Payment   |
| TEXAS COMPTROLLER OF PUBLIC ACCOUNTS        |  | PO BOX 149348                 |                      |           | AUSTIN         | TX    | 78714-9348 |         | 8/21/2019  | \$6,000.00            | Tax Payment   |
| TEXAS HEALTH PRESBYTERIAN HOSPITAL ROCKWALL |  | 612 LAMAR BLVD STE 600        |                      |           | ARLINGTON      | TX    | 76011      |         | 10/11/2019 | \$1,815.00            | Customer Refund   |
| TEXAS PAIN SOCIETY                          |  | PO BOX 201363                 |                      |           | AUSTIN         | TX    | 78720      |         | 9/30/2019  | \$3,000.00            | Conference  |
| TEXTRONIX LLC                               | DENNIS BENSON                              | 1701 W NW HWY STE 100         |                      |           | GRAPEVINE      | TX    | 76051      |         | 8/26/2019  | \$27,164.86           | Inventory Purchase  |
| TEXTRONIX LLC                               | DENNIS BENSON                              | 1701 W NW HWY STE 100         |                      |           | GRAPEVINE      | TX    | 76051      |         | 9/24/2019  | \$26,063.95           | Inventory Purchase  |
| TEXTRONIX LLC                               | DENNIS BENSON                              | 1701 W NW HWY STE 100         |                      |           | GRAPEVINE      | TX    | 76051      |         | 10/31/2019 | \$0.00                | R&D Services  |
| THE CLEVELAND CLINIC EDUCATIONAL FOUNDATION |  | PO BOX 931653                 |                      |           | CLEVELAND      | OH    | 44193-1082 |         | 9/5/2019   | \$12,500.00           | Health Care Provider Services   |
| THE CLEVELAND CLINIC EDUCATIONAL FOUNDATION |  | PO BOX 931653                 |                      |           | CLEVELAND      | OH    | 44193-1082 |         | 10/8/2019  | \$1,300.00            | Health Care Provider Services   |
| THE RUTH GROUP                              |  | 757 THIRD AVE                 |                      |           | NEW YORK       | NY    | 10017      |         | 8/20/2019  | \$14,000.00           | Investor Relations Services   |
| THE RUTH GROUP                              |  | 757 THIRD AVE                 |                      |           | NEW YORK       | NY    | 10017      |         | 9/13/2019  | \$14,000.00           | Investor Relations Services   |
| THE RUTH GROUP                              |  | 757 THIRD AVE                 |                      |           | NEW YORK       | NY    | 10017      |         | 10/23/2019 | \$14,000.00           | Investor Relations Services   |
| THE VANGUARD GROUP INC                      | C/O ASCENSUS                               | PO BOX 28067                  |                      |           | NEW YORK       | NY    | 10087      |         | 8/20/2019  | \$5,014.51            | 401K Plan   |
| THOMAS BRIGAITIS                            |  | 473 SOUTH MAIN STREET         |                      |           | WOONSOCKET     | RI    | 02895      |         | 8/21/2019  | \$3,038.75            | R&D Services  |
| THOMAS BRIGAITIS                            |  | 473 SOUTH MAIN STREET         |                      |           | WOONSOCKET     | RI    | 02895      |         | 8/28/2019  | \$4,042.05            | R&D Services  |
| THOMAS BRIGAITIS                            |  | 473 SOUTH MAIN STREET         |                      |           | WOONSOCKET     | RI    | 02895      |         | 9/18/2019  | \$5,758.85            | R&D Services  |
| THOMAS BRIGAITIS                            |  | 473 SOUTH MAIN STREET         |                      |           | WOONSOCKET     | RI    | 02895      |         | 10/10/2019 | \$5,036.25            | R&D Services  |
| THOMAS BRIGAITIS                            |  | 473 SOUTH MAIN STREET         |                      |           | WOONSOCKET     | RI    | 02895      |         | 10/23/2019 | \$2,890.00            | R&D Services  |
| THOMAS BRIGAITIS                            |  | 473 SOUTH MAIN STREET         |                      |           | WOONSOCKET     | RI    | 02895      |         | 10/31/2019 | \$0.00                | R&D Services  |
| THOMASIN PINTO                              |  | 2120 WOODHAVEN DR             |                      |           | LITTLE ELM     | TX    | 75068      |         | 9/3/2019   | \$1,092.00            | HR Services   |
| THR/STT ROCKWALL ASC LLC                    | TEXAS HEALTH SPINE SURGERY CENTER ROCKWALL | 3142 HORIZON ROAD STE 100     |                      |           | ROCKWALL       | TX    | 75032      |         | 10/4/2019  | \$3,000.00            | Health Care Provider Services   |
| TN STATE REVENUE TN TAP                     |  | ANDREW JACKSON STATE OFF BLDG | 500 DEADERICK STREET |           | NASHVILLE      | TN    | 37242      |         | 8/22/2019  | \$9.00                | Tax Payment   |
| TN STATE REVENUE TN TAP                     |  | ANDREW JACKSON STATE OFF BLDG | 500 DEADERICK STREET |           | NASHVILLE      | TN    | 37242      |         | 9/23/2019  | \$196.00              | Tax Payment   |
| TN STATE REVENUE TN TAP                     |  | ANDREW JACKSON STATE OFF BLDG | 500 DEADERICK STREET |           | NASHVILLE      | TN    | 37242      |         | 10/16/2019 | \$0.00                | Tax Payment   |
| TN STATE REVENUE TN TAP                     |  | ANDREW JACKSON STATE OFF BLDG | 500 DEADERICK STREET |           | NASHVILLE      | TN    | 37242      |         | 10/22/2019 | \$474.00              | Tax Payment   |
| TOM FISHER DESIGN                           |  | 15113 OAKLAND AVE             |                      |           | BURNSVILLE     | MN    | 55306      |         | 8/28/2019  | \$2,175.00            | Sales and marketing services  |
| TOM FISHER DESIGN                           |  | 15113 OAKLAND AVE             |                      |           | BURNSVILLE     | MN    | 55306      |         | 10/10/2019 | \$2,700.00            | Sales and marketing services  |
| TRACY CAMERON                               |  | 7 OAKVIEW AVENUE              |                      |           | TORONTO        | ON    | M6P 3J3    | Canada  | 10/21/2019 | \$11,200.00           | R&D Services  |
| TRACY CAMERON                               |  | 7 OAKVIEW AVENUE              |                      |           | TORONTO        | ON    | M6P 3J3    | Canada  | 10/30/2019 | \$9,600.00            | R&D Services  |
| TRINITAS HOSPITAL                           |  | 225 Williamson St             |                      |           | Elizabeth      | NJ    | 07202      |         | 8/26/2019  | \$1,907.60            | Customer Refund   |
| TTI INC                                     |  | PO DRAWER 99111               |                      |           | FORT WORTH     | TX    | 76199-0111 |         | 8/19/2019  | \$47,153.70           | R&D Services  |
| TUV SUD AMERICA INC                         |  | PO BOX 536415                 |                      |           | PITTSBURGH     | PA    | 15253-5906 |         | 8/27/2019  | \$2,400.00            | Notified Body Outside US  |
| ULINE                                       | ACCOUNTS RECEIVABLE                        | PO BOX 88741                  |                      |           | Chicago        | IL    | 60680-1741 |         | 8/21/2019  | \$145.86              | Shipping/freight  |
| ULINE                                       | ACCOUNTS RECEIVABLE                        | PO BOX 88741                  |                      |           | Chicago        | IL    | 60680-1741 |         | 10/16/2019 | \$179.61              | Shipping/freight  |
| UNITED TISSUE NETWORK                       |  | 3620 E WIER AVE               |                      |           | PHOENIX        | AZ    | 85040      |         | 10/24/2019 | \$7,314.00            | Cadaver   |
| UNIVERSITY OF TEXAS AT DALLAS               |  | 800 W CAMPBELL RD AD37        |                      |           | RICHARDSON     | TX    | 75080-3021 |         | 8/19/2019  | \$4,600.00            | R&D Services  |
| US BANK EQUIPMENT FINANCE                   | DUSTY VLAMINCK                             | PO BOX 790448                 |                      |           | ST LOUIS       | MO    | 63179-0448 |         | 8/21/2019  | \$288.17              | IT Services   |
| US BANK EQUIPMENT FINANCE                   | DUSTY VLAMINCK                             | PO BOX 790448                 |                      |           | ST LOUIS       | MO    | 63179-0448 |         | 9/26/2019  | \$288.17              | IT Services   |
| US BANK EQUIPMENT FINANCE                   | DUSTY VLAMINCK                             | PO BOX 790448                 |                      |           | ST LOUIS       | MO    | 63179-0448 |         | 10/28/2019 | \$288.17              | IT Services   |
| UTAH 801/297-7703 TAX PAYMNT                |  | 210 N 1950 W                  |                      |           | SALT LAKE CITY | UT    | 84134-0180 |         | 10/21/2019 | \$604.65              | Tax Payment   |
| VANGUARD CLEANING SYSTEMS OF MINNESOTA      |  | 3459 WASHINGTON DR STE 109    |                      |           | EAGAN          | MN    | 55122      |         | 8/27/2019  | \$1,699.01            | Vendor Services   |

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SOFA 3 Attachment  
Certain payments or transfers to creditors within 90 days before filing this case

| Creditor's name                               | Notice name                         | Address 1                   | Address 2     | Address 3 | City         | State | Zip        | Country | Date       | Total amount or value | Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other) |
|---|-------------------------------------|-----------------------------|---------------|-----------|--------------|-------|------------|---------|------------|-----------------------|---|
| VANGUARD CLEANING SYSTEMS OF MINNESOTA        |                                     | 3459 WASHINGTON DR STE 109  |               |           | EAGAN        | MN    | 55122      |         | 10/9/2019  | \$1,699.01            | Vendor Services   |
| VANGUARD CLEANING SYSTEMS OF MINNESOTA        |                                     | 3459 WASHINGTON DR STE 109  |               |           | EAGAN        | MN    | 55122      |         | 10/29/2019 | \$342.59              | Facility service  |
| VERIZON WIRELESS                              |                                     | 1095 Avenue of the Americas |               |           | New York     | NY    | 10036      |         | 8/27/2019  | \$10,767.21           | Utilities   |
| VERIZON WIRELESS                              |                                     | 1095 Avenue of the Americas |               |           | New York     | NY    | 10036      |         | 9/24/2019  | \$10,342.80           | Utilities   |
| VERIZON WIRELESS                              |                                     | 1095 Avenue of the Americas |               |           | New York     | NY    | 10036      |         | 10/29/2019 | \$10,188.30           | Utilities   |
| VERO BEACH FLORIDA ASC LLC                    |                                     | 845 37TH PLACE              |               |           | VERO BEACH   | FL    | 32960-6564 |         | 10/8/2019  | \$635.11              | Customer Refund   |
| WA DEPT REVENUE TAX PYMT                      |                                     | P.O. Box 47464              |               |           | Olympia      | WA    | 98504-7464 |         | 8/22/2019  | \$0.09                | Tax Payment   |
| WA DEPT REVENUE TAX PYMT                      |                                     | P.O. Box 47464              |               |           | Olympia      | WA    | 98504-7464 |         | 9/23/2019  | \$0.17                | Tax Payment   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 8/20/2019  | \$2,804.05            | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 8/23/2019  | \$233.75              | Employee Benefits   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 8/27/2019  | \$49.20               | Employee Benefits   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 8/27/2019  | \$347.39              | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 9/4/2019   | \$1,807.10            | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 9/10/2019  | \$1,254.20            | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 9/17/2019  | \$50.97               | Employee Benefits   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 9/17/2019  | \$2,059.52            | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 9/23/2019  | \$238.00              | Employee Benefits   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 9/24/2019  | \$1,701.55            | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 10/1/2019  | \$1,100.80            | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 10/8/2019  | \$2,186.83            | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 10/16/2019 | \$49.20               | Employee Benefits   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 10/16/2019 | \$199.73              | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 10/22/2019 | \$454.86              | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 10/25/2019 | \$242.25              | Employee Benefits   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 10/29/2019 | \$142.52              | Employee Benefits - FSA   |
| WAGEWORKS                                     |                                     | PO BOX 8363                 |               |           | Pasadena     | CA    | 91109      |         | 11/5/2019  | \$1,439.74            | Employee Benefits - FSA   |
| WALTER REED NATIONAL MILITARY MEDICAL CENTER  |                                     | 8901 WISCONSIN AVE          |               |           | BETHESDA     | MD    | 20889      |         | 10/11/2019 | \$1,100.00            | Customer Refund   |
| WASTE MANAGEMENT OF WI-MN                     |                                     | PO BOX 4648                 |               |           | CAROL STREAM | IL    | 60197-4648 |         | 9/6/2019   | \$1,049.79            | Utilities   |
| WASTE MANAGEMENT OF WI-MN                     |                                     | PO BOX 4648                 |               |           | CAROL STREAM | IL    | 60197-4648 |         | 9/13/2019  | \$1,181.99            | Facility service  |
| WASTE MANAGEMENT OF WI-MN                     |                                     | PO BOX 4648                 |               |           | CAROL STREAM | IL    | 60197-4648 |         | 10/22/2019 | \$1,280.34            | Facility service  |
| WEST LLC                                      | C/O WEST CORPORATION                | PO BOX 74007143             |               |           | Chicago      | IL    | 60674-7143 |         | 8/19/2019  | \$7,994.92            | Finance Services  |
| WEST LLC                                      | C/O WEST CORPORATION                | PO BOX 74007143             |               |           | Chicago      | IL    | 60674-7143 |         | 9/4/2019   | \$61.04               | Finance Services  |
| WEST LLC                                      | C/O WEST CORPORATION                | PO BOX 74007143             |               |           | Chicago      | IL    | 60674-7143 |         | 9/17/2019  | \$1,491.08            | Finance Services  |
| WEST LLC                                      | C/O WEST CORPORATION                | PO BOX 74007143             |               |           | Chicago      | IL    | 60674-7143 |         | 10/8/2019  | \$268.98              | Finance Services  |
| WESTERN INSTITUTIONAL REVIEW BOARD INC (WIRB) |                                     | DEPT 106091                 | PO BOX 150434 |           | HARTFORD     | CT    | 06115-0434 |         | 8/20/2019  | \$1,133.00            | Health Care Provider Services   |
| WESTERN INSTITUTIONAL REVIEW BOARD INC (WIRB) |                                     | DEPT 106091                 | PO BOX 150434 |           | HARTFORD     | CT    | 06115-0434 |         | 9/10/2019  | \$1,133.00            | Health Care Provider Services   |
| WESTERN INSTITUTIONAL REVIEW BOARD INC (WIRB) |                                     | DEPT 106091                 | PO BOX 150434 |           | HARTFORD     | CT    | 06115-0434 |         | 9/17/2019  | \$572.00              | Health Care Provider Services   |
| WESTERN INSTITUTIONAL REVIEW BOARD INC (WIRB) |                                     | DEPT 106091                 | PO BOX 150434 |           | HARTFORD     | CT    | 06115-0434 |         | 10/9/2019  | \$572.00              | Health Care Provider Services   |
| WHITLEY PENN LLP                              |                                     | DEPT 106091                 | PO BOX 150434 |           | HARTFORD     | CT    | 06115-0434 |         | 10/29/2019 | \$250.00              | Health Care Provider Services   |
| WORKPLACE SOLUTIONS INC                       |                                     | 640 TAYLOR STREET           | SUITE 2200    |           | FORT WORTH   | TX    | 76102      |         | 9/17/2019  | \$13,562.00           | Finance Services  |
| WORKPLACE SOLUTIONS INC                       |                                     | 2651 N HARWOOD STREET       | SUITE 300     |           | DALLAS       | TX    | 75201      |         | 10/3/2019  | \$108.25              | Facility service  |
| WORKPLACE SOLUTIONS INC                       |                                     | 2651 N HARWOOD STREET       | SUITE 300     |           | DALLAS       | TX    | 75201      |         | 10/9/2019  | \$216.50              | Facility service  |
| WV TREASURY WV TAXPAY PB                      | Tax Account Administration Division | P.O. Box 1826               |               |           | Charleston   | WV    | 25327-1826 |         | 10/22/2019 | \$7.64                | Tax Payment   |
| XEROX BUSINESS SOLUTIONS                      |                                     | SOUTHWEST                   | PO BOX 205354 |           | DALLAS       | TX    | 75320-5354 |         | 9/9/2019   | \$1,075.49            | IT Services   |
| XEROX FINANCIAL SERVICES LLC                  |                                     | PO BOX 202882               |               |           | DALLAS       | TX    | 75320      |         | 8/27/2019  | \$2,299.08            | IT Services   |
| XEROX FINANCIAL SERVICES LLC                  |                                     | PO BOX 202882               |               |           | DALLAS       | TX    | 75320      |         | 9/24/2019  | \$2,212.10            | IT Services   |
| XEROX FINANCIAL SERVICES LLC                  |                                     | PO BOX 202882               |               |           | DALLAS       | TX    | 75320      |         | 10/17/2019 | \$797.43              | IT Services   |
| XEROX FINANCIAL SERVICES LLC                  |                                     | PO BOX 202882               |               |           | DALLAS       | TX    | 75320      |         | 10/22/2019 | \$1,420.29            | IT Services   |



Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor             |
|----------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|------------------------------------|
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/14/2018 | \$21,376.25           | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/11/2018 | \$3,727.36            | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$441.77              | Expense Reimbursement                                      | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$508.75              | Expense Reimbursement                                      | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$265.93              | Expense Reimbursement                                      | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$100.00              | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$310.83              | Expense Reimbursement                                      | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/13/2019  | \$11,933.11           | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$131,777.43          | Bonus  | EVP, GC & Chief Compliance Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name | Address 1            | Address 2  | City  | State | Zip   | Date      | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor             |
|----------------|----------------------|------------|-------|-------|-------|-----------|-----------------------|--|------------------------------------|
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$10,000.00           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/18/2019 | \$10,519.11           | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$696.21              | Expense Reimbursement                                      | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/3/2019  | \$3,271.72            | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/13/2019 | \$14,828.80           | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/10/2019 | \$751.41              | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019  | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019  | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/13/2019 | \$2,875.60            | Vest of RSUs   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019 | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor             |
|----------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|------------------------------------|
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$80.38               | 401K Match   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$10,717.33           | Salary   | EVP, GC & Chief Compliance Officer |
| Beare, Melissa | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/27/2019  | \$10,964.63           | PTO Payout   | EVP, GC & Chief Compliance Officer |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/14/2018 | \$18,078.20           | Vest of RSUs   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$234.00              | 401K Match   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$1,912.01            | Expense Reimbursement                                      | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$15,600.00           | Salary   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$234.00              | 401K Match   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$5,213.36            | Expense Reimbursement                                      | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$15,600.00           | Salary   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$234.00              | 401K Match   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$2,960.16            | Expense Reimbursement                                      | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$15,600.00           | Salary   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$234.00              | 401K Match   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$15,600.00           | Salary   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$234.00              | 401K Match   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$4,389.08            | Expense Reimbursement                                      | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$15,600.00           | Salary   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$234.00              | 401K Match   | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$1,690.08            | Expense Reimbursement                                      | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Operating Officer and CFO    |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$15,600.00           | Salary   | Chief Operating Officer and CFO    |



Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name | Address 1            | Address 2  | City  | State | Zip   | Date      | Total amount<br>or value | Reasons for payment or<br>transfer                         | Relationship to debtor          |
|----------------|----------------------|------------|-------|-------|-------|-----------|--------------------------|--|---------------------------------|
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019 | \$234.00                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019 | \$2,585.37               | Expense Reimbursement                                      | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019 | \$15,600.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$234.00                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$1,031.68               | Expense Reimbursement                                      | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$150.00                 | Non Payable Taxable Income                                 | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$15,600.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/13/2019 | \$136,288.57             | Vest of RSUs   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$659.49                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$248,194.80             | Bonus  | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$15,600.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$241.02                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$1,102.77               | Expense Reimbursement                                      | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$16,068.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$241.02                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$16,068.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$241.02                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$16,068.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/3/2019  | \$84,286.24              | Vest of RSUs   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$241.02                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$65.53                  | Expense Reimbursement                                      | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$16,068.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/13/2019 | \$20,736.80              | Vest of RSUs   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$241.02                 | 401K Match   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$16,068.00              | Salary   | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$577.08                 | Paid off credit card balance on behalf of employee         | Chief Operating Officer and CFO |
| Berger, Walter | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$29,046.12              | PTO Payout   | Chief Operating Officer and CFO |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name      | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount<br>or value | Reasons for payment or<br>transfer                         | Relationship to debtor |
|---------------------|----------------------|------------|-------|-------|-------|------------|--------------------------|--|------------------------|
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/3/2019   | \$16,250.00              | Quarterly Board Fee  | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019  | \$587.42                 | BOD travel reimbursement                                   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019  | \$13,082.31              | Vest of RSUs   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/7/2019   | \$1,321.80               | BOD travel reimbursement                                   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/4/2019   | \$314.60                 | BOD travel reimbursement                                   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/5/2019   | \$25,000.00              | Quarterly Board Fee  | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/10/2019  | \$8,796.87               | Vest of RSUs   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/20/2019  | \$589.10                 | BOD travel reimbursement                                   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/24/2019  | \$3,488.40               | Vest of RSUs   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019   | \$25,000.00              | Quarterly Board Fee  | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/23/2019  | \$1,481.04               | Vest of RSUs   | Director               |
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/3/2019  | \$25,000.00              | Quarterly Board Fee  | Director               |
| Chavez, Christopher | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/5/2019   | \$11,875.00              | Quarterly Board Fee  | Director               |
| Chavez, Christopher | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/24/2019  | \$3,488.40               | Vest of RSUs   | Director               |
| Chavez, Christopher | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/27/2019  | \$510.98                 | BOD travel reimbursement                                   | Director               |
| Chavez, Christopher | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019   | \$11,875.00              | Quarterly Board Fee  | Director               |
| Chavez, Christopher | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/23/2019  | \$1,481.04               | Vest of RSUs   | Director               |
| Chavez, Christopher | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/3/2019  | \$11,875.00              | Quarterly Board Fee  | Director               |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$3,295.29               | Expense Reimbursement                                      | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$2,311.04               | Expense Reimbursement                                      | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$4,612.81               | Expense Reimbursement                                      | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$3,531.96               | Expense Reimbursement                                      | CEO                    |
| Drees, Scott        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | CEO                    |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name  | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount<br>or value | Reasons for payment or<br>transfer                         | Relationship to debtor |
|-----------------|----------------------|------------|-------|-------|-------|------------|--------------------------|--|------------------------|
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$743.58                 | Expense Reimbursement                                      | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$1,168,491.12           | Severance  | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$16,934.62              | Salary   | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/13/2019  | \$246,929.84             | Vest of RSUs   | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$20,191.36              | PTO Payout   | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$6,773.85               | Salary   | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/21/2019  | \$184,756.48             | Vest of RSUs   | CEO                    |
| Drees, Scott    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/20/2019  | \$1,315.86               | Vest of RSUs   | CEO                    |
| Hanchin, Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/12/2018 | \$13,890.20              | Vest of RSUs   | President, Nuvectra    |
| Hanchin, Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/13/2019  | \$75,709.37              | Vest of RSUs   | President, Nuvectra    |
| Hanchin, Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/3/2019   | \$44,951.96              | Vest of RSUs   | President, Nuvectra    |
| Hanchin, Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/5/2019   | \$4,457.60               | Vest of RSUs   | President, Nuvectra    |
| Hanchin, Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/10/2019 | \$1,448.72               | Vest of RSUs   | President, Nuvectra    |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$6,180.18               | Expense Reimbursement                                      | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$13,325.63              | Salary   | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$11,432.22              | Expense Reimbursement                                      | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$13,325.63              | Salary   | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$13,325.63              | Salary   | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$199.88                 | 401(k) Employer Match                                      | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$8,799.88               | Expense Reimbursement                                      | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$13,325.63              | Salary   | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$199.88                 | 401(k) Employer Match                                      | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$68.13                  | Expense Reimbursement                                      | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$13,325.63              | Salary   | President              |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$199.88                 | 401(k) Employer Match                                      | President              |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name | Address 1            | Address 2  | City  | State | Zip   | Date      | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor |
|----------------|----------------------|------------|-------|-------|-------|-----------|-----------------------|--|------------------------|
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019  | \$3,497.75            | Expense Reimbursement                                      | President              |
|                |                      |            |       |       |       |           |                       | Life insurance premium paid to Cigna on behalf of employee |                        |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019  | \$14.00               |  | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019  | \$13,325.63           | Salary   | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019 | \$199.88              | 401(k) Employer Match                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019 | \$1,106.53            | Expense Reimbursement                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019 | \$13,325.63           | Salary   | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$199.88              | 401(k) Employer Match                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$2,530.32            | Expense Reimbursement                                      | President              |
|                |                      |            |       |       |       |           |                       | Life insurance premium paid to Cigna on behalf of employee |                        |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$14.00               |  | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$13,325.63           | Salary   | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$7,053.26            | Expense Reimbursement                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$13,325.63           | Salary   | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$916.31              | Expense Reimbursement                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$13,325.63           | Salary   | President              |
|                |                      |            |       |       |       |           |                       | Life insurance premium paid to Cigna on behalf of employee |                        |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019  | \$14.00               |  | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$6,707.34            | Expense Reimbursement                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$13,325.63           | Salary   | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$199.88              | 401(k) Employer Match                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$3,976.89            | Expense Reimbursement                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$13,325.63           | Salary   | President              |
|                |                      |            |       |       |       |           |                       | Life insurance premium paid to Cigna on behalf of employee |                        |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019  | \$14.00               |  | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$199.88              | 401(k) Employer Match                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$3,933.63            | Expense Reimbursement                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$13,325.63           | Salary   | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$199.88              | 401(k) Employer Match                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$13,325.63           | Salary   | President              |
|                |                      |            |       |       |       |           |                       | Life insurance premium paid to Cigna on behalf of employee |                        |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/1/2019  | \$14.00               |  | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$199.88              | 401(k) Employer Match                                      | President              |
| Hanchin, Paul  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$4,933.30            | Expense Reimbursement                                      | President              |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name  | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount<br>or value | Reasons for payment or<br>transfer                         | Relationship to debtor        |
|-----------------|----------------------|------------|-------|-------|-------|------------|--------------------------|--|-------------------------------|
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019   | \$13,325.63              | Salary   | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019  | \$199.88                 | 401(k) Employer Match                                      | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019  | \$1,002.21               | Expense Reimbursement                                      | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019  | \$13,325.63              | Salary   | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$79.95                  | 401(k) Employer Match                                      | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$674.12                 | Expense Reimbursement                                      | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$13,325.63              | PTO payout   | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$5,330.25               | Salary   | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/12/2019  | \$362,930.27             | Severance  | President                     |
| Hanchin, Paul   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019  | \$3,841.43               | Expense Reimbursement                                      | President                     |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/15/2018 | \$7,712.90               | Vest of RSUs   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$8,257.79               | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$2,741.69               | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$8,257.79               | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$8,257.79               | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$123.87                 | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$8,257.79               | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$123.87                 | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$8,257.79               | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$123.87                 | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$1,828.44               | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$8,257.79               | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$123.87                 | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$8,257.79               | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$123.87                 | 401(k) Employer Match                                      | VP, Chief Information Officer |



Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name  | Address 1            | Address 2  | City  | State | Zip   | Date      | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor        |
|-----------------|----------------------|------------|-------|-------|-------|-----------|-----------------------|--|-------------------------------|
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019  | \$8,257.79            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/13/2019 | \$8,145.33            | Vest of RSUs   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$192.51              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$68,747.92           | Annual Bonus   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$2,252.16            | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$8,257.79            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$21.04               | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$2,454.95            | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/3/2019  | \$1,830.48            | Vest of RSUs   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$2,395.51            | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/13/2019 | \$6,277.60            | Vest of RSUs   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$2,108.52            | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/14/2019 | \$1,216.60            | Vest of RSUs   | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$1,653.55            | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name    | Address 1            | Address 2  | City  | State | Zip   | Date      | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor        |
|-------------------|----------------------|------------|-------|-------|-------|-----------|-----------------------|--|-------------------------------|
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019  | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019  | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/13/2019 | \$1,112.02            | Vest of RSUs   | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019 | \$2,727.44            | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019 | \$12.63               | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019 | \$126.26              | 401(k) Employer Match                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019 | \$841.74              | Salary   | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019 | \$8,417.44            | Salary   | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019 | \$109,426.69          | Severance  | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019 | \$3,190.21            | Expense Reimbursement                                      | VP, Chief Information Officer |
| Harvey, Charles   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019 | \$10,489.50           | PTO payout   | VP, Chief Information Officer |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/3/2019  | \$15,000.00           | Quarterly Board Fee  | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019 | \$13,082.31           | Vest of RSUs   | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/4/2019  | \$416.27              | BOD travel reimbursement                                   | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/5/2019  | \$15,000.00           | Quarterly Board Fee  | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/10/2019 | \$8,796.87            | Vest of RSUs   | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/16/2019 | \$614.91              | BOD travel reimbursement                                   | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/24/2019 | \$3,488.40            | Vest of RSUs   | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019  | \$15,000.00           | Quarterly Board Fee  | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/5/2019  | \$1,359.38            | BOD travel reimbursement                                   | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/23/2019 | \$1,481.04            | Vest of RSUs   | Director                      |
| Hawari, Kenneth   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/3/2019 | \$15,000.00           | Quarterly Board Fee  | Director                      |
| Johnson, David D. | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/3/2019  | \$15,000.00           | Quarterly Board Fee  | Director                      |
| Johnson, David D. | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019 | \$13,082.31           | Vest of RSUs   | Director                      |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name     | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount<br>or value | Reasons for payment or<br>transfer                         | Relationship to debtor  |
|--------------------|----------------------|------------|-------|-------|-------|------------|--------------------------|--|-------------------------|
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/4/2019   | \$154.00                 | BOD travel reimbursement                                   | Director                |
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/5/2019   | \$15,000.00              | Quarterly Board Fee  | Director                |
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/10/2019  | \$8,796.87               | Vest of RSUs   | Director                |
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/24/2019  | \$3,488.40               | Vest of RSUs   | Director                |
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019   | \$15,000.00              | Quarterly Board Fee  | Director                |
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/5/2019   | \$222.47                 | BOD travel reimbursement                                   | Director                |
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/23/2019  | \$1,481.04               | Vest of RSUs   | Director                |
| Johnson, David D.  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/3/2019  | \$15,000.00              | Quarterly Board Fee  | Director                |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/15/2018 | \$14,256.65              | Vest of RSUs   | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$129.75                 | 401(k) Employer Match                                      | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$8,650.15               | Salary   | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$129.75                 | 401(k) Employer Match                                      | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$8,650.15               | Salary   | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$129.75                 | 401(k) Employer Match                                      | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$8,650.15               | Salary   | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$8,650.15               | Salary   | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$88,162.33              | Bonus  | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$2,196.30               | Expense Reimbursement                                      | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14,705.26              | PTO payout   | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/7/2019   | \$90,180.46              | Vest of RSUs   | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/19/2019  | \$72,892.65              | Option Exercise  | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/25/2019  | \$39,215.93              | Option Exercise  | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/26/2019  | \$9,929.00               | Option Exercise  | President, NeuroNexus   |
| Kipke, Daryl       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019   | \$7,306.32               | Option Exercise  | President, NeuroNexus   |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/14/2018 | \$7,712.90               | Vest of RSUs   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$122.95                 | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$8,196.60               | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |



Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name     | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor  |
|--------------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|-------------------------|
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$122.95              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$122.95              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$122.95              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$1,995.00            | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$122.95              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$802.88              | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$122.95              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$122.95              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$470.18              | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$122.95              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/13/2019  | \$8,145.33            | Vest of RSUs   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$1,034.52            | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$60,771.39           | Annual Bonus   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$142.35              | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$8,196.60            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019  | \$125.41              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019  | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019  | \$125.41              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019  | \$218.67              | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019  | \$8,360.54            | Salary   | Chief Financial Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name     | Address 1            | Address 2  | City  | State | Zip   | Date      | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor  |
|--------------------|----------------------|------------|-------|-------|-------|-----------|-----------------------|--|-------------------------|
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$125.41              | 401(k) Employer Match                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/3/2019  | \$1,830.48            | Vest of RSUs   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$125.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/13/2019 | \$6,277.60            | Vest of RSUs   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$125.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$22,000.00           | Interim CFO Bonus  | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$455.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/14/2019 | \$1,216.60            | Vest of RSUs   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$1,428.39            | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$125.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$1,345.54            | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$11,000.00           | Interim CFO Bonus  | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$290.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$1,900.19            | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$125.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$8,360.54            | Salary   | Chief Financial Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name     | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor  |
|--------------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|-------------------------|
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$409.03              | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$11,000.00           | Interim CFO Bonus  | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$290.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/13/2019  | \$1,112.02            | Vest of RSUs   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$125.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$125.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$125.41              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$8,360.54            | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/16/2019  | \$109.34              | Vest of RSUs   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$394.04              | Expense Reimbursement                                      | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$201.92              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$13,461.54           | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/25/2019 | \$201.92              | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/25/2019 | \$13,461.54           | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$67.04               | Roth 401(k) Employer Match                                 | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$13,461.54           | Salary   | Chief Financial Officer |
| Kosharek, Jennifer | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/12/2019 | \$175,000.00          | Retention Bonus  | Chief Financial Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name         | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor  |
|------------------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|-------------------------|
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/17/2018 | \$23,140.88           | Option Exercise  | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/20/2018 | \$199.02              | BOD travel reimbursement                                   | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/3/2019   | \$22,500.00           | Quarterly Board Fee  | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019  | \$13,082.31           | Vest of RSUs   | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/7/2019   | \$2,343.45            | BOD travel reimbursement                                   | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/11/2019  | \$87,328.92           | Option Exercise  | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/14/2019  | \$1,350.00            | BOD travel reimbursement                                   | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/10/2019  | \$8,796.87            | Vest of RSUs   | Director                |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/18/2019  | \$846.19              | BOD travel reimbursement                                   | Director                |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/3/2019   | \$11,875.00           | Quarterly Board Fee  | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019  | \$13,082.31           | Vest of RSUs   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/7/2019   | \$1,829.47            | BOD travel reimbursement                                   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$23,269.24           | Salary   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$3,733.15            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$150.00              | Non Payable Taxable Income                                 | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019  | \$830.53              | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/10/2019  | \$8,796.87            | Vest of RSUs   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019  | \$2,867.94            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019  | \$13,237.18           | Salary   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019  | \$4,360.53            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred            | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019  | \$1,140.30            | Expense Reimbursement                                      | Chief Executive Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor  |
|----------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|-------------------------|
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019  | \$125,000.00          | Moving Expense   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019   | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019  | \$2,584.12            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$25,000.00           | Moving Expense   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019  | \$5,288.12            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$672.99              | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$25,000.00           | Moving Expense   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$2,031.02            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/1/2019   | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$1,706.36            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/27/2019  | \$1,507.28            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/27/2019  | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/1/2019  | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$2,093.25            | Expense Reimbursement                                      | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$25,000.00           | Moving Expense   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$21,153.85           | Salary   | Chief Executive Officer |
| Parks, Fred    | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/25/2019 | \$21,153.85           | Salary   | Chief Executive Officer |



Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name  | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor           |
|-----------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|----------------------------------|
| Parks, Fred     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2019  | \$8.12                | Life insurance premium paid to Cigna on behalf of employee | Chief Executive Officer          |
| Parks, Fred     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$817.13              | Expense Reimbursement                                      | Chief Executive Officer          |
| Parks, Fred     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$21,153.85           | Salary   | Chief Executive Officer          |
| Parks, Fred     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/12/2019 | \$100,000.00          | Retention Bonus  | Chief Executive Officer          |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/13/2018 | \$6,404.15            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$7,289.24            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$7,289.24            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/10/2018 | \$2,240.00            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$3,664.93            | Expense Reimbursement                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$7,289.24            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$109.34              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$7,289.24            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/8/2019   | \$1,525.59            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$125.07              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$8,338.16            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$5,668.60            | Expense Reimbursement                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name  | Address 1            | Address 2  | City  | State | Zip   | Date      | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor           |
|-----------------|----------------------|------------|-------|-------|-------|-----------|-----------------------|--|----------------------------------|
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/8/2019  | \$1,506.25            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/13/2019 | \$4,534.74            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$64,930.88           | Annual Bonus   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$1,418.06            | Expense Reimbursement                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/3/2019  | \$1,041.48            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/13/2019 | \$7,246.40            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$3,761.93            | Expense Reimbursement                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/10/2019 | \$453.75              | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$120.58              | 401(k) Employer Match                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019 | \$291.56              | Expense Reimbursement                                      | VP, Chief Human Resource Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name      | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor           |
|---------------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|----------------------------------|
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/13/2019  | \$1,088.36            | Vest of RSUs   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/27/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$738.08              | Expense Reimbursement                                      | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/25/2019 | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$8,038.46            | Salary   | VP, Chief Human Resource Officer |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/12/2019 | \$104,500.00          | Retention Bonus  | VP, Chief Human Resource Officer |
| Song, Jane          | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/5/2019   | \$11,250.00           | Quarterly Board Fee  | Director                         |
| Song, Jane          | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/24/2019  | \$3,488.40            | Vest of RSUs   | Director                         |
| Song, Jane          | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019   | \$11,250.00           | Quarterly Board Fee  | Director                         |
| Song, Jane          | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/23/2019  | \$1,481.04            | Vest of RSUs   | Director                         |
| Song, Jane          | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/3/2019  | \$11,250.00           | Quarterly Board Fee  | Director                         |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer         |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$1,247.08            | 401(k) Employer Match                                      | Chief Technology Officer         |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$71,600.00           | Relocation Bonus   | Chief Technology Officer         |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/23/2018 | \$11,538.47           | Salary   | Chief Technology Officer         |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/1/2018  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer         |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$173.08              | 401(k) Employer Match                                      | Chief Technology Officer         |



Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name      | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor   |
|---------------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|--------------------------|
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/7/2018  | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$173.08              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/21/2018 | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$173.08              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/4/2019   | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$173.08              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/18/2019  | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$173.08              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/1/2019   | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$173.08              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 2/15/2019  | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$173.08              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/1/2019   | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$934.24              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$50,744.17           | Annual Bonus   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/15/2019  | \$11,538.47           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/29/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/12/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/26/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/10/2019  | \$11,660.58           | Salary   | Chief Technology Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name      | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount or value | Reasons for payment or transfer                            | Relationship to debtor   |
|---------------------|----------------------|------------|-------|-------|-------|------------|-----------------------|--|--------------------------|
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 5/24/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019   | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/7/2019   | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/21/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/5/2019   | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/19/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/2/2019   | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/16/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 8/30/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/1/2019   | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/6/2019   | \$35,132.98           | Vest of RSUs   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/13/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/27/2019  | \$174.91              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/27/2019  | \$11,660.58           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/1/2019  | \$14.00               | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$287.41              | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/11/2019 | \$19,160.65           | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/25/2019 | \$192.22              | 401(k) Employer Match                                      | Chief Technology Officer |

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

| Insider's name      | Address 1            | Address 2  | City  | State | Zip   | Date       | Total amount<br>or value | Reasons for payment or<br>transfer                         | Relationship to debtor   |
|---------------------|----------------------|------------|-------|-------|-------|------------|--------------------------|--|--------------------------|
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/25/2019 | \$12,814.43              | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/1/2019  | \$14.00                  | Life insurance premium paid to Cigna on behalf of employee | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$642.22                 | 401(k) Employer Match                                      | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$30,000.00              | Performance Bonus  | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/8/2019  | \$12,814.43              | Salary   | Chief Technology Officer |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 11/12/2019 | \$166,587.50             | Retention Bonus  | Chief Technology Officer |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/20/2018 | \$472.40                 | BOD travel reimbursement                                   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/3/2019   | \$11,250.00              | Quarterly Board Fee  | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019  | \$13,082.31              | Vest of RSUs   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 3/14/2019  | \$571.60                 | BOD travel reimbursement                                   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/5/2019   | \$11,875.00              | Quarterly Board Fee  | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/10/2019  | \$8,796.87               | Vest of RSUs   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/24/2019  | \$3,488.40               | Vest of RSUs   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019   | \$46.03                  | BOD travel reimbursement                                   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019   | \$11,875.00              | Quarterly Board Fee  | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/5/2019   | \$517.60                 | BOD travel reimbursement                                   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/23/2019  | \$1,481.04               | Vest of RSUs   | Director                 |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/3/2019  | \$11,875.00              | Quarterly Board Fee  | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 12/20/2018 | \$758.03                 | BOD travel reimbursement                                   | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/3/2019   | \$13,125.00              | Quarterly Board Fee  | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 1/10/2019  | \$13,082.31              | Vest of RSUs   | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/4/2019   | \$487.28                 | BOD travel reimbursement                                   | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/5/2019   | \$15,000.00              | Quarterly Board Fee  | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 4/10/2019  | \$8,796.87               | Vest of RSUs   | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/20/2019  | \$852.28                 | BOD travel reimbursement                                   | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 6/24/2019  | \$3,488.40               | Vest of RSUs   | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 7/3/2019   | \$15,000.00              | Quarterly Board Fee  | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 9/23/2019  | \$1,481.04               | Vest of RSUs   | Director                 |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | 10/3/2019  | \$15,000.00              | Quarterly Board Fee  | Director                 |

In re: Nuvectra Corporation

Case No. 19-43090

SOFA 7 Attachment

Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits

| Case Title  | Case number                           | Nature of case                     | Court name   | Court address 1                                | Court address 2                          | Court city | Court state | Court zip  | Status of case<br>(e.g. Pending,<br>On appeal,<br>Concluded) |
|---|---------------------------------------|------------------------------------|--|--|--|------------|-------------|------------|--|
| Boston Scientific Corporation v. Nuvectra Corp.,<br>Lynn Takahashi, Eugene Zigray and Jonathan<br>Olsen | Civil Action No. 1784CV02976-<br>BLS2 | Lawsuit for Breach of Contract     | Suffolk Superior Court   | Clerk for Civil Business                       | Three Pemberton<br>Square, 12th<br>Floor | Boston     | MA          | 02108      | Concluded  |
| Matthew Castro v. Sutter Valley Hospital  | Case No. 34-2018-00234635             | Patient Lawsuit                    | Superior Court of the State of<br>California, Sacramento County, | 720 9th Street                                 |  | Sacramento | CA          | 95814      | Pending  |
| Nuvectra Corporation v. Capitol Street Surgery<br>Center, LLC   | File No. 02-CV-18-4366                | Lawsuit for Nonpayment of Invoices | District Court, 10th District, Anoka<br>County, MN               | 7533 Sunwood Dr                                | NW # 306                                 | Anoka      | MN          | 55303      | Concluded  |
| Nuvectra Corporation v. First Surgical Woodlands,<br>L.P.   | 02-CV-19-2815.                        | Lawsuit for Breach of Contract     | District Court, 10th District, Anoka<br>County, MN               | 7533 Sunwood Dr                                | NW # 306                                 | Anoka      | MN          | 55303      | Pending  |
| State of California Tax Audit   | A100796                               | Tax Audit                          | California Department of Tax and<br>Fee Administration           | Audit and Information<br>Section               | P.O. Box 942879                          | Sacramento | CA          | 94279-0044 | Concluded  |
| State of Michigan Tax Audit   | Account No. 201398605                 | Tax Audit                          | Michigan Department of Treasury                                  | Attn Tax Compliance Bureau<br>– Audit Division | P.O. Box 30442                           | Lansing    | MI          | 48909      | Pending  |

In re: Nuvectra Corporation

Case No. 19-43090

SOFA 11 Attachment

Payments related to bankruptcy

| Who was paid or who received the transfer? | Address 1                    | Address 2  | City       | State | Zip   | Email or website address   | Dates      | Total amount or value | Description             |
|--|------------------------------|------------|------------|-------|-------|--|------------|-----------------------|-------------------------|
| Alvarez & Marsal                           | 2100 Ross Ave                | Suite 2100 | Dallas     | TX    | 75021 | <a href="http://www.alvarezandmarsal.com">www.alvarezandmarsal.com</a>       | 10/25/2019 | \$250,000.00          | Restructuring Advisory  |
| Alvarez & Marsal                           | 2100 Ross Ave                | Suite 2100 | Dallas     | TX    | 75021 | <a href="http://www.alvarezandmarsal.com">www.alvarezandmarsal.com</a>       | 11/8/2019  | \$134,820.00          | Restructuring Advisory  |
| Dorsey & Whitney LLP                       | 300 Crescent Ct              | Suite 400  | Dallas     | TX    | 75021 | <a href="https://www.dorsey.com">https://www.dorsey.com</a>                  | 9/10/2019  | \$75,327.90           | General Counsel         |
| Dorsey & Whitney LLP                       | 300 Crescent Ct              | Suite 400  | Dallas     | TX    | 75021 | <a href="https://www.dorsey.com">https://www.dorsey.com</a>                  | 10/8/2019  | \$40,883.60           | General Counsel         |
| Dorsey & Whitney LLP                       | 300 Crescent Ct              | Suite 400  | Dallas     | TX    | 75021 | <a href="https://www.dorsey.com">https://www.dorsey.com</a>                  | 10/25/2019 | \$250,000.00          | General Counsel         |
| Dorsey & Whitney LLP                       | 300 Crescent Ct              | Suite 400  | Dallas     | TX    | 75021 | <a href="https://www.dorsey.com">https://www.dorsey.com</a>                  | 11/8/2019  | \$301,745.65          | General Counsel         |
| Kurtzman Carson Consultants                | 222 N. Pacific Coast Highway | Suite 300  | El Segundo | CA    | 90245 | <a href="http://www.kccllc.com">www.kccllc.com</a>                           | 11/8/2019  | \$30,000.00           | Notice and Claims Agent |
| Norton Rose & Fulbright                    | 2200 Ross Ave                | Suite 3600 | Dallas     | TX    | 75021 | <a href="http://www.nortonrosefulbright.com">www.nortonrosefulbright.com</a> | 11/4/2019  | \$250,000.00          | Debtor Counsel          |
| Norton Rose & Fulbright                    | 2200 Ross Ave                | Suite 3600 | Dallas     | TX    | 75021 | <a href="http://www.nortonrosefulbright.com">www.nortonrosefulbright.com</a> | 11/8/2019  | \$69,878.45           | Debtor Counsel          |
| Norton Rose & Fulbright                    | 2200 Ross Ave                | Suite 3600 | Dallas     | TX    | 75021 | <a href="http://www.nortonrosefulbright.com">www.nortonrosefulbright.com</a> | 11/8/2019  | \$105,181.55          | Debtor Counsel          |

**In re: Nuvectra Corporation****Case No. 19-43090**

SOFA 28 Attachment

Current Partners, Officers, Directors and Shareholders

| Name                | Address 1            | Address 2  | City  | State | Zip   | Position and nature of any interest | % of interest, if any |
|---------------------|----------------------|------------|-------|-------|-------|-------------------------------------|-----------------------|
| Bihl, Anthony       | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 0%                    |
| Chavez, Christopher | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 0%                    |
| Hawari, Kenneth     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 0%                    |
| Johnson, David D.   | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 0%                    |
| Kosharek, Jennifer  | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | CFO                                 | 0%                    |
| Parks, Fred         | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | CEO                                 | 0%                    |
| Schmidt, Bonnie     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | VP of Human Resources               | 0%                    |
| Song, Jane          | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 0%                    |
| Tranchina, Benjamin | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Chief Technology Operations Officer | 0%                    |
| Tremmel, Jon        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 0%                    |
| Zeilbor, Thomas     | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 0%                    |

**In re: Nuvectra Corporation****Case No. 19-43090**

SOFA 29 Attachment

Former Partners, Officers, Directors and Shareholders

| Name                   | Address 1            | Address 2  | City  | State | Zip   | Position and nature of any interest | Period during which position or interest was held |
|------------------------|----------------------|------------|-------|-------|-------|-------------------------------------|---|
| Beare, Melissa         | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | EVP, GC & Chief Compliance Officer  | 11/16/2015 - 09/13/2019                           |
| Berger, Walter         | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Chief Operating Officer and CFO     | 07/29/2015 - 05/24/2019                           |
| Drees, Scott           | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | CEO                                 | 07/27/2015 - 03/07/2019                           |
| Hanchin, Joseph        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | President, Nuvectra                 | 04/06/2016 - 06/27/2019                           |
| Harvey, Charles        | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | VP, Chief Information Officer       | 12/14/2015 - 09/02/2019                           |
| Kipke, Daryl           | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | President, NeuroNexus               | 07/01/2004 - 12/31/2018                           |
| Miller Jr., Dr. Joseph | 5830 Granite Parkway | Suite 1100 | Plano | TX    | 75024 | Director                            | 03/14/2016 - 03/21/2019                           |